

**MINUTES**  
**Council on Postsecondary Education**  
**September 14, 2012**

The Council on Postsecondary Education met Friday, September 14, 2012, at 9 a.m. at the Jefferson Community and Technical College Downtown Campus in Louisville, Kentucky. Chair Pam Miller presided.

WELCOME

Ms. Miller welcomed everyone to the meeting.

President Tony Newberry welcomed the Council to the Jefferson Community and Technical College Downtown Campus.

Ms. Miller recognized the new Council members: Kennedy Helm, Carolyn Ridley, CJ Ryan, Arnold Taylor, and Sherrill Zimmerman.

President King recognized the passing of Mr. Mike Haydon with a moment of silence. Mr. Haydon was the chief of staff with the Governor's office.

OATH OF OFFICE

Judge A.C. McKay Chauvin, Circuit Judge, Division 8, 30th Judicial Circuit, with the Jefferson Circuit Court, administered the oath of office to the new Council members.

ROLL CALL

The following members were present: Glenn Denton, Dan Flanagan, Kennedy Helm, Dennis Jackson, Nancy McKenney, Pam Miller, Donna Moore, Lisa Osborne, Marcia Ridings, Carolyn Ridley, CJ Ryan, Arnold Taylor, Joe Wise, and Sherrill Zimmerman. Joe Graviss and Terry Holliday did not attend.

APPROVAL OF MINUTES

The minutes of the June 21 meeting were approved as distributed.

STRATEGIC AGENDA  
FOCUS AREA –  
RESEARCH,  
ECONOMIC, AND  
COMMUNITY  
DEVELOPMENT

Dr. James Tracy, Vice President for Research, University of Kentucky; Dr. William Pierce, Jr., Executive Vice President for Research and Innovation, University of Louisville; and, Mr. Kris Kimel, President, Kentucky Science and Technology Corporation participated in a panel discussion on the Commonwealth's 2012 Research Environment. President King moderated the panel discussion.

Ms. Mary Gwen Wheeler, Executive Director of Louisville's 55,000 Degrees Program, shared information about the community-wide initiative to raise educational attainment and improve the quality of life in the region.

PERFORMANCE  
PRESENTATIONS –  
EASTERN KENTUCKY  
UNIVERSITY AND  
MOREHEAD STATE  
UNIVERSITY

Dr. Doug Whitlock, EKU's president, provided the Council an update on EKU's progress on the key performance measures in the state's Strategic Agenda.

Mr. Denton asked if EKU's enrollment numbers have been impacted by the current conditions of the economy, increases in tuition, and less financial aid. Dr. Whitlock responded that the upcoming release of enrollment numbers will show that there has been an impact due to the economy, increased tuition, and less financial aid.

Dr. Wayne Andrews, MoSU's president, provided the Council with an update on MoSU's progress on the key performance measures in the state's Strategic Agenda.

Mr. Denton asked if MoSU is at the tipping point in regards to tuition increases.

Dr. Andrews encouraged a broader discussion about ways to encourage the state to increase funding for education as a solution to society's problems.

#### CPE PRESIDENT'S REPORT TO THE COUNCIL

A written report from Mr. King was distributed. Highlights of his report includes an update on Kaleidoscope, the KYVC4K12.org website, the P-20 State Longitudinal Data System, the U.S. Education Delivery Institute, legislative presentations since the 2012 legislative interim began in June, and recent CPE staff highlights and awards.

#### 13 KAR 1:020 PRIVATE COLLEGE LICENSING - REGULATION AMENDMENT

Ms. Sarah Levy, CPE's director of postsecondary licensing, and Mr. Travis Powell, CPE's general counsel, presented the staff recommendation that the Council approve the regulation amendment to 13 KAR 1:020 private college licensing.

Mr. Taylor suggested revising proposed amendment language in 13 KAR 1:020 Section 2, subsection (7) from using the term "annually" to a more specific date. Ms. Ridings suggested changing the language to an institution's anniversary date each year. It was the consensus of the Council to modify the regulation language to base the annual licensing review on the anniversary of an institution's filing date.

MOTION: Dr. Wise moved that the proposed regulation amendment to 13 KAR 1:020 private college licensing including the revised language be approved. Ms. Ridley seconded the motion.

VOTE: The motion passed.

#### NEW ACADEMIC PROGRAMS

Dr. Tom Martin, a senior associate in CPE's academic affairs unit, presented the staff recommendation that the Council approve the following academic programs: M.A., Gerontology - Kentucky State University; M.A., Linguistic Theory and Typology - University of Kentucky; Post-Baccalaureate Certificate, Autism and Applied Behavior Analysis - University of Louisville; Post-Master's Certificate, Critical Care Nursing - University of Louisville; and B.A., Latin American and Latino Studies - University of Louisville.

MOTION: Ms. Ridley moved that the proposed new academic programs, M.A., Gerontology - Kentucky State University; M.A., Linguistic Theory and Typology - University of Kentucky; Post-Baccalaureate Certificate, Autism and Applied Behavior Analysis - University of Louisville; Post-Master's Certificate, Critical Care Nursing - University of Louisville; and B.A., Latin American and Latino Studies - University of Louisville, be approved. Mr. Jackson seconded the motion.

VOTE: The motion passed.

#### STATEWIDE GENERAL EDUCATION TRANSFER APPEALS PROCESS

Dr. Melissa Bell, CPE's director of student success, presented the staff recommendation that the Council approve the statewide general education transfer appeals process.

Dr. Wise requested that the staff report any appeals requests to the Council. Dr. Bell responded that a report to the Council is built into the appeals process.

MOTION: Ms. Moore moved that the proposed statewide general education transfer appeals process be approved. Dr. McKenney seconded the motion.

VOTE: The motion passed.

CONSTRUCT  
FARMHOUSE  
FRATERNITY HOUSE,  
UNIVERSITY OF  
KENTUCKY

Mr. Sherron Jackson, CPE's associate vice president for finance, presented the staff recommendation that the Council approve the request from the University of Kentucky to construct a farmhouse fraternity house.

MOTION: Mr. Flanagan moved that the proposed request from the University of Kentucky to construct a farmhouse fraternity house be approved. Ms. Osborne seconded the motion.

VOTE: The motion passed.

HEALTH SCIENCES  
CENTER UTILITIES  
HAZARD MITIGATION  
PROJECT, UNIVERSITY  
OF LOUISVILLE

Mr. Jackson presented the staff recommendation that the Council approve the request from the University of Louisville to implement the Health Sciences Center Utilities Hazard Mitigation Project.

MOTION: Mr. Flanagan moved that the proposed request from the University of Louisville to implement the Health Sciences Center Utilities Hazard Mitigation Project be approved. Ms. Ridings seconded the motion.

VOTE: The motion passed. Mr. Helm abstained.

2012-13 AGENCY  
OPERATING BUDGET

Dr. John Hayek, CPE's senior vice president for budget, planning, and policy, and Mr. Shaun McKiernan, CPE's senior associate for budget, planning, and policy, presented the 2012-13 agency operating budget.

2012-13 CPE WORK  
PLAN

Mr. King presented the 2012-13 CPE work plan.

The work plan provides a clear set of policy priorities and tasks that will guide the work of the Council president and staff over the coming year.

There was some discussion among the members and President King about how implementation of the work plan will be measured and monitored. The Council's chair and president explained that periodic reports will be made to the full Council throughout the year to ensure adequate progress is being made in each of the areas of focus. The Executive Committee will evaluate implementation of the plan as part of the president's annual performance review in June 2013.

MOTION: Mr. Flanagan moved that the 2012-13 CPE work plan be approved. Mr. Jackson seconded the motion.

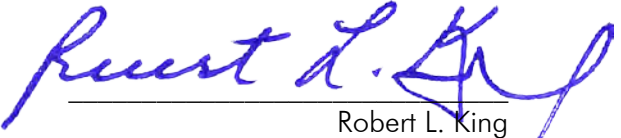
VOTE: The motion passed.

GED® NEW HORIZONS, KENTUCKY ADULT EDUCATION	<p>Ms. Jacqueline Korengel, CPE’s director of adult education strategic initiatives, provided an update on the GED® test (an eight-hour paper-based test). GED Testing Service (GEDTS™), a public-private venture between the American Council on Education (ACE) and Pearson VUE, is instituting changes to the current 2002 series test administration (2012-13) and introducing a new edition of the GED® test in January 2014 to be solely delivered via Computer-Based-Testing (CBT).</p> <p>Staff will provide Council members with additional information about the changes to the 2002 series test and the plans for the roll out of the 2014 GED in January 2014.</p>
COMMITTEE ON EQUAL OPPORTUNITIES REPORT	<p>Dr. Rana Johnson, CPE’s chief diversity officer, provided a report from the Committee on Equal Opportunities. The report included an update on the assessment of institutional diversity plans, the Governor’s Minority Student College Preparation Program, the SREB Doctoral Scholars Program, CEO member recognitions, and the next CEO meeting.</p>
COMMISSIONER OF EDUCATION REPORT	<p>Commissioner Holliday was not available to attend the September 14 meeting but did provide a written report included in the agenda book.</p>
2013 CPE MEETING CALENDAR	<p>Mr. King presented the 2013 CPE meeting calendar.</p> <p>MOTION: Ms. Osborne moved that the 2013 CPE meeting calendar be approved. Dr. Wise seconded the motion.</p> <p>VOTE: The motion passed.</p>
REPORTS FROM INSTITUTIONS	<p>Ms. Miller called attention to the reports from the institutions included in the agenda book.</p>
RESOLUTIONS	<p>The Council presented resolutions honoring Mr. Jim Skaggs and Mr. Joe Weis.</p> <p>MOTION: Mr. Denton moved that the Council approve the resolutions of service for Mr. Skaggs and Mr. Weis. Mr. Jackson seconded the motion.</p> <p>VOTE: The motion passed.</p>
OTHER BUSINESS	<p>Ms. Miller appointed Ms. Ridings to the Executive Committee.</p> <p>Ms. Miller appointed Ms. Osborne to serve as chair of the Committee on Equal Opportunities. She also appointed Mr. Helm and Mr. Ryan, as the Council’s student representative, to serve on the Committee on Equal Opportunities.</p>
NEXT MEETING	<p>The next Council meeting is at Western Kentucky University in Bowling Green, Kentucky, November 15-16.</p>



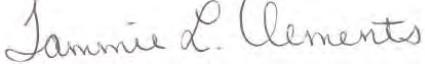
ADJOURNMENT

The meeting adjourned at 1:20 p.m.



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Robert L. King  
CPE President



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Tammie L. Clements  
Associate, Executive Relations

# AGENDA

Council on Postsecondary Education

Friday, September 14, 2012

9:00 a.m.

Health Sciences Building, Community Room, Room 151  
Jefferson Community and Technical College Downtown Campus  
Louisville, Kentucky

Welcome

Oath of Office

Roll Call

Approval of Minutes ..... 1

## Strategic Agenda Focus Area - Research, Economic, and Community Development

1. Overview and Update on Objectives and Strategies.....7
  - Panel Discussion - Commonwealth’s Research Environment 2012: Dr. James Tracy, Vice President for Research, University of Kentucky; Dr. William Pierce, Jr., Executive Vice President for Research and Innovation, University of Louisville; and, Mr. Kris Kimel, President, Kentucky Science and Technology Corporation
  - Increasing Educational Attainment in Louisville - 55K Degrees: Ms. Mary Gwen Wheeler, Executive Director, 55,000 Degrees Program

## Performance Presentations

2. Eastern Kentucky University
3. Morehead State University

## Action and Information Items

4. CPE President’s Report to the Council
5. ACTION: 13 KAR 1:020 Private College Licensing - Regulation Amendment .....9
6. ACTION: New Academic Programs .....53
  - M.A., Gerontology - Kentucky State University
  - M.A., Linguistic Theory and Typology - University of Kentucky
  - Post-Baccalaureate Certificate, Autism and Applied Behavior Analysis - University of Louisville
  - Post-Master’s Certificate, Critical Care Nursing - University of Louisville
  - B.A., Latin American and Latino Studies - University of Louisville
7. ACTION: Statewide General Education Transfer Appeals Process .....55
8. ACTION: Construct Farmhouse Fraternity House, University of Kentucky .....61
9. ACTION: Health Sciences Center Utilities Hazard Mitigation Project, University of Louisville .....63
10. 2012-13 Agency Operating Budget .....65
11. ACTION: 2012-13 CPE Work Plan .....71
12. GED® New Horizons, Kentucky Adult Education .....75
13. Committee on Equal Opportunities Report .....77
14. Commissioner of Education Report .....79
15. ACTION: 2013 CPE Meeting Calendar .....83

Reports from the Institutions .....85

Resolutions

Other Business

Next Meeting, November 15-16, 2012, Western Kentucky University, Bowling Green, KY

Adjournment

\* A lunch break will be taken midway through the meeting (lunch provided for CPE members only).



**MINUTES**  
**Council on Postsecondary Education**  
**June 21, 2012**

The Council on Postsecondary Education met Thursday, June 21, 2012, at 9 a.m. at the Council offices in Frankfort, Kentucky. Chair Pam Miller presided.

**WELCOME**

Ms. Miller welcomed everyone to the meeting.

**ROLL CALL**

The following members were present: Glenn Denton, Dan Flanagan, Joe Graviss, Dennis Jackson, Nancy McKenney, Pam Miller, Donna Moore, Kirby O'Donoghue, Marcia Ridings, Jim Skaggs, and Joe Weis. Terry Holliday, Lisa Osborne, and Joe Wise did not attend.

**APPROVAL OF MINUTES**

The minutes of the April 20 meeting were approved as distributed.

**ACCESS TO HIGHER  
EDUCATION IN  
UNDERSERVED AREAS IN  
KENTUCKY**

Mr. Dennis Jones, President, and Dr. Aims McGuinness, Senior Associate, National Center for Higher Education Management Systems, presented information on access to higher education in underserved areas in Kentucky.

In January of this year Governor Beshear commissioned the National Center for Higher Education Management Systems (NCHEMS) to evaluate the feasibility of moving the University of Pikeville into the state university system.

The NCHEMS analysis shows that educational attainment levels in the 12 counties in question tend to trail many areas of the state; students who stay in the region for postsecondary education tend to attend community colleges rather than four year campuses; students' ability to take advantage of extended public university campuses to complete their degrees varies substantially from county to county; and given the comparatively low incomes of the region, many students find the cost of attending a four year private institution prohibitively expensive. The NCHEMS analysis also reveals that college readiness levels in the region tend to be lower than other areas of the state, a fact that directly contributes to the lower educational attainment levels.

The NCHEMS analysis report highlights not only the challenges in the far east region of the state, but in a number of other areas in the Commonwealth facing equally low levels of educational attainment and limited geographic access to lower-cost providers. While Kentucky is not alone among states in addressing regional disparities in terms of educational attainment (and related characteristics such as income and employment), addressing these "regional education gaps" will be essential to meeting the state's overall education and economic goals and improving the quality of life for all citizens.

Ms. Miller directs President King and the Council staff to form a taskforce to review the access of higher education in the areas of Kentucky identified by NCHEMS. President King said that the Council staff will take a deeper look at this issue and will work with the public and private institutions during the review.

**PERFORMANCE  
PRESENTATIONS –  
AIKCU, KENTUCKY  
STATE UNIVERSITY**

Dr. Gary Cox, AIKCU's president, Mr. Mason Dyer, AIKCU's vice president for external relations and information, and Dr. Bill Huston, St. Catharine College's president and the AIKCU chair, provided a report from the independent institutions to the Council including progress toward the Strategic Agenda.

Dr. Cox recognized presidents from the independent colleges in attendance at the meeting.

Dr. Mary Sias, KSU's president, provided the Council an update on KSU's progress on the key performance measures in the state's Strategic Agenda.

STRATEGIC AGENDA  
FOCUS AREA – STUDENT  
SUCCESS

Dr. John Hayek, CPE's senior vice president for budget, planning, and policy, and Dr. Aaron Thompson, CPE's senior vice president for academic affairs, provided an overview and update on the student success objectives and strategies.

Ms. Robin Morely, Kentucky Higher Education Assistance Authority's interim executive director, and Mr. Ted Franzeim, Kentucky Higher Education Assistance Authority's senior vice president, provided information on postsecondary student borrowing in Kentucky.

CPE PRESIDENT'S  
REPORT TO THE  
COUNCIL

A written report from Mr. King, CPE's president, was distributed. The report included an update on the preliminary degrees report, the launch of KnowHow2Transfer.org, the Kentucky Innovations Conference, the GEAR UP Kentucky Alliance Institute, and recent CPE staff highlights.

President King reported on a recent meeting of the institutional presidents, provosts, and chief academic officers on teacher preparation and professional development.

He also reported on a recent meeting he and Ms. Miller had with Governor Steve Beshear regarding the Strategic Agenda, including the metrics and campus report cards. Governor Beshear was pleased with the information.

2012-13 TUITION AND  
MANDATORY FEE  
RECOMMENDATION,  
EASTERN KENTUCKY  
UNIVERSITY, KENTUCKY  
COMMUNITY AND  
TECHNICAL COLLEGE  
SYSTEM, KENTUCKY STATE  
UNIVERSITY, MOREHEAD  
STATE UNIVERSITY, MURRAY  
STATE UNIVERSITY,  
UNIVERSITY OF KENTUCKY,  
UNIVERSITY OF LOUISVILLE,  
AND WESTERN KENTUCKY  
UNIVERSITY

Dr. Bill Payne, CPE's senior associate for finance, presented the staff recommendation that the Council approve the 2012-13 tuition and mandatory fee proposal for Eastern Kentucky University, Kentucky Community and Technical College System, Kentucky State University, Morehead State University, Murray State University, University of Kentucky, University of Louisville, and Western Kentucky University. The Council approved Northern Kentucky University's tuition and fee schedule in April.

The rate increases for undergraduate, resident students include: Eastern Kentucky University, 5.2 percent; Kentucky Community and Technical College System, 3.7 percent; Kentucky State University, 5 percent; Morehead State University, 5 percent; Murray State University, 4 percent; University of Kentucky, 6 percent; University of Louisville, 6 percent; and Western Kentucky University, 4.9 percent.

The tuition action also included nonresident, undergraduate floor rates of two times the resident rate with two requested exceptions, as well as graduate rates for resident and nonresident students.

Eastern Kentucky University requested an exception to the nonresident rate floor for its undergraduate, nonresident targeted areas rate. The proposed 2012-13 targeted areas rate is \$11,856, or 1.62 times the resident rate.

Western Kentucky University requested an exception to the nonresident rate floor for its undergraduate Tuition Incentive Program (TIP) rate. The proposed nonresident TIP rate is 1.31 times the in-state rate. The university's regular out-of-state rate is 2.5 times the in-state rate.

Ms. Moore and Mr. Denton requested that the rounding to the nearest whole dollar practice with setting the resident, undergraduate tuition and mandatory fee ceilings be explained clearly prior to the Council voting on the next tuition setting process.

MOTION: Dr. McKenney moved that the Council approve the 2012-13 tuition and mandatory fee proposal for Eastern Kentucky University, Kentucky Community and Technical College System, Kentucky State University, Morehead State University, Murray State University, University of Kentucky, University of Louisville, and Western Kentucky University. Mr. Jackson seconded the motion.

VOTE: The motion passed.

DOCTORATE IN  
OCCUPATIONAL  
THERAPY, EASTERN  
KENTUCKY UNIVERSITY

Dr. Tom Martin, CPE's senior associate for academic affairs, presented the staff recommendation that the Council approve the Doctorate in Occupational Therapy at Eastern Kentucky University (CIP Code: 51.2306).

MOTION: Ms. Moore moved that the proposed Doctorate in Occupational Therapy at Eastern Kentucky University (CIP Code: 51.2306) be approved. Dr. McKenney seconded the motion.

VOTE: The motion passed.

BACHELOR OF SCIENCE  
IN AGRICULTURE,  
FOOD, AND  
ENVIRONMENT,  
KENTUCKY STATE  
UNIVERSITY

Dr. Martin presented the staff recommendation that the Council approve the Bachelor of Science in Agriculture, Food, and Environment from Kentucky State University (CIP Code: 01.0000).

MOTION: Mr. Jackson moved that the proposed Bachelor of Science in Agriculture, Food, and Environment from Kentucky State University (CIP Code: 01.0000) be approved. Ms. Moore seconded the motion.

VOTE: The motion passed.

PH.D. IN  
EPIDEMIOLOGY AND  
BIostatISTICS,  
UNIVERSITY OF  
KENTUCKY

Dr. Martin presented the staff recommendation that the Council approve the Ph.D. in Epidemiology and Biostatistics from the University of Kentucky (CIP Code: 26.1102).

MOTION: Ms. Ridings moved that the proposed Ph.D. in Epidemiology and Biostatistics from the University of Kentucky (CIP Code: 26.1102) be approved. Ms. O'Donoghue seconded the motion.

VOTE: The motion passed.

BACHELOR OF SCIENCE  
IN EDUCATION: STEM  
EDUCATION,  
UNIVERSITY OF  
KENTUCKY

Dr. Martin presented the staff recommendation that the Council approve the Bachelor of Science in Education: STEM Education at the University of Kentucky (CIP Code: 13.1399).

MOTION: Mr. Jackson moved that the proposed Bachelor of Science in Education: STEM Education at the University of Kentucky (CIP Code: 13.1399) be approved. Ms. Ridings seconded the motion.

VOTE: The motion passed.

FIRST PROFESSIONAL  
CERTIFICATE IN  
PROSTHODONTICS/  
PROSTHODONTOLOGY,  
UNIVERSITY OF  
LOUISVILLE

Dr. Martin presented the staff recommendation that the Council approve the First Professional Certificate in Prosthodontics/Prosthodontology at the University of Louisville (CIP Code: 51.0511).

MOTION: Mr. Flanagan moved that the proposed First Professional Certificate in Prosthodontics/Prosthodontology at the University of Louisville (CIP Code: 51.0511) be approved. Mr. Jackson seconded the motion.

VOTE: The motion passed.

COMMITTEE ON EQUAL  
OPPORTUNITIES REPORT

Dr. Rana Johnson, CPE's chief diversity officer, provided a report from the Committee on Equal Opportunities. The report included an update on the CEO's June 18 retreat, the 12<sup>th</sup> Annual Governor's Minority Student College Preparation Program (GMSCPP) Statewide Conference hosted by the University of Louisville June 5-6, 2012, the 25<sup>th</sup> Annual Academically Proficient High School Junior and Senior Diversity Conference hosted by Western Kentucky University June 15-16, 2012, the College Board Preparatory Conference 2012, the SREB Doctoral Scholars Program, and the CEO's next meeting on October 15, 2012.

KENTUCKY ADULT  
EDUCATION REPORT -  
2012-13 FISCAL AGENTS

Ms. Janet Hoover, CPE's director of adult education system support, provided a report on the Request for Proposals (RFP) process resulting in the selection of fiscal agents providing adult education services for 2012-13. Kentucky Adult Education (KYAE) is required by the federal Workforce Investment Act, Title II, to periodically conduct a statewide competitive RFP grant process.

COMMISSIONER OF  
EDUCATION REPORT

Commissioner Holliday was not available to attend the June 21 meeting but did provide a written report included in the agenda book.

EXECUTIVE COMMITTEE  
REPORT - PRESIDENT  
EVALUATION

Ms. Miller reported on the 2011-12 president evaluation process and the outcome of the survey responses. She said that the responses were overall favorable of President King's performance.

Mr. King thanked Council members, Council staff, and campus representatives for the support.

2012-13 CPE WORK  
PLAN

Mr. King presented the 2012-13 CPE work plan.

Staff will bring the 2012-13 CPE work plan before the Council for action at its September meeting.

REPORTS FROM INSTITUTIONS

Ms. Miller called attention to the reports from the institutions included in the agenda book.

RESOLUTIONS

The Council presented three resolutions honoring the following: Dr. James Votruba, who recently retired as president of Northern Kentucky University with 15 years of service; student Council member Ms. Kirby O'Donoghue whose term expires June 30; and Council staff member Ms. Irish Dunigan-Harrison who is retiring the end of July.

MOTION: Mr. Flanagan moved that the Council approve the resolutions of service for Dr. Votruba, Ms. O'Donoghue, and Ms. Dunigan-Harrison. Mr. Jackson seconded the motion.

VOTE: The motion passed.

OTHER BUSINESS

Ms. Miller appointed Dr. McKenney to the Committee on Equal Opportunities as the Council's faculty representative.

NEXT MEETING

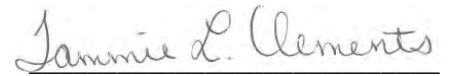
The next Council meeting is at the Jefferson Community and Technical College in Louisville, Kentucky.

Mr. King said that the decision was made to move the Governor's Conference on Postsecondary Education Trusteeship to a biennial rather than annual schedule. The decision was made in part due to budget and staffing cutbacks and to create an event that is both memorable and valuable for attendees, particularly for board members, and to increase participation and allow for an improved conference. The next statewide conference will be held in September 2013.

ADJOURNMENT

The meeting adjourned at 2:30 p.m.

  
Robert L. King  
CPE President

  
Tammie L. Clements  
Associate, Executive Relations





**Council on Postsecondary Education  
September 14, 2012**

**Overview and Update on  
Research, Economic, and Community Development  
Objectives and Strategies**

In February 2011, the Council on Postsecondary Education approved “Stronger by Degrees: A Strategic Agenda for Kentucky Postsecondary and Adult Education” for 2011-15. The Council staff will provide updates of the four focus areas of the Strategic Agenda throughout the year.

This meeting will be the first to present progress made toward the Research, Economic, and Community Development focus area.

The agenda advances a number of policy objectives to guide the postsecondary system’s work in this area. Invited panelists, Dr. James Tracy, Vice President for Research, University of Kentucky; Dr. William Pierce, Executive Vice President for Research and Innovation, University of Louisville; and Mr. Kris Kimel, President, Kentucky Science and Technology Corporation (KSTC), will discuss the priorities for research and economic growth by institutional investments in research with and between the institutions and KSTC.

Also, Ms. Mary Gwen Wheeler, Executive Director of the 55,000 Degrees Program, will share information about this community-wide initiative in Louisville to raise educational attainment and improve the quality of life in the region.

Council staff will provide information on performance metrics for this policy area and will update Council members on current and proposed state level strategies to make progress on these objectives.

# STRONGER by DEGREES

## A STRATEGIC AGENDA

for Kentucky Postsecondary  
and Adult Education



**Focus on Research, Economic, & Community Development**

Presentation to the Council on Postsecondary Education

September 14, 2012



# Four Areas of Focus

**COLLEGE  
READINESS**

**STUDENT  
SUCCESS**

**RESEARCH,  
ECONOMIC, &  
COMMUNITY  
DEVELOPMENT**

**EFFICIENCY &  
INNOVATION**

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## Policy Objectives

- **Policy Objective 6:** Increase basic, applied, and translational research to create new knowledge and economic growth.
- **Policy Objective 7:** Increase educational attainment and quality of life in Kentucky communities through regional stewardship, public service, and community outreach.

[Dashboard](#)





# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



## Statewide Performance Metrics

Explore by clicking!

### College Readiness



Trend

Readiness of Kentucky high school graduates who enter college



GED® graduates



New teacher excellence (top 15% nationally)



### Student Success

Trend

Degrees and credentials conferred



Graduation rates (bachelor's and associate)  
Graduation rate gaps of lower-income, underprepared, and underrepresented minority students



State appropriations for public higher education



Low-income students without grants



### Research, Economic, and Community Development

Trend

Research and development funding



Degrees and credentials in science, technology, engineering, mathematics, and health-related fields



Educational attainment at the associate or higher degree level, ages 25-44



### Efficiency and Innovation

Trend

Online learning



Degree productivity



# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



Dashboard Home

College Readiness

Student Success

Research, Economic & Comm Develop

Efficiency and Innovation

## Research, Economic & Community Development

Statewide Metrics

Institutional Metrics

Regional Metrics

Scorecards

Technical Guide

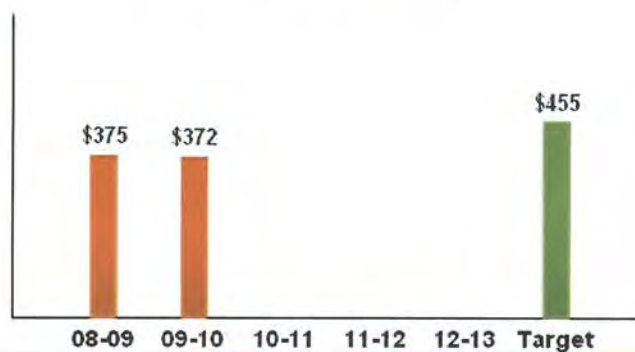
Strategies

Questions?

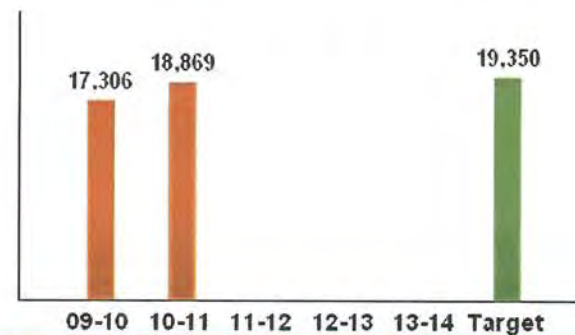
**Objective 6: Increase basic, applied, and translational research to create new knowledge and economic growth.**

Strategies

Externally-funded research and development  
(in millions of dollars) ?



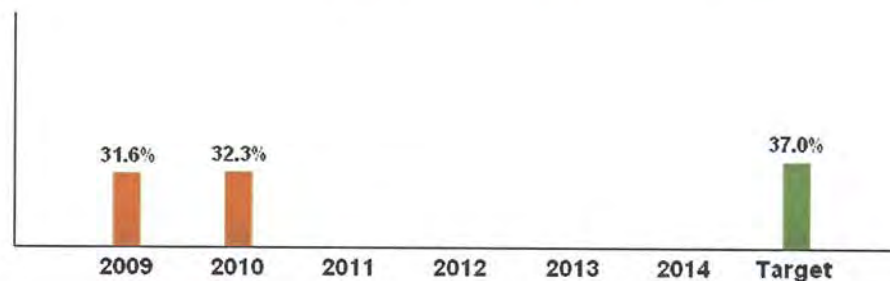
Degrees and credentials in science, technology, engineering, mathematics, and health-related fields ?



**Objective 7: Increase educational attainment and quality of life in Kentucky communities through regional stewardship, public service and community outreach.**

Strategies

Educational attainment at the associate or higher degree level, ages 25-44 ?





# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



Dashboard Home

College Readiness

Student Success

Research, Economic & Comm Develop

Efficiency and Innovation

## Research, Economic & Community Development

Statewide Metrics

Institutional Metrics

Regional Metrics

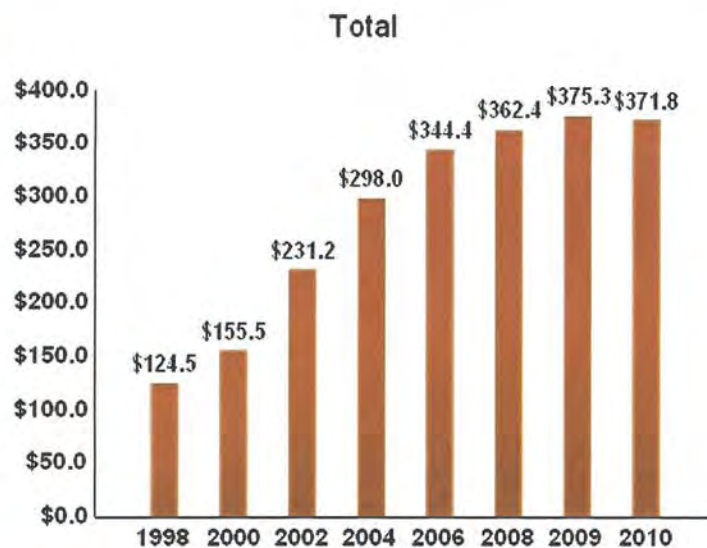
Scorecards

Technical Guide

Strategies

Questions?

Externally-funded research and development  
(in millions of dollars) ?



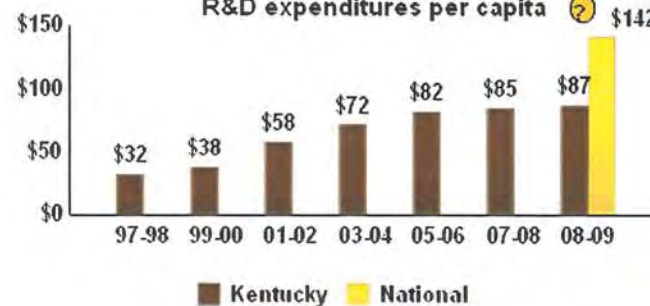
Performance Metric

Total

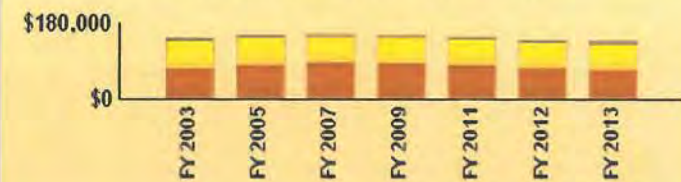
Funding Source

Go Back

R&D expenditures per capita ?



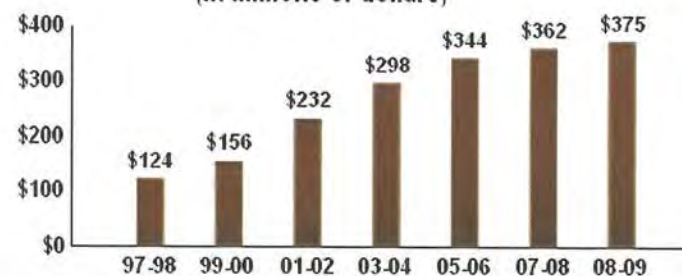
Federal R&D budgets (in millions) ?



Other R&D funding ?

Institutional funding

(in millions of dollars)





# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



Dashboard Home

College Readiness

Student Success

Research, Economic & Comm Develop

Efficiency and Innovation

## Research, Economic & Community Development

Statewide Metrics

Institutional Metrics

Regional Metrics

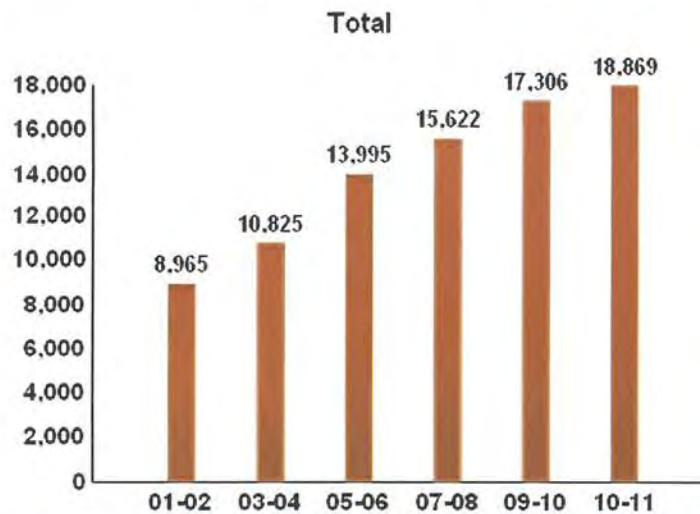
Scorecards

Technical Guide

Strategies

Questions?

Degrees and credentials in science, technology, engineering, mathematics, and health-related fields



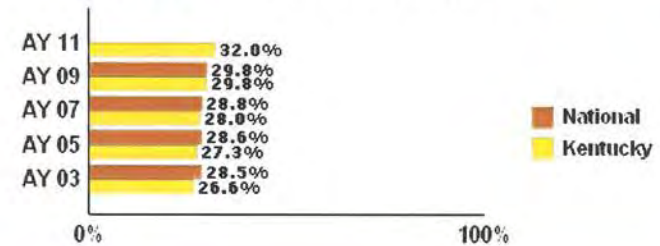
Total

Total

Degree Level

Go Back

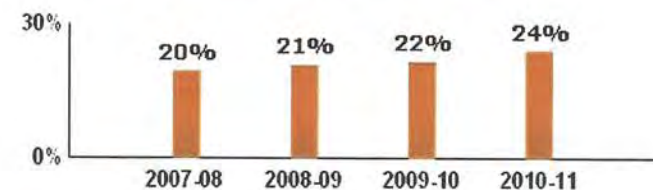
Percent of degrees in STEM+H fields



Enrollment in STEM+H majors



11th-graders meeting STEM ACT benchmarks



College Algebra (22)

Science (24)

# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



Dashboard Home

College Readiness

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## Research, Economic & Community Development

Statewide Metrics

Institutional Metrics

Regional Metrics

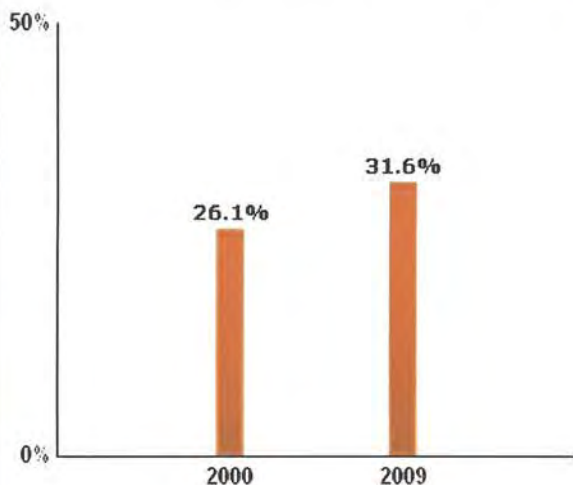
Scorecards

Technical Guide

Strategies

Questions?

### Educational attainment of young adults



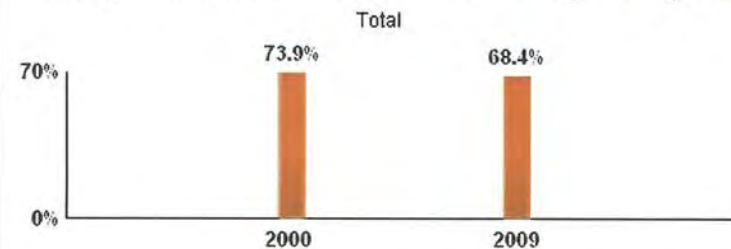
Metric

Metric

Highest degree earned

Go Back

### Young adults without an Associate or higher degree



Total  Less than HS  HS/GED  Some college

### Closing the college degree gap

Undergraduate degrees per 1,000 young adults without an undergraduate degree



### Seniors prepared to address community problems



Not prepared  
Not sure  
Prepared



# STRONGER by DEGREES

A STRATEGIC AGENDA for Kentucky Postsecondary and Adult Education



Dashboard Home

College Readiness

Student Success

Research, Economic & Comm Develop

Efficiency and Innovation

## Research, Economic & Community Development

Statewide Metrics

**Objective 6: Increase basic, applied, and translational research to create new knowledge and economic growth.**

Institutional Metrics

No Regional Metrics have been adopted under Objective 6.  
Refer to Institutional Metrics for additional measures.

Regional Metrics

**Objective 7: Increase educational attainment and quality of life in Kentucky communities through regional stewardship, public service and community outreach.**

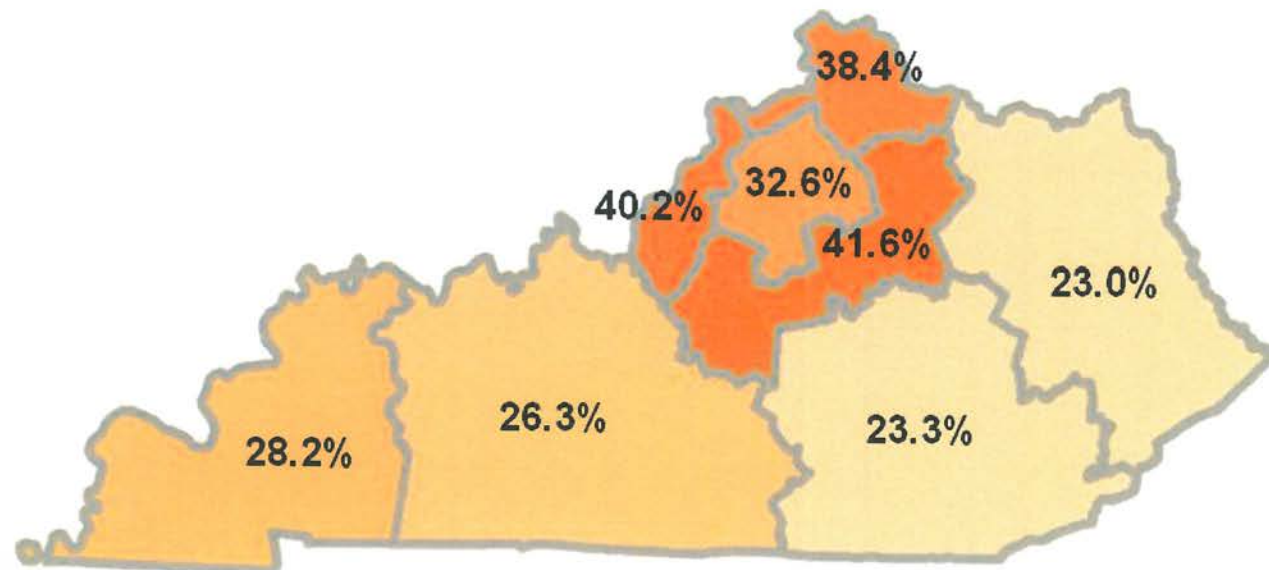
Scorecards

Educational attainment at the associate or higher degree level,  
ages 25-44, 2010 ?

Technical Guide

Strategies

Questions?



# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## **Policy Objective 6: Increase basic, applied, and translational research to create new knowledge and economic growth.**

Panel Discussion: *Commonwealth's Research Environment 2012*

- **James Tracy**, Vice President for Research, University of Kentucky
- **William Pierce, Jr.**, Executive VP for Research & Innovation, University of Louisville
- **Kris Kimel**, President, Kentucky Science and Technology Corporation

Moderator: President Robert L. King, CPE

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## Panel Discussion: Topics for Consideration

- Success and future of the Bucks for Brains program.
- Advancing the research agenda in an era of diminished state, federal, and private resources.
- The role of the Kentucky Science and Technology Corporation in furthering patent developments and bridging business and industry interests with institutional and federal priorities.
- Opportunities for increased collaboration among Kentucky's universities in research and development.
- The role of CPE in helping advance the state's larger research agenda.
- Building and maintaining Kentucky's human capital and strengthening the STEM+H education pipeline.

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



**Policy Objective 7: Increase educational attainment and quality of life in Kentucky communities through regional stewardship, public service, and community outreach.**

Presentation:

***Increasing Educational Attainment in Louisville - 55K Degrees Program***

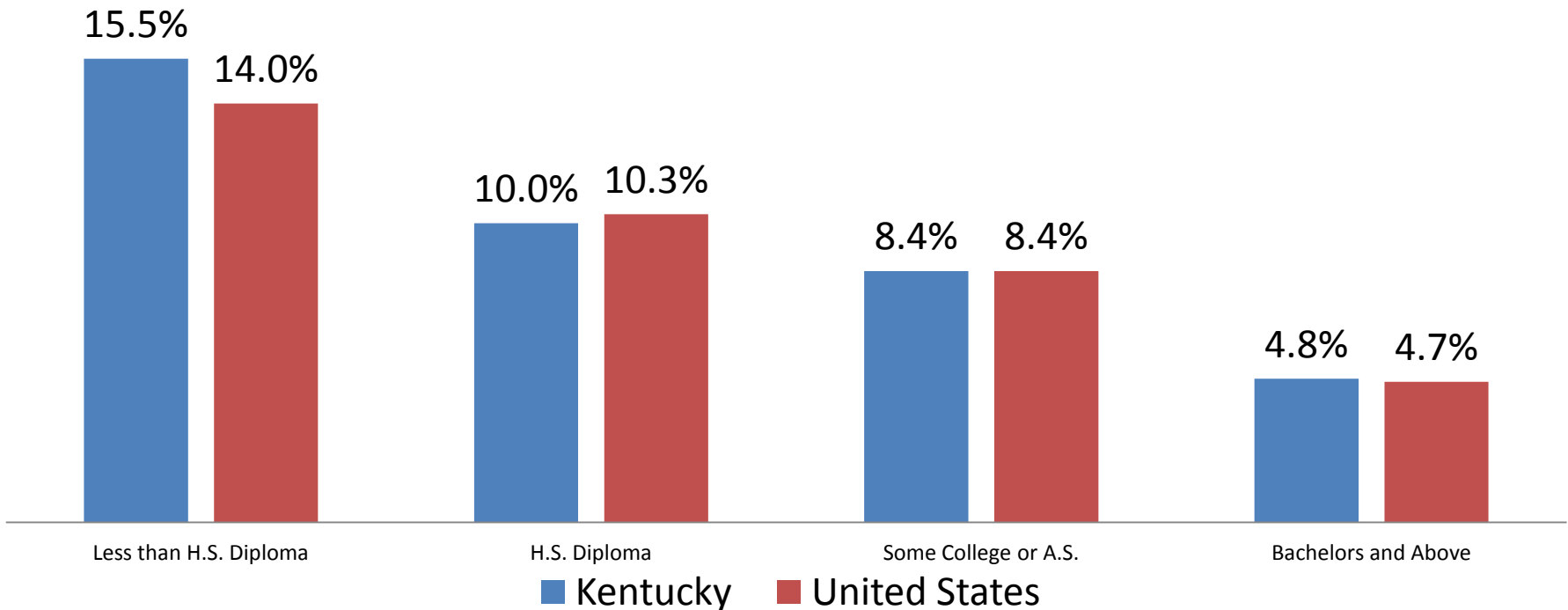
- **Mary Gwen Wheeler, Executive Director**

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



Nationally, the greater your level of educational attainment, the more likely a person is to be employed. The same trends are present in Kentucky.

### Annualized Unemployment Rates by Educational Attainment, 2010

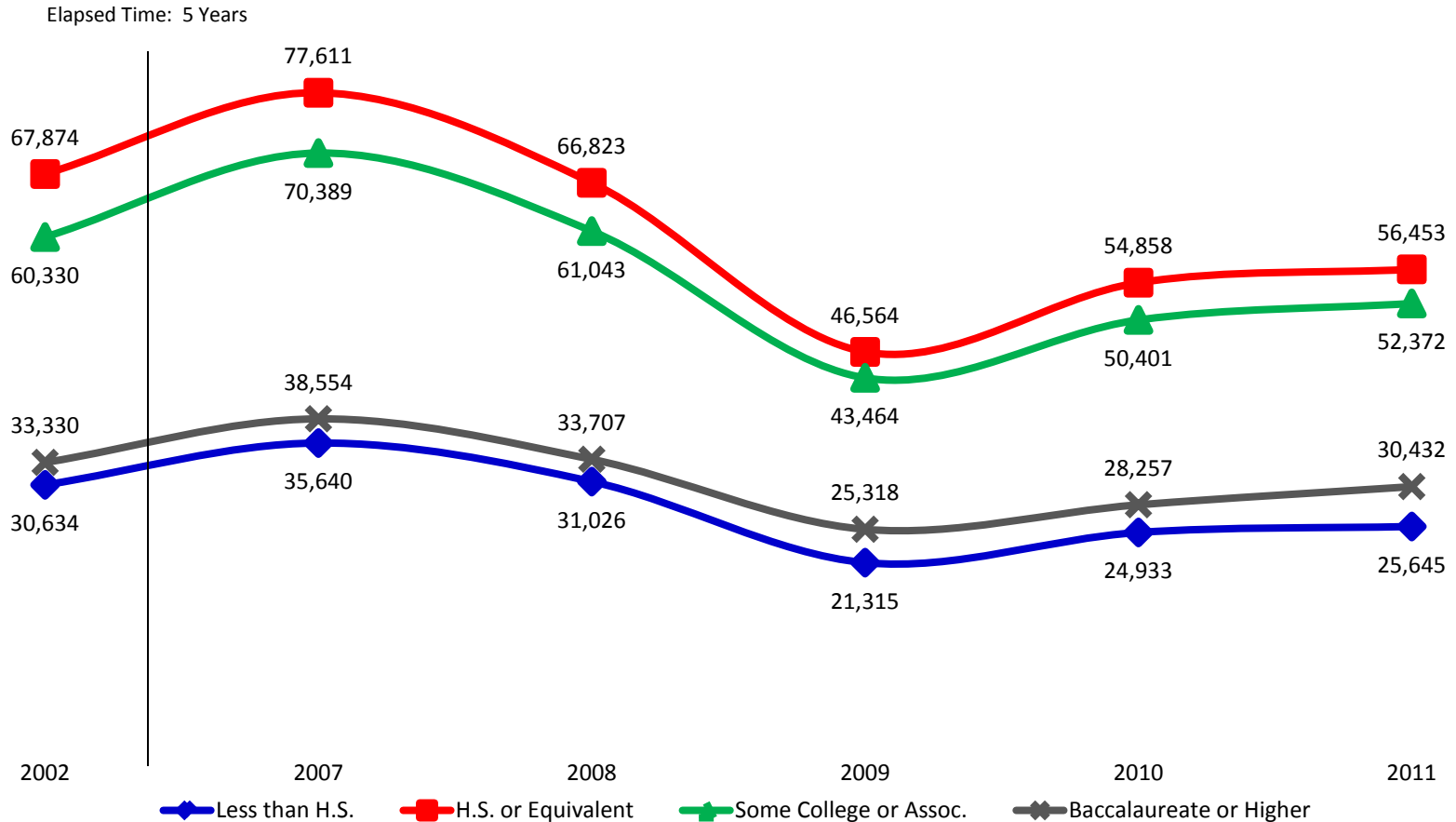


Source: Bureau of Labor Statistics

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## New Hires In KY By Educational Attainment, (2nd Quarter) 2002-2011



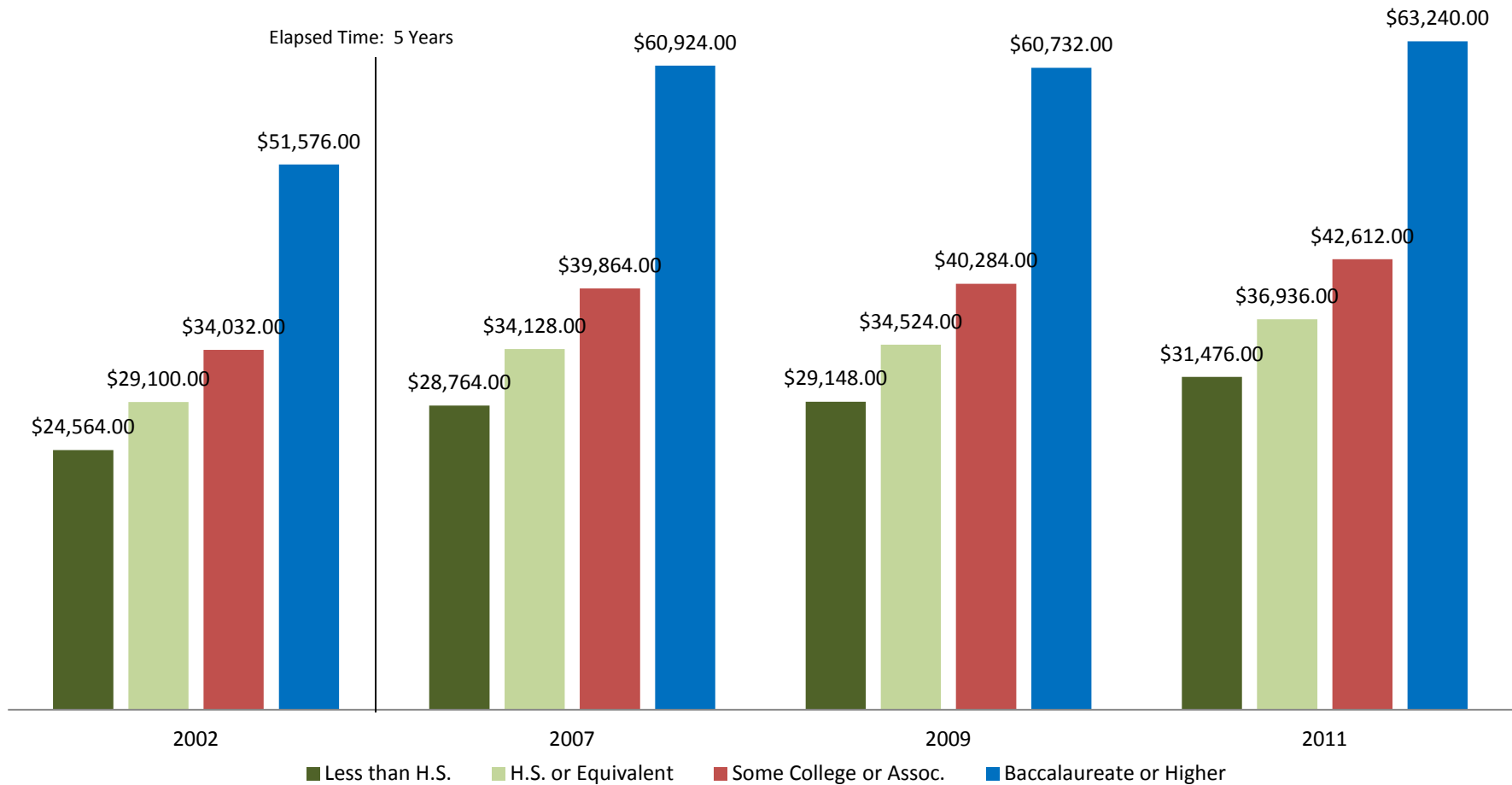
Source: CPE estimates using US Census Bureau, QWI/LED Online Data



# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## Average Annualized Earnings By Educational Attainment In KY, 2002-2011



Source: CPE estimates using US Census Bureau, QWI/LED Online Data

# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT



## Ensuring Student Success Beyond Graduation

- **College2Career Group:** an advisory coalition intended to strengthen and expand partnerships among institutions, agencies, and organizations.
- **NGA Grant:** used to identify the relationships between degrees and workforce and economic growth, and evaluate performance metrics.
- **Research Agenda:**
  - Workforce supply/demand gap analyses.
  - Evaluation of progress towards STEM+H and Targeted Industry Sector goals.

# STRONGER by DEGREES

## A STRATEGIC AGENDA

for Kentucky Postsecondary  
and Adult Education



**Focus on Research, Economic & Community Development**

Presentation to the Council on Postsecondary Education

September 14, 2012

# Council on Postsecondary Education Regional Meeting

JCTC Downtown Campus  
September 14, 2012

**55,000**  
**Degrees**

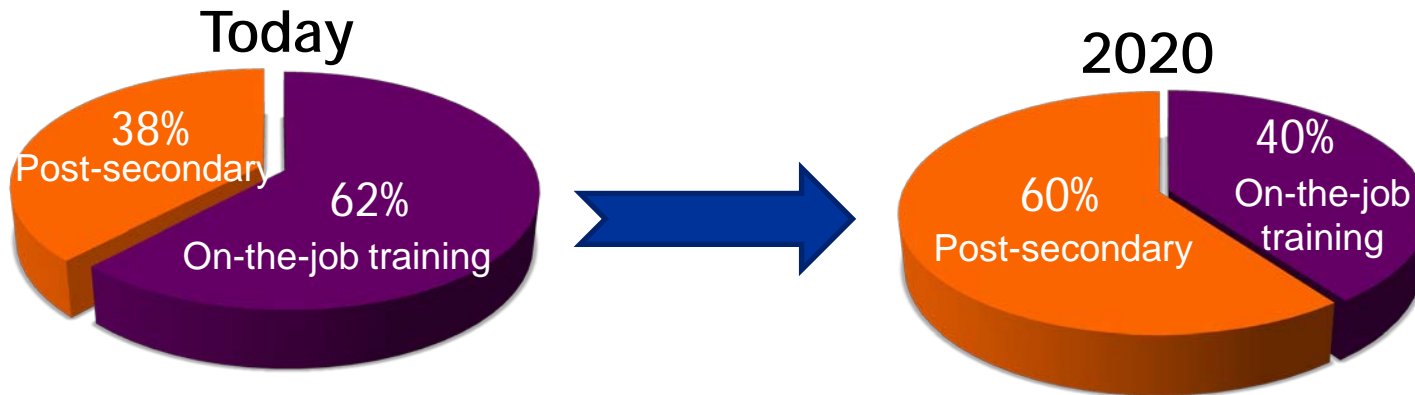


Mary Gwen Wheeler,  
Executive Director  
55,000 Degrees



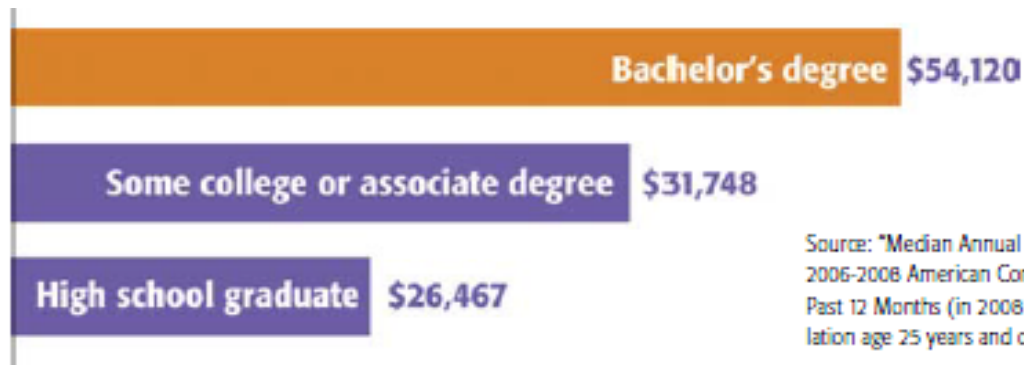
# More education = More innovation = More jobs

## New jobs will require more education



Source: EMSI, TIPS Strategies, excludes military employment

## Educated workers in Louisville earn more



Source: "Median Annual Earnings by Education Attainment, Louisville Metro": U.S. Census Bureau, 2006-2008 American Community Survey 3-year average, Table B20004, "Median Earnings in the Past 12 Months (in 2008 inflation-adjusted dollars) by sex by educational attainment for the population age 25 years and over with earnings."

**55,000**  
Degrees



# Greater Louisville Project data was our driver

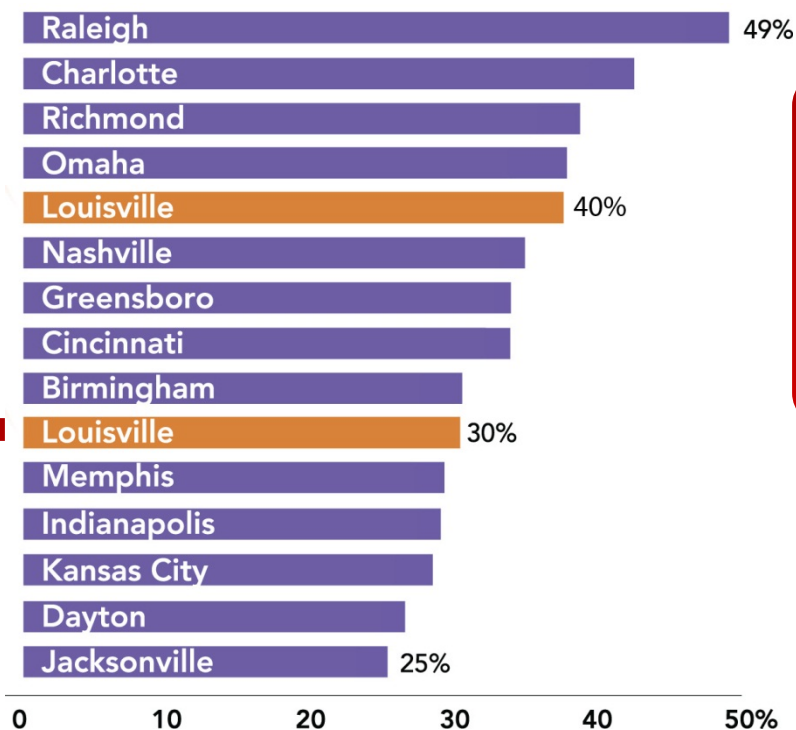
- Established at time of city/county merger (2003)
- Three Deep Drivers of Change
  - Education
  - Jobs
  - Quality of Life
- Set goal to move Louisville's percent of college educated adults to the top tier of competitor cities and the nation



# How Louisville stacks up against its competitor cities

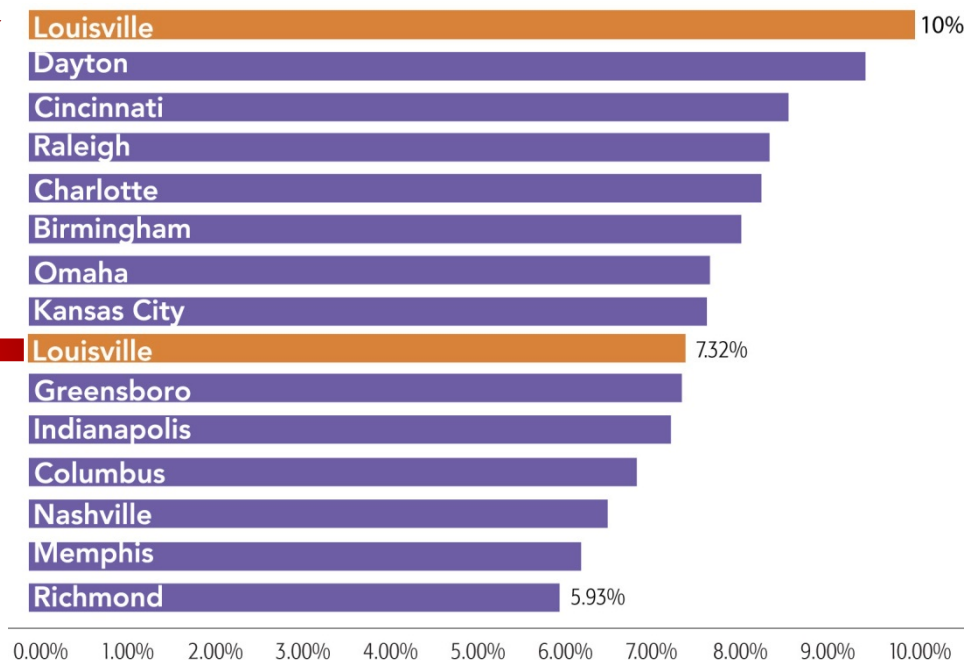
10<sup>th</sup> of 15 competitor cities in Working-age population 25 to 64 with a bachelor's degree or higher

**2020**



9<sup>th</sup> of 15 competitor cities in Working-age population 25 to 64 with an associate's degree

**2020**



Source: U.S. Census Bureau 2006-08 American Community Survey 3-year estimates

**55,000  
Degrees**

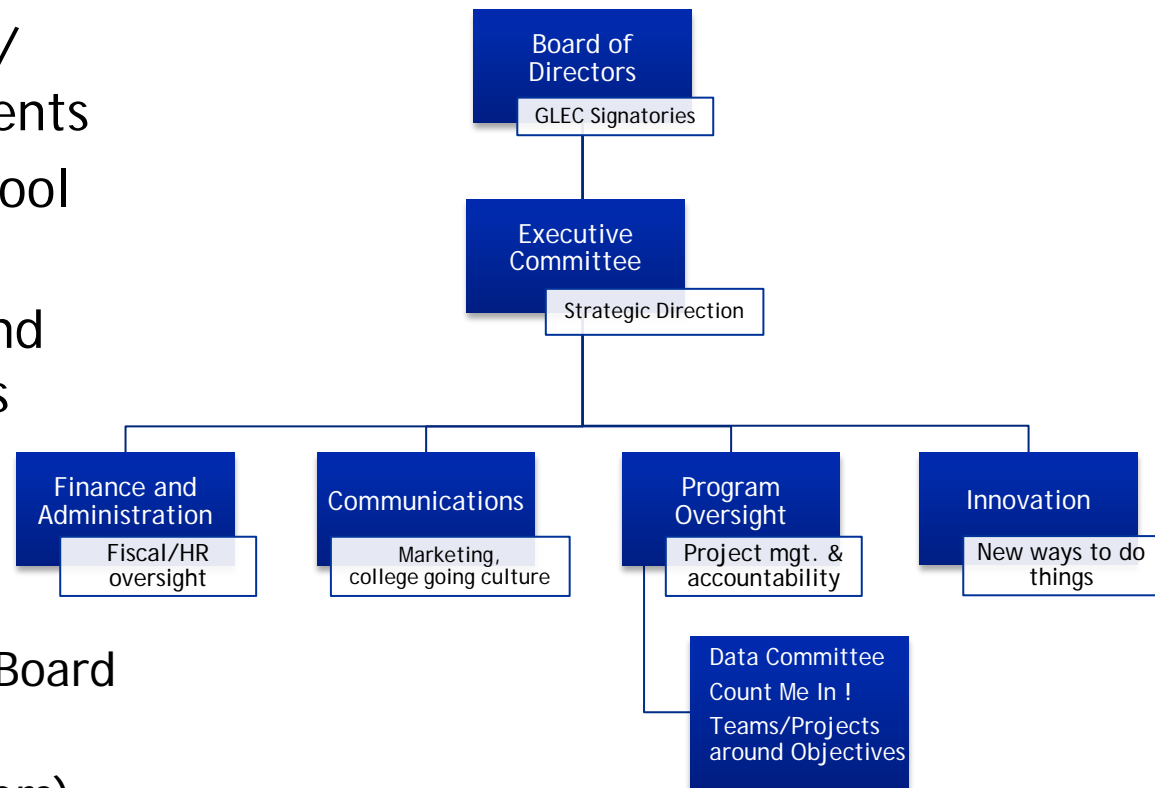




# Began with Mayor's Education Roundtable

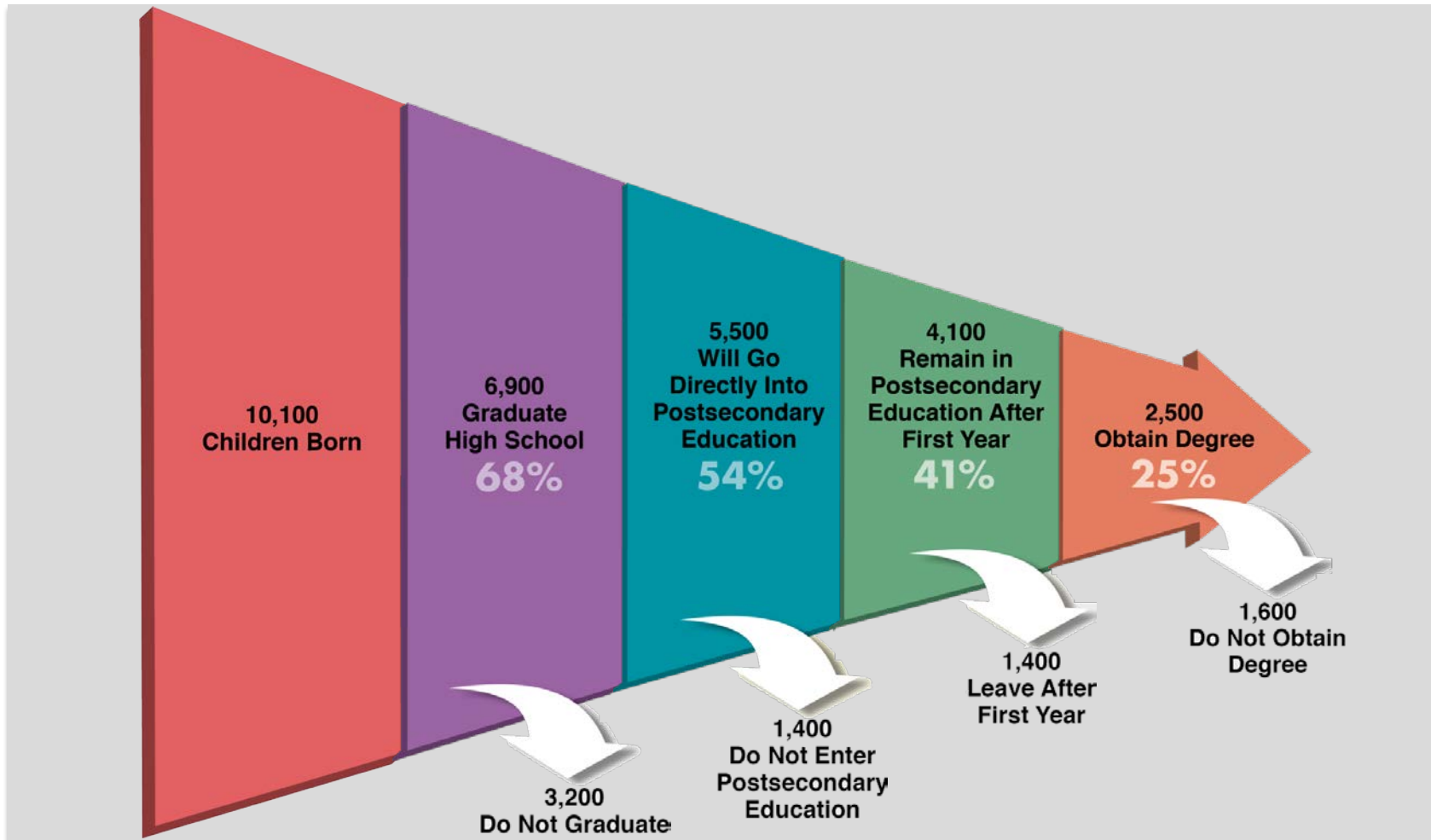
## Members:

- University & community/ technical college presidents
- Public and parochial school superintendents
- United Way, Chamber and Urban League presidents
- Leadership from
  - Greater Louisville Project
  - Workforce Investment Board
  - Business Leaders for Education (top employers)
  - YouthPrint (out-of-school time alliance)





# Education Pipeline: Jefferson County, Birth through College



Source: Greater Louisville Project

**55,000**  
Degrees



# How we plan to get there

1. Create and support a college-going and college-finishing culture
2. Use the business community's unique points of leverage to accelerate attainment
3. Prepare students for success in college, career, citizenship and life
4. Make post-secondary education accessible and affordable
5. Increase educational persistence, performance and progress



# Where the degrees will come from

- Encouraging adults, particularly those with college credit hours, to complete degrees (*100,000 adults in our area have some college, but no degree*)
- Increasing percentage of existing college students who graduate
- Inspiring more high school students to attend college (*Close the Deal*)
- Attracting more college graduates to Louisville



# Tracking Progress through the Education Data Dashboard on [www.55000Degrees.org](http://www.55000Degrees.org)

- **College-going culture:** high school graduation rates, transition to college, top colleges attended
- **Business leverage:** participation in *Degrees at Work*, working age college enrollment
- **College readiness:** ACT scores and benchmarks, students who are college-ready, remediation
- **Affordability & accessibility:** average costs, scholarships & net price, college-access center stats
- **College persistence/performance:** college graduation and retention rates



# Challenges: We need to

- **Step up our efforts**

We are making encouraging progress in education attainment and degree completion, but **we are not yet on track to reach our goal.**

- **Better prepare our students**

Low college readiness of high school seniors and the high rates of students needing to take development courses show there are **far too many students entering college unprepared to handle the coursework.**

- **Support returning students**

Adult and **non-traditional students returning to college will require special support** and innovative ways to meet the needs of working adult students.



*How will we get there?*

## One Degree at a Time!

- Educational institutions pledge to increase the number of students who graduate
- Businesses pledge to provide tuition assistance and flexible work schedules
- Non-profit organizations pledge to provide scholarships and mentors
- 15K initiative will address minority college attainment
- Out-of-school time providers pledge to counsel students and create college-going culture



# 55K Founding Partner Pledges

- **8** local higher education institutions signed the Greater Louisville Education Commitment in support of 55,000 Degrees
- **5** have set a specific goal for degree production by 2020
- Collectively, these goals account for **41,907** Bachelor's Degrees and **22,987** Associate Degrees
- All are providing annual degree completion data to 55K to track progress toward their annual goal



# Student Success Framework

## Purpose of creating framework:

1. Create an easily understood graphic of what it takes for students to be successful, to be used as a “roadmap” or checklist by students, parents and teachers, and as a guide to counselors and community advocates for interventions and supports.





# Student Success Continuum

2. Provide a framework to which we can map programs, interventions, supports so that we can a) assess redundancy and gaps and b) identify effective (and ineffective) practice
3. Create a way to organize transformative community action to achieve the five objectives and overall goal of 55K and student success in general



# National attention and reach

- 3 Documentary short videos funded by Lumina Foundation
  - <http://55000degrees.org/videos/>
- Communities Learning in Partnership network funded by Bill and Melinda Gates Foundation
- One of 57 MSAs competing for \$1M Talent Dividend Prize
- Numerous presentations, op-eds and articles
  - US Conference of Mayors (Mayor Fischer)
  - National College Access Network/Grantmakers for Education Summit on Post-Secondary Success
  - Woodrow Wilson Foundation College and Career Readiness Summit
  - American Chamber of Commerce Association mtg on Higher Ed
- Delegations from Dayton, San Antonio, Greensboro, Columbus



# Policies supporting College Completion

- Continue to support implementation of SB1 even if (when?) scores decline
- Raise the compulsory age of high school attendance to 18
- Reverse decline in Higher Ed funding; seek ways for more eligible low-income students to receive aid



# Pay-off for investing in college completion & reaching 55K

- Economic benefits (annually) of halving Jeff Co's HS dropouts: \$27 million in increased earnings, \$19 million increased spending and \$4 million in tax revenues - *Alliance for Excellent Education*
- A 1% increase in 4-yr college attainment for Louisville MSA = over \$938 million in aggregate annual personal income - *CEOs for Cities Talent Dividend*





## 2011-15 Strategic Agenda

### Focus on Research, Economic, and Community Development

Goal: Kentucky will be stronger by generating new knowledge and research investments, producing high-demand degrees, increasing the educational attainment of its workforce, and improving its communities.

#### **Policy Objective 6: Increase basic, applied, and translational research to create new knowledge and economic growth.**

How to educate our students, encourage their innovative thinking, and channel innovations into commerce is the challenge presented in Policy Objective 6. Despite a difficult economy, Kentucky universities continue to develop and market discoveries in such fields as nano-satellite technologies, space science, medicine (spinal cord, cancer, heart, and pharmaceutical research), energy, and agriculture. Doing so with limited resources requires extensive collaboration with the private sector and a watchful eye on available federal research dollars.

- **Scholar Entrepreneurs** - Job creation in Kentucky's rural and economically depressed areas is a concern of both economic developers and educators. One strategy for facilitating economic growth is the expansion of entrepreneurship programs on campus. Kentucky's postsecondary institutions are taking steps to work with campus researchers and entrepreneurial business leaders to provide coordinated assistance to students hoping to start their own businesses.
- Kentucky's investments in a knowledge-based economy guided by the **Kentucky Science and Technology Corporation (KSTC)** continue to be strong. Even in a severe global recession, Kentucky's research, technology commercialization, and economic development efforts have remained viable and focused. The **Bucks for Brains** and **Small Business Innovation Research-Small Business Technology Transfer (SBIR-STTR) matching grants** have been very effective public investments that continue to pay dividends by creating technology jobs and attracting additional public and private research investment to Kentucky. The additional appropriation of public funds to recruit high-tech researchers, build research labs, and create new businesses in the technology sector is particularly vital.
- Commercialization of research conducted at the universities is a challenge, as the institutions face limited resources to transfer research conducted by faculty and students into private investments in the entrepreneurial and economic chain. The **Kentucky Science and Engineering Fund (KSEF)** infrastructure works to realize the commercial potential of research and development, while The **Kentucky Enterprise Fund (KEF)** provides similar early-stage funding to science and technology-driven companies throughout the Commonwealth. These funds are used to stimulate private investment in Kentucky-based technology companies, spurring growth by addressing specific needs for talent and capital. KEF provides a framework for realizing the commercial potential of R&D by providing peer review and follow-up services with developing technologies to realize their commercial potential.



## 2011-15 Strategic Agenda Focus on Research, Economic, and Community Development

- KSTC also supports the unique needs of rural science and technology entrepreneurial companies by providing seed and early-stage funding to emerging enterprises, which is supported by the same best-in-class **STC/Kentucky Enterprise Fund** infrastructure. One of these projects helped Economic Development International, Inc. assist rural communities in expanding their entrepreneurial and economic development capacity using telecommunications-driven technology strategies. The organization's rural development work and success have been featured in a number of national reports, and the project was selected as one of the featured model programs for Central European Leaders.
- **Project Lead the Way (PLTW)**—a program designed to prepare a larger, more diverse group of students for careers in engineering and engineering technology programs—continues to expand throughout the K-12 system. Students interested in math, science, and human anatomy and physiology are finding this program to be a great introduction to the numerous STEM+H fields. PLTW is now offering not only a pre-engineering curriculum but also a career pathway in biomedical areas of study. This nationally approved program is enhanced by the involvement of Kentucky universities and the requirement and commitment of school districts, working with industry, to build and maintain curricula with a strong professional development component.
- **AdvanceKentucky's** mission is to work with local, state, and national partners to dramatically expand high school students' access to and success in rigorous college-level work, particularly among student populations traditionally underrepresented in these courses. The work of AdvanceKentucky has contributed to improvements in Kentucky's ACT scores and has provided opportunities for dual credit and college and career ready student achievement.



## 2011-15 Strategic Agenda Focus on Research, Economic, and Community Development

Goal: Kentucky will be stronger by generating new knowledge and research investments, producing high-demand degrees, increasing the educational attainment of its workforce, and improving its communities.

**Policy Objective 7: Increase educational attainment and quality of life in Kentucky communities through regional stewardship, public service, and community outreach.**

**Strategy 7.1 - Strengthen and expand partnerships with business, industry, government, non-profit, and other educational entities to meet Kentucky's workforce and community needs.**

- Kentucky was one of six states last year to receive funding from the **National Governor's Association** to improve the state's capacity to gather and analyze postsecondary performance data. Funds were used to hire a consultant to develop the state's capacity to analyze the alignment and gaps between credentials produced and current and projected workforce needs, and to further develop and refine common workforce and education-related metrics and goals. The work has been guided by the **Kentucky College-to-Career (C2C) Study Group**, which is comprised of representatives from the CPE, campuses, the Governor's office, the Chamber, and various state agencies. This state leadership group will continue to meet in the coming year to examine and make recommendations about strengthening the ties between higher education, the workforce, and economic development initiatives.
- **CPE's academic program approval process** was amended in 2010 to emphasize the need to tie academic programs to workforce needs in the state. The process now requires all new programs to evaluate specific workforce demands for new program graduates as well as document available internships and clinical experience agreements. For example, Eastern Kentucky University used extensive survey data to determine the need for a new applied doctorate in occupational therapy. A questionnaire was sent to 272 practicing clinicians in the region, with 82 responses received and 86 percent indicating interest in pursuing a locally available OTD within the next one to three years. Institutions also use Bureau of Labor Statistics data and various analyses of emerging workforce trends to help determine workforce needs in the state when developing new academic program proposals.
- Kentucky's new **P20 Data Collaborative**, led by CPE, KDE, EPSB, and the KY Workforce Development and Education Cabinet, has initiated a range of projects this year to help Kentucky more fully understand the state's education and workforce challenges and opportunities. The **2012 Kentucky County Profiles**, first produced by the Council several years ago, are now available. The profiles contain education, population, employment, and other data about each of the 120 counties, Area Development Districts, and Workforce Investment Boards.



## 2011-15 Strategic Agenda

### Focus on Research, Economic, and Community Development

Upcoming P-20 Collaborative research projects include analyses related to the education-to-workforce pipeline, which will help regional and community leaders better understand workforce supply and demand and inform policy decisions.

- **The National Career Readiness Certificate (NCRC)** is a credential that documents employment skills critical to workforce success: applied mathematics, reading for information, and locating information. Kentucky Adult Education (KYAE) provides instruction and assessment leading to NCRC attainment for eligible students and has partnered with the Department of Workforce Investment to implement statewide. More than 2,221 KYAE students earned an NCRC last year.
- Over the past year, Kentucky Adult Education has partnered with KCTCS and the Education and Workforce Development Cabinet to restructure the highly successful **SkillUp Kentucky**, an instructional program that combines GED attainment with stackable certificates and an opportunity to earn college credits toward a certification or degree program, to reach more students. Now known as **Accelerating Opportunity**, the program is intended for adult education programs that may not be in proximity of or affiliated with an institution that offers technical/occupational skills, but that can prepare students to embark on further education leading to family wage employment. The program is offered in areas of high demand, growing industry sectors so that students are working toward credentials leading to employment.
- **KCTCS' Workforce Solutions** is a one-stop-shop for Kentucky business and industry that addresses a full spectrum of workforce needs, including anytime, anyplace customized training and support services, community education, regulatory training, team leadership training and certification, and workforce assessments. The **Kentucky Workforce Investment Network System (KY WINS)** is a key part of this work, providing funding to companies to assist with the cost of workforce training and assessment services to current as well as potential employees. KY WINS funds are distributed on a project basis and require a company cash match of 35 percent. The KCTCS Workforce Solutions staff assists companies in developing training plans and applying for KY WINS funding. Companies may apply individually or as a training network with other companies. To date, KY WINS has funded 1,276 programs (1,149 completed, 127 active) and served over 200,000 participants.





## 2011-15 Strategic Agenda Focus on Research, Economic, and Community Development

**Strategy 7.2 - Support collaborations among postsecondary education providers to serve regional needs and planning efforts to raise the educational attainment level of the Commonwealth.**

- **Project Graduate**, a collaborative effort between the Council and the campuses to recruit and graduate former students who have earned 80 or more credit hours from a Kentucky institution, continues to make an impact. Since its start in 2007, over 700 students had earned their degrees at public institutions through Project Graduate, nearly 2,000 students have been or are currently in the program, and it has generated \$11 million in tuition revenue for participating campuses. The program was recognized nationally in July of this year by Noel Levitz, one of the premiere higher education consulting firms in the country, as “one of the most successful, state of the art retention programs in use today.”
- During its last legislative session, the General Assembly called on the Council to work with one or two public universities to **develop an adult-friendly college** within an existing university to award bachelor’s degrees in several high-demand fields. This new educational model will incorporate various practices that research has proven to be effective with adults juggling the competing demands of work and family: online or hybrid learning, convenient student support services, career counseling tailored to the individual’s needs, credit for prior learning, flexible payment plans, and modular instruction. The goal is to help more adults earn a bachelor’s degree by shortening their time-to-degree, providing more individualized counseling and guidance, and making a college degree more affordable.
- Last year, the Council worked with KCTCS to secure a **\$1 million grant from Complete College America’s Innovation Challenge** to fully implement online, modular developmental education courses in mathematics, reading, and writing for KCTCS students enrolled in Learn on Demand, home of KCTCS’ online degree programs and credentials. With support from the grant, KCTCS is working with several vendors (Pearson, Starfish, Brainfuse, and High Monkey) to integrate online advising and tutoring services into Learn on Demand, so students can seamlessly chat with online advisors, schedule appointments, receive 24/7 tutoring services, and enjoy a more high-touch academic experience. Also, grant funds are supporting a targeted online and direct mail marketing campaign to raise awareness of Learn on Demand and increase enrollment. The project recently garnered national attention in a feature story in Inside Higher Education, and many other states have expressed interest in creating similar programs.



## 2011-15 Strategic Agenda Focus on Research, Economic, and Community Development

- Kentucky' **Regional Stewardship Program**, established in 2008, continues to help support regional and statewide workforce and economic development and a range of public engagement activities initiated by comprehensive universities. Its purpose is to link the resources and knowledge of the universities to the needs and challenges of their respective regions. For example, this past year Morehead's Center for Regional Engagement assisted regional non-profit health care institutions in developing community needs assessments, as required through new federal legislation. The Center also provided disaster relief for the communities affected by the spring tornados in eastern Kentucky. Murray State has focused deeply on projects to improve and support K-12 education in the region, including school leadership development, math and science professional development for teachers, and training on the new common core standards.

# **STRONGER** by **DEGREES**

Eastern  
Kentucky  
University



**Institutional Progress Update**

**Presentation to the Council on Postsecondary Education  
by Dr. Doug Whitlock, President**

**September 14, 2012**



# INSTITUTIONAL PROFILE

## Eastern Kentucky University

Student Enrollment	2000	2011	% Change
<b>Total</b>	<b>14,657</b>	<b>16,062</b>	<b>9.6%</b>
Undergraduate	12,909	13,902	7.7%
Graduate	1,748	2,160	23.6%
New Freshmen	2,032	2,421	19.1%
New Transfers	1,025	1,229	19.9%
Service Region	7,273	7,122	-2.1%



# INSTITUTIONAL PROFILE

## Eastern Kentucky University

<b>Student Success</b>	<b>2000</b>	<b>2011</b>	<b>% Change</b>
One-Year Retention	63.2%	64.1%	0.9 ppt
Six-Year Graduation	34.7%	38.2%	3.5 ppt
Average ACT	19.3	21.4	10.9%
<b>Completions</b>	<b>2000</b>	<b>2011</b>	<b>% Change</b>
Baccalaureate	1,663	2,135	28.3%
Master's	426	700	64.3%
Doctoral	0	4	n/a
<b>Expenditures</b>	<b>2000</b>	<b>2011</b>	<b>% Change</b>
Research	\$639,776	\$2,982,504	366.2%
Public Service	\$21,856,866	\$43,650,923	99.7%



# 2011-15 STRATEGIC AGENDA

## Four Focus Areas

**COLLEGE  
READINESS**

**STUDENT  
SUCCESS**

**RESEARCH,  
ECONOMIC,  
& COMMUNITY  
DEVELOPMENT**

**EFFICIENCY  
&  
INNOVATION**



A large, curved concrete sign for Eastern Kentucky University. The sign is mounted on a brick wall on the left. The text on the sign is in a bold, serif font. Below the main text, there are two rows of red and white flowers. In the background, there are trees with yellowing leaves, a road with cars, and a person sitting on a concrete ledge. The scene is set in a sunny, outdoor environment.

EASTERN KENTUCKY  
UNIVERSITY  
FOUNDED 1874/1906

# EASTERN KENTUCKY UNIVERSITY

*Whatever Your Plans or Dreams,  
You Can Get There from Here*

A POWER OF MAROON ROADMAP TO ACHIEVING STATEWIDE TARGETS



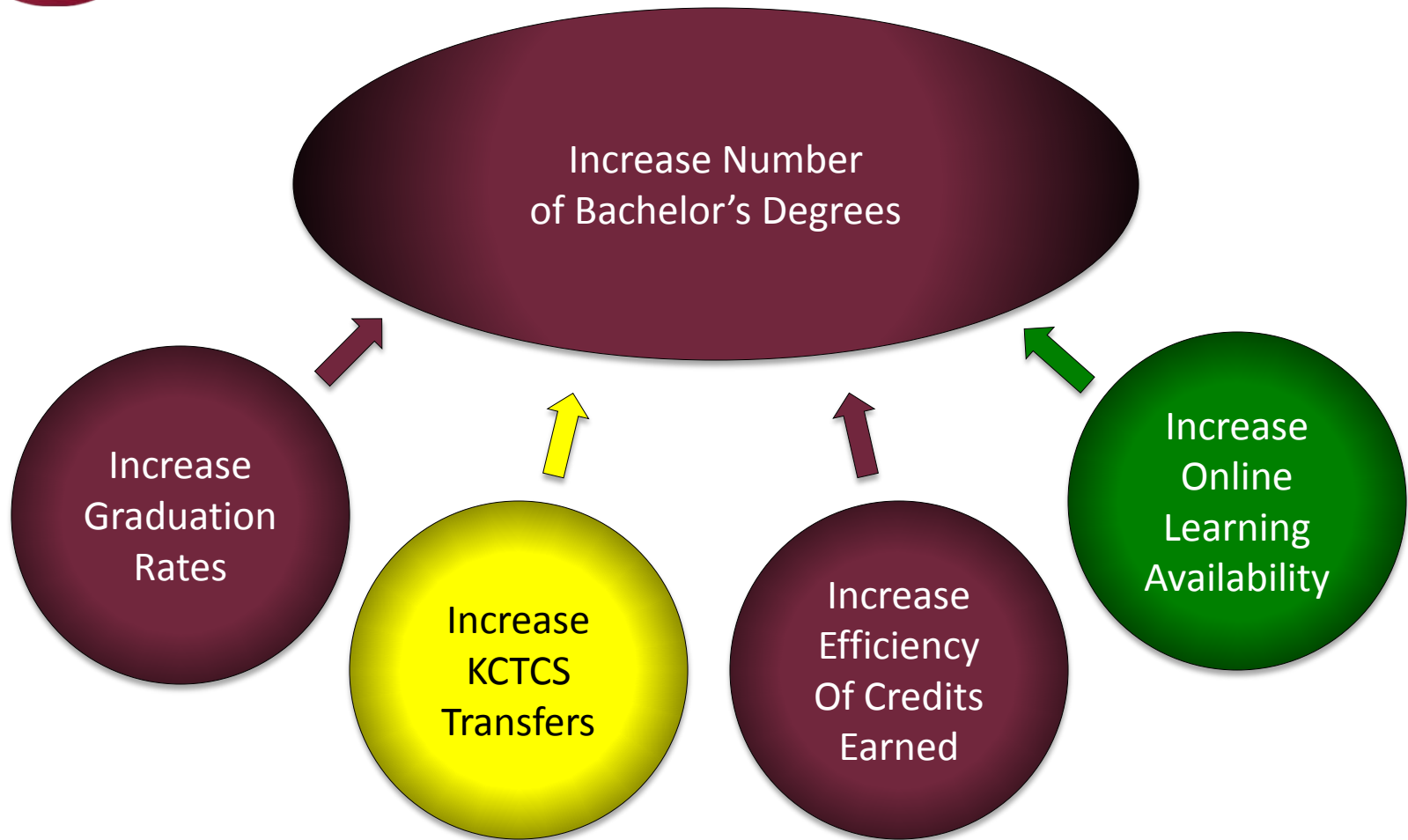


**Eastern Kentucky University is the first university in Kentucky to develop and implement a comprehensive plan based on Sir Michael Barber's methodology toward the Statewide Strategic Agenda. The delivery plan has helped us focus our efforts, track achievement milestones, and create new initiatives toward future target achievement.**





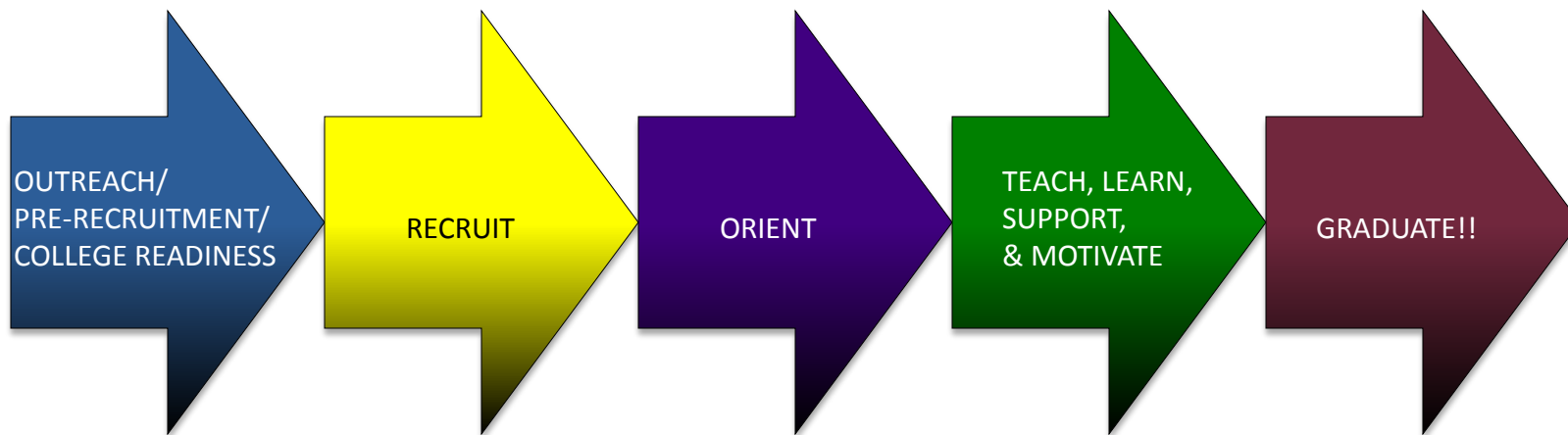
# POWER OF MAROON STRATEGIC GOAL RELATIONSHIP





# A POWER OF MAROON ROADMAP

## Increasing ECU's Number of Bachelor's Degrees



**BACHELOR'S DEGRESS:** Baseline 2009-10: 2,125 Degrees → 2013-14 Target: 2,500 Degrees (14% Increase)



# A POWER OF MAROON ROADMAP ELEMENTS

## Increasing ECU's Number of Bachelor's Degrees

### ROAD MAP ELEMENT 4: TEACH, LEARN, SUPPORT, & MOTIVATE

#### CURRENT PROGRAMS/INITIATIVES

- Noel Studio for Academic Creativity
- First Day Disenrollment (enrollment verification process)
- 1<sup>st</sup> Time Freshmen Mid-Term Grade Review
- Early Alert Retention Survey
- Early Alert will now have a link on college websites to be accessed anytime during the year
- Online Course Access
- Degree Works Audit System
- MAP WORKS
- ECU Success Coaches for commuter students
- Counseling
- New faculty advisor handbook
- NOVA
- ECU Reads Project
- Secret Shopper Customer Service Project
- Restructuring of Developmental Math (Math 090 & 095)
- Connecting the Dots—alumni mentoring current students
- Ensure all incoming freshmen have a similar learning experience in their first year
- Empowering first responders to provide professional help
- Show successes (alumni & faculty/student success stories)
- Print Ad in Eastern Progress from Alumni Success Stories
- Successful alums on college/department web pages
- Intrusive advising for on-time degree completion
- Extensive telephone campaign to encourage students to register and to find out why they may not be returning
- Assurance of Learning initiative
- Looking at having TLC offer programs on faculty advising


#### INDICATORS TO GAUGE SUCCESS

- Percent of all credit earned through online learning
- Number of credit hours taught at regional campuses
- Number of students enrolled in degree programs at regional campuses
- Number of degree programs offered online
- % of students earning 30 hours at end of their 1<sup>st</sup> year
- Faculty participation in EARS by college, department, and number of student referrals
- Student satisfaction with ECU
- Increase in number of online offerings
- Increase in number of regional campus offerings
- Student retention by major
- Student progress to degree by major
- Student satisfaction with major
- Decrease in overall credits earned by degree graduates
- Number of student involved in co-curricular and extra-curricular activities (engagement)
- 4-year graduation rate
- 5-year graduation rate
- 6-year graduation rate
- 1-, 2-, 3-, and 4-year retention rates



# COLLEGE READINESS

## Eastern Kentucky University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
New teacher excellence (top 15% nationally)	15%	15%	25%		0%	-15%

*Percent of teaching program graduates scoring in the top 15 percent nationally on Praxis II Practice of Learning and Teaching (PLT) licensure exams.*

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# COLLEGE READINESS

## Eastern Kentucky University

### **EKU Middle College**

- First local school district, 4-year institution in KY
- Assist academically capable, at-risk high school Jr./Sr.

### **EKU Now! Dual Credit**

- Designed for high school Jr./Sr. jumpstart (EKU's service region)
- MOAs with participating school districts

### **Math & English Transition Courses**

- Half of regional high school juniors in bottom 25<sup>th</sup> percentile
- Data indicate movement of 5 KYOTE points in one semester course, 8 points in a full-year course
- For full-year students, ending mean ACT-Math was 20.74 and median was 22. [Over half were considered college ready in Math]
- Students not meeting Kentucky benchmark or equivalent are moving up a minimum of one developmental level

### **Educational Extension Agents**

- 6 agents, 214 schools, 50,000 students

### **Compliance with Senate Bill 1**

- Curriculum Alignment for Retention & Transition at Eastern (CARTE): Professional Learning Communities



# COLLEGE READINESS

## Eastern Kentucky University

**“SEEing Science in Appalachia” links EKU honors students and Madison Middle School students.**

- EKU recently secured an approximately \$200,000 grant from the National Science Foundation to establish “SEEing Science in Appalachia: Serving, Exploring and Engaging in an Honors Interdisciplinary Science Course at Eastern Kentucky University.”
- The benefits of EKU’s 1<sup>st</sup> interdisciplinary general education science course will extend into the local community when EKU Honors students partner with Madison Middle students during the course’s field, lab, and service-learning projects.





# STUDENT SUCCESS




## Eastern Kentucky University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
				Progress Icon	Percentage	
<b>Degrees and Credentials (Total)</b>	3,052	3,101	3,525		10%	1%
Bachelor's degrees	2,125	2,135	2,500		3%	8%
Master's degrees	705	700	775		0%	-16%
Doctoral degrees – Professional Practice	1	4	30		10%	Not available
<b>Bachelor's Graduation Rate</b>	37.7%	38.1%	45%		5%	8%
<b>Bachelor's Graduation Rate Gap – Low Income</b> Low income grad rate / Moderate-high grad rate	16 ppt 27% / 44%	18 ppt 26% / 44%	8 ppt		0%	NA
<b>Bachelor's Graduation Rate Gap – Underprepared</b> Underprepared / prepared grad rate	28 ppt 23% / 52%	28 ppt 22% / 51%	20 ppt		3%	NA



# STUDENT SUCCESS

## Eastern Kentucky University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target	Five-year Change (%)
<b>Bachelor's Graduation Rate Gap – Underrepresented Minority</b> Underrepresented minority / non-underrepresented minority grad rate	10 ppt 29% / 39%	12 ppt 27% / 39%	7 ppt	 0%	-23%
<b>Transfers from KCTCS</b>	832	718	1,000	 -63%	NA
<b>Net Direct Cost</b>	-\$886	-\$390	-\$1,125	 0%	44%

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# STUDENT SUCCESS

## Eastern Kentucky University

### **Noel-Levitz Data Analysis & Predictive Modeling**

- Assessed effectiveness of current EKU enrollment program
- Assessed student satisfaction, factors for student success
- Improved communication coordination
- Promoted development of retention strategy
- Created enrollment system that reduced tuition discount, maximized net revenue

### **Revision of Scholarship Tuition Model**

### **MAP Works (Early Alert Measure for Residential Students)**

### **Degree Works Audit System (Direct Path to Degree)**

### **Smooth Transition for Transfers**

- 2+2 agreements; joint enrollment; transfer coordinators @ KCTCS campuses

### **Academic Practices Aimed at Student Success**

- First Day Attendance Check-in
- Mid-Term Grade Review and Recovery
- Early Alert Retention Survey

### **Operation Veteran Success (1,000-plus veterans on campus)**



# STUDENT SUCCESS

## Eastern Kentucky University

### **Pinning Ceremony—Classes of 2015 and 2016**

#### **Student Success Coaches Program**

- 306 1<sup>st</sup> time freshman commuters
- Coaches are volunteer senior administrators, directors, and staff
- College Student Inventory predictors indicate concern
- 75% retention rate in 2011 pilot program
- Coaches meet with 5-10 students weekly

#### **Graduation Roundup**

- Summer initiative to contact 794 students and put them on path to graduation
- Tagged those concerned about finances and helped them find options
- Connect students with department chairs

#### **Creation of Developmental Math Modules to allow for successful completion**

- Fall 2012: advanced 90 freshmen to next level

#### **Improvements in First Year Experience—GSD 101/ENG 101 linked cohorts**



#### **Implementation Team: Cross-functional team to enhance student success**

#### **2012 Faculty Retention Task Force**



# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT

## Eastern Kentucky University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
				Progress Icon	Percentage	
Externally-Funded Research and Development	\$905	\$2,553	\$1,500		100%	529%
STEM+H Degrees	791	795	875		5%	-7%

*Externally-funded research and development includes all sources of science and engineering R&D funding other than institutional sources such as endowments. STEM+H includes certificates and degrees at all levels in the Science, Technology, Engineering, Mathematics, and Health fields.*



# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT Eastern Kentucky University

- Center for Renewable & Alternative Fuel Technologies (CRAFT)
- Center for Educational Research in Appalachia (CERA)
- Office of Undergraduate Research
- Center for Economic Development Entrepreneurship and Technology (CEDET)
- Eastern Kentucky Environmental Research Institute
- Bluegrass Community Health Center (2 Lexington Locations)
- STEM-H Institute





# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT Eastern Kentucky University

- **Externally-funded R&D target FULLY achieved well in advance!**
- **First comprehensive economic development plan for Madison County (EKU-Southern Growth Policies Board)**
- **Membership- International Town & Gown Association (EKU hosted 2012 University-City Relations Conference)**





# EFFICIENCY AND INNOVATION

## Eastern Kentucky University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
Credits Earned by Degree Graduates	144	143	136		18%	-2%
Online Learning (Percent of all credit earned through online learning)	15%	16%	20%		16%	152%

*Credits earned by degree graduates includes all bachelor's degree graduates during the year. Online learning measures the percent of completed student credit hours delivered through distance learning.*

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# EFFICIENCY AND INNOVATION

## Eastern Kentucky University

- Designated “Green” Innovator in IT (*University Business Magazine*)
- Positioned as National Model: Siemens Energy Efficiency Project
- Teamed Strategic Planning/Financial Planning Councils
- Recognized “Effective & Innovative Group Study Spaces” - Noel Studio (*University Business Magazine*)
- Launched Campus Shuttle/EKU Rides Systems
- Recognized State Leader in Campus Recycling
- Enhanced Online Program Efforts
- Established University e-Learning Office



# EFFICIENCY AND INNOVATION

## Eastern Kentucky University

**EKU has implemented university-wide degree planning which has been successful in decreasing credits earned by graduates**

**EKU has significantly increased online learning opportunities**

- **Online credit hours are up 28.4% for Fall 2012**
- **Over the last five years, credits earned through online learning have increased 152%**

# **STRONGER** by **DEGREES**

Eastern  
Kentucky  
University



**Institutional Progress Update**

**Presentation to the Council on Postsecondary Education  
by Dr. Doug Whitlock, President**

**September 14, 2012**

# STRONGER by DEGREES

Morehead State  
University



**Institutional Progress Update**

**Presentation to the Council on Postsecondary Education by  
Dr. Wayne D. Andrews, President of Morehead State University**

**September 14, 2012**



# INSTITUTIONAL PROFILE

## Morehead State University

Student Enrollment	2001	2011	2012 Preliminary	% Change 2001-2012
Total	9,027	10,971	10,506	+16.38%
Undergraduate	7,257	9,420	9,063	+24.89%
Graduate	1,770	1,551	1,443	-18.47%
New Freshmen	1,587	1,372	1,650	+3.97%
New Transfers	475	541	503	+5.89%
African American	289	358	358	+23.88%





# INSTITUTIONAL PROFILE

## Morehead State University

Student Success	2001	2011	2012 Preliminary	Change 2001-2012
Retention of FTFR	62.75%	72.71%	65.96%	+3.21 ppt
Six-Year Grad. Rate	46.31%	40.63%	42.44%	-3.87 ppt (2001-2012)
Average ACT	19.7	21.8	21.9	+11.17%
<b>Completions</b>	<b>2001-2002</b>	<b>2010-2011</b>	<b>2011-2012</b>	
Baccalaureate	938	1,118	1,136	+21.11%
Masters	322	435	453	+40.68%
<b>Expenditures</b>	<b>FY 2002 actual</b>	<b>FY 2012 actual</b>	<b>FY 2013* budgeted</b>	<b>Change 2002-2012</b>
Research	\$443,931	\$2,033,009	\$3,469,716	+358%





# 2011-15 STRATEGIC AGENDA

## Four Focus Areas

**COLLEGE  
READINESS**

**STUDENT  
SUCCESS**


**RESEARCH,  
ECONOMIC, &  
COMMUNITY  
DEVELOPMENT**

**EFFICIENCY  
&  
INNOVATION**



# COLLEGE READINESS

## Morehead State University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
New teacher excellence (top 15% nationally)	12.1%	12.3%	17%		4%	0%

*Percent of teaching program graduates scoring in the top 15 percent nationally on Praxis II Practice of Learning and Teaching (PLT) licensure exams.*

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# COLLEGE READINESS

## Morehead State University

- ✓ **Continued Focus on Compliance with Senate Bill 1:**
  - CPE Assessment Academy grant activities focus on the goal of 100% of all graduates in our service region being college-ready and/or career-ready
  - Providing professional development for Adult Learning Center faculty in eastern KY on Academic Core Standards and effective teaching strategies
  - 21<sup>st</sup> Century Education Enterprise - providing professional development to teachers throughout the service region – over 4,000 teachers participants to date
  
- ✓ **Early College and Transitional Programs**
  - Served 2,742 high school students in 35 high school locations during 2011-12



# COLLEGE READINESS

## Morehead State University

- ✓ **East Kentucky GEAR-UP Grant (2008-2014)**
  - Serves approx. 10,000 students in grades 7-11 in six counties, providing comprehensive services to prepare students for postsecondary education
  - One of the largest GEAR-UP projects in the nation
  
- ✓ **Third Youth Build grant award (2012-2015)**
  - Allows students who dropped out of high school the opportunity to complete their GED while acquiring marketable construction industry skills



# STUDENT SUCCESS

## Morehead State University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target	Five-year Change (%)
<b>Degrees and Credentials (Total)</b>	1,452	1,681	1,743	79%	5%
Bachelor's degrees	926	1,079	1,100	88%	2%
Master's degrees	368	437	450	84%	8%
Doctoral degrees – Professional Practice	0	0	43	0%	Not available
<b>Bachelor's Graduation Rate</b>	40.1%	39.8%	43%	0%	-7%
<b>Bachelor's Graduation Rate Gap – Low Income</b> Low income grad rate / Moderate-high grad rate	16 ppt 31% / 47%	21 ppt 28% / 49%	14 ppt	0%	NA
<b>Bachelor's Graduation Rate Gap – Underprepared</b> Underprepared grad rate / Prepared grad rate	23 ppt 29%/52%	15 ppt 27%/42%	17 ppt	100%	NA





# STUDENT SUCCESS

## Morehead State University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
<b>Bachelor's Graduation Rate Gap – Underrepresented Minority</b> Underrepresented minority grad rate/ Non-underrepresented minority grad rate	11 ppt 29% / 40%	2 ppt 38% / 40%	9 ppt		100%	-86%
<b>Transfers from KCTCS</b>	1,018	985	1,030		-275%	NA
<b>Net Direct Cost</b>	-\$1,843	-\$1,061	-\$1,500		0%	-14%

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# STUDENT SUCCESS

## Morehead State University

### ✓ **Task Force on Graduation and Retention**

- Implemented processes to keep undergraduate students enrolled from semester-to-semester and year-to-year
- Increased emphasis on advising practices and use of professional advisors
- Customizations to ERP system to improve access to student data

### ✓ **Removed 10 year Policy for Graduate Program Completion**

- Notifying students who benefit from change in policy and providing counseling on program completion
- Reviewing enrolled graduate students curriculum check sheets and notifying students of critical deficiencies each semester

### ✓ **Development of 2+2 programs**

- Technology Management
- Biomedical Sciences





# STUDENT SUCCESS

## Morehead State University

### ✓ Annual Review of Program Level Data on Student Success Metrics

- Academic programs assess progress on key performance indicators, set goals and identify measurable strategies.
  1. Headcount Majors
    - Race/Ethnicity and Origin
  2. Transfer Students
  3. Degrees Conferred
    - Avg. Credit Hours Completed for Grads
  4. Six-Year Graduation Rate
    - High Attrition Courses
  5. SCH/FTE
    - SCH Generated by Delivery Mode
  6. Program Quality
    - Student Learning Outcomes



# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT

## Morehead State University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target		Five-year Change (%)
Externally-Funded Research and Development	\$1,313	\$1,526	\$2,360		20%	-13%
STEM+H Degrees	332	373	400		60%	14%

*Externally-funded research and development includes all sources of science and engineering R&D funding other than institutional sources such as endowments. STEM+H includes certificates and degrees at all levels in the Science, Technology, Engineering, Mathematics, and Health fields.*

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# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT

## Morehead State University

### ✓ Regional Engagement

- Approx. **800 students, faculty and staff** provided **over 50,000 service hours during nine day period** in mid-March of 2012 in Magoffin, Menifee and Morgan Counties
- Dept. of SSWC involved in 12 externally funded projects working in collaboration with Admin. Offices of the Courts, Kentucky Drug Courts
- IRAPP students working with NewCity Morehead and ARC to conduct long-term research on community/economic development in eastern KY counties to identify causal factors of persistent economic distress





# RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT Morehead State University

- ✓ **Grant Proposals and Contract Submissions**
  - 3.74% increase in submissions for 2011-12
  - \$12,403,956 in external funding for 2011-12 (16 apps still pending -\$7,070,011)
- ✓ **Undergraduate Research Fellows Program**
  - 26.36% increase in number of participants in 2011-12
  - 15.19% increase in the number of mentors in 2011-12
- ✓ **SpaceTrek Camp for Girls – Summer of 2012**
  - Partnership with American Association of University Women
  - 13 outstanding high school juniors and seniors from Kentucky and Ohio attended first camp



# EFFICIENCY AND INNOVATION

## Morehead State University

Performance Metric	Baseline	Most Recent	Target	Progress: Baseline to Target	Five-year Change (%)
Credits Earned by Degree Graduates	145	145.7	130	 0%	0%
Online Learning (Percent of all credit earned through online learning)	25%	29%	27%	 100%	228%

*Credits earned by degree graduates includes all bachelor's degree graduates during the year. Online learning measures the percent of completed student credit hours delivered through distance learning.*

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# EFFICIENCY AND INNOVATION

## Morehead State University

- ✓ **Reduction in Credit Hours Needed to Graduate**
  - Implemented in 2011-2012; real impact on students/metric will not be seen for a couple of years
- ✓ **New Online Programs**
  - Graduate degree program in Health Promotions
  - ESL endorsement program for teachers
  - Doctor of Nursing Practice degree program in development
- ✓ **Professional Development for Online Teaching**
  - CoE has 8 faculty/staff working on a Master Online Teacher certificate
- ✓ **Guaranteed Energy Saving Performance Contract**
  - approximately \$9.7 million in scope



## President's Report to the Council on Postsecondary Education

Robert L. King – September 14, 2012



**Kaleidoscope** – Kentucky is pleased to participate in Kaleidoscope, a multi-institution, multistate project that is implementing a set of general education courses to raise learning outcomes and retention of predominantly at-risk students. Using free online content available through Open Education Resources (OER), the project will dramatically reduce textbook costs and allow collaborative improvement of course design to improve student success. Funded by the Gates Foundation, eight institutions (public, private, two-year, and four-year) across six states have adopted this collaborative approach to assembling free course content. The Kaleidoscope process protects institutional and faculty control over their own curriculum and pedagogy. Kentucky has committed to participate in the next phase of Kaleidoscope, which will scale the project from a few thousand students to hundreds of thousands of students. So far in Kentucky, ECU, KCTCS, and KSU have agreed to participate. Similar discussions are underway with North Carolina, Massachusetts, Arizona, California, and a few other states. The next steps call for the first multistate gathering in October, leading to a grant request by the first of the year.

**KYVC4K12.org Website** – The Council participated in the recent revamp of the virtual learning system of the Kentucky Department of Education. KDE moved from serving as a provider of online courses offered through the Kentucky Virtual High School to a partner in assisting schools and students in learning about full- and part-time online learning options available through multiple statewide providers.

The Council and KDE worked together to create the Kentucky Virtual Campus for K-12, located online at [www.kyvc4k12.org](http://www.kyvc4k12.org). The website provides easy access to approved state providers and their courses and provides expanded e-learning opportunities for Kentucky students.

So far, KDE has identified three online course providers, including Barren Academy of Virtual and Expanded Learning (BAVEL), Jefferson County eSchool, and Kentucky Educational Television (KET).

**P-20 State Longitudinal Data System** – In July, Kentucky received a \$3.6 million grant from the federal Institute for Education Statistics grant to build its P-20 state longitudinal data systems. As part of this grant, CPE was awarded \$816,000 over three years to enhance its own Kentucky Postsecondary Education Data System (KPEDS) by improving the user experience of the institutional data submitters, implementing key internal data quality checks, and adding new reporting functions. Thanks to Heidi Hiemstra, Deepa Dubal (IT), and Melissa Young (grant writer) for their hard work in making these critical improvements possible.



**U.S. Education Delivery Institute** – Kentucky continues to show great progress in its work with Sir Michael Barber’s U.S. Education Delivery Institute. Grants from various educational foundations in the U.S. have been underwriting the cost of this work that focuses on improving college access and student success. Jefferson Community and Technical College joined the Kentucky Delivery Network during the summer and sent representatives, along with EKV, Morehead, KSU, NKU, and KCTCS, to a special network meeting held on June 28 at KSU’s Center for Sustainability of Farms and Families. EDI staff members were on hand to help campus teams work through a number of exercises intended to help improve the effectiveness of various student success strategies on campus. CPE staff, in conjunction with EDI, also created an online learning community where campus teams can share their own best practices, resources, and engage in student success discussions. Analytical work stemming from Kentucky’s participation in EDI has stimulated many interesting discussions at recent meetings of the provosts, and will be discussed at an upcoming retreat. A number of the campus teams have generated or modified existing student success plans based upon various pieces of the EDI delivery framework to help achieve 2015 targets for degrees, graduation rates, and closing achievement gaps.

**Legislative Presentations** – Since the 2012 legislative interim began in June, Council staff have made three formal PowerPoint presentations to legislative committees.

- June 11 - Subcommittee on Postsecondary Education of the Interim Joint Committee on Education. At this meeting, Dr. Aaron Thompson and Dr. John Hayek made a presentation on “Improving Student Success in Postsecondary Education.”
- June 28 - Budget Review Subcommittee on Postsecondary Education of the Interim Joint Committee on Appropriations and Revenue. At this meeting, President King made a presentation on the “Adult Learner Initiative” established under the provisions of HB 265 from the 2012 regular session.
- August 13 - Subcommittee on Postsecondary Education of the Interim Joint Committee on Education. At this meeting, President King made a presentation on “College Readiness and Postsecondary Education in Kentucky.”

**CPE Staff Highlights** – Our staff members continue to contribute their expertise in many strategic venues throughout Kentucky and the nation. The following list represents a sampling of recent staff highlights.

- President King will serve as treasurer of the State Higher Education Executive Officers (SHEEO) Executive Committee. He will oversee all the financials for the association during the year beginning October 1, 2012, to September 30, 2013.
- Chief of Staff Lee Nimocks was selected to serve on the Academy for State Policy Leadership in Higher Education, developed by SHEEO and the Lumina Foundation. The program’s curriculum focuses on building essential relationships with governors, legislators, trustees, business leaders, institutional presidents, K-12 leaders, and other higher education constituents, and developing and implementing effective strategies to advance progress toward the national goals of expanding participation and attainment and increasing the quality of student learning.

**Awards** – Several Council initiatives have earned awards in recent months.

- The Council’s Project Graduate was one of three programs in the nation to earn a “Retention Excellence Award” from Noel-Levitz, a higher education consulting firm. According to Noel-Levitz’s news release, Project Graduate has developed one of the “most successful, state-of-the-art retention programs in use today.” Project Graduate is Kentucky’s collaborative effort with public universities to recruit, retain, and graduate former students with 80 or more credit hours but no bachelor’s degree. It offers a smooth transition and high-touch student services and incentives for busy, working adults who want to complete their degree. The staff lead is Sue Patrick, director of communications.
- The Council’s Communications Office staff, Sue Patrick and Gabrielle Gayheart, as well as Janet Hoover of Kentucky Adult Education (KYAE), won several communications awards from the Kentucky Association of Government Communicators in May. The Council was recognized with honorable mentions for *Postsecondary and Adult Education Insight* e-newsletter, Kyeducators.org website for Senate Bill 1 (a project involving IT and Academic Affairs staff), and “Kentucky Leads the Nation in Postsecondary Improvement” press event last September. Janet Hoover won two awards of merit for KYAE’s year-long GED public information campaign and radio spots promoting free GED testing.



**Council on Postsecondary Education  
September 14, 2012**

**13 KAR 1:020 Private College Licensing  
Regulation Amendment**

**ACTION:** The staff recommends that the Council approve the revised administrative regulation 13 KAR 1:020 regarding an exemption process for religious in-state colleges.

13 KAR 1:020 is Kentucky's administrative regulation that establishes the standards and procedures for the licensing of private colleges in Kentucky.

**Purpose of Licensure**

The Council on Postsecondary Education is charged with protecting institutions and citizens of the Commonwealth against those colleges which resort to fraudulent practices, unfair competition, or substandard educational programs per KRS 164.947.

**Licensure Authority**

KRS 164.947(3) vests the authority to license with the president of the Council. This licensure authority covers:

- All private, for-profit colleges offering a bachelor's degree or above.
- All private, non-profit colleges.
- Out-of-state private and public colleges which operate in Kentucky.

If an institution is for-profit and offers only below a bachelor's degree, then the institution falls under the authority of the Commission on Proprietary Education (formerly known as the Kentucky State Board for Proprietary Education) per KRS 165A.340.

**Licensure Activities**

There are six main licensure activities:

1. Licensure of new colleges.
2. Changes to licenses, including new programs and off-campus sites.
3. Maintenance and renewals of licenses.
4. Annual data reporting.
5. Consumer complaints against licensed colleges.
6. Addressing institutions operating illegally or fraudulently in Kentucky without a license.

## **Necessity of Proposed Revision to Regulation**

Per KRS 164.947(2), “[n]othing contained in KRS 164.945 to 164.947 is intended in any way nor shall be construed to regulate the stated purpose of an independent institution or to restrict religious instruction or training in an independent institution.”

In keeping with KRS 164.947(2), the amendment allows religious in-state colleges to provide, without restriction, religious instruction or training solely for the purpose of preparing students for occupations in the ministry while still meeting the Council’s standards for consumer protection.

The amendment provides a process by which a religious in-state college can request an exemption from standard licensure so that solely religious instruction or training shall not be restricted.

In-state religious institutions will complete the “Application for Religious Institution Letter of Exemption per KRS 164.947(2)” and submit to the Council for the institution to receive the religious exemption set forth in Section 2(7) of the regulation.

In addition, various minor technical changes are proposed to bring the regulation into conformance with current Legislative Research Commission, Administrative Regulation Review Subcommittee, drafting requirements.

## **Proposed Amendment Language**

13 KAR 1:020 Section 2 is amended to add a new subsection (7):

A religious in-state college may operate or solicit in Kentucky if the college annually submits to the Council an Application for Religious In-state College Letter of Exemption per KRS 164.947(2). As part of the application, the institution shall verify compliance with the requirements established in this subsection.

- (a) The institution shall be nonprofit, owned, maintained, and controlled by a church or religious organization which is exempt from property taxation under the laws of Kentucky.
- (b) The name of the institution shall include a religious modifier or the name of a religious patriarch, saint, person, or symbol of the church.
- (c) The institution shall offer only educational programs that prepare students for religious vocations as ministers or laypersons in the categories of ministry, counseling, theology, religious education, administration, religious music, religious fine arts, media communications, or social work.

- (d) The titles of degrees issued by the institution shall be distinguished from secular degree titles by including a religious modifier that:
  - 1. Immediately precedes, or is included within, any degree title including an Associate of Arts, Associate of Science, Bachelor of Arts, Bachelor of Science, Master of Arts, Master of Science, Advanced Practice Doctor, Doctor of Philosophy, or Doctor of Education degree; and
  - 2. Is placed on the title line of the degree, on the transcript, and whenever the title of the degree appears in official school documents or publications.
- (e) The duration of all degree programs offered by the institution shall be consistent with Section 8(8)(b) of this administrative regulation.
- (f) The institution shall comply with the truth in advertising requirements established in Section 8(11)(a) of this administrative regulation.
- (g) 1. The institution shall disclose to each prospective student:
  - a. A statement of the purpose of the institution, its educational programs, and curricula;
  - b. A description of its physical facilities;
  - c. Its status regarding licensure;
  - d. Its fee schedule and policies regarding retaining student fees if a student withdraws;
  - e. Its refund policy on tuition and other instructional charges; and
  - f. A statement regarding the transferability of credits to and from other institutions.
- 2. The institution shall make the disclosures required by subparagraph 1. of this paragraph in writing at least one (1) week prior to enrollment or collection of any tuition from the prospective student. The required disclosures may be made in the institution's current catalog.
- (h) The institution shall not seek to be eligible for state or federal financial aid.

In addition, numerous minor technical changes are made throughout the attached regulation.

## Conclusion

The process of revising an administrative regulation, established by the Legislative Research Commission, will be initiated with Council approval of this revised regulation. The proposed revised administrative regulation will go through a public hearing and review by the Administrative Regulation Review Subcommittee and the Interim Joint Committee on Education.

1 **COUNCIL ON POSTSECONDARY EDUCATION**

2 **(Amendment)**

3 **13 KAR 1:020. Private college licensing.**

4

5 RELATES TO: KRS 164.945, 164.946, 164.947, 164.992, 165A.320

6 STATUTORY AUTHORITY: KRS 164.947(1), (2), 164.020(37)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 164.947(1) requires the Coun-  
8 cil on Postsecondary Education to promulgate an administrative regulation to establish  
9 the procedures for the licensing of colleges as defined in KRS 164.945. KRS 164.947  
10 provides that religious instruction or training shall not be restricted. This administrative  
11 regulation establishes the private college licensing requirements and the requirements  
12 for religious in-state colleges to apply for an exemption to those licensing requirements.

13

14 Section 1. Definitions. (1) "Accredited" means the approval of an accrediting agency.

15 (2) "Accrediting agency" means a national or regional agency which evaluates col-  
16 leges and is recognized by the United States Department of Education, the Council on  
17 Higher Education Accreditation, or the Council on Postsecondary Education.

18 (3) "Agent" means any person employed by a college to act as a solicitor, broker, or  
19 independent contractor to procure students for the college by solicitation in any form  
20 made at any place other than the main campus of the college.

21 (4) "College" is defined by KRS 164.945(1).



1 (5) "Degree" is defined by KRS 164.945(2).

2 (6) "Diploma" is defined by KRS 164.946(3).

3 (7) "In-state college" means a college that is chartered by, organized within, and has its  
4 principal location in Kentucky.

5 (8) "Operating or soliciting" means having a physical presence within Kentucky and  
6 includes:

7 (a) An instructional or administrative site within Kentucky whether owned, leased,  
8 rented, or provided without charge;

9 (b) Instruction whether theory or clinical, originating from or delivered within Ken-  
10 tucky utilizing teachers, trainers, counselors, advisors, sponsors, or mentors;

11 (c) An agent, recruiter, in-state liaison personnel, institution, or business located in  
12 Kentucky that advises, promotes, or solicits for enrollment, credit, or award of an educa-  
13 tional or occupational credential;

14 (d) An articulation agreement with a Kentucky licensed college or state-supported  
15 institution; or

16 (e) Advertising, promotional material, or public solicitation in any form that targets  
17 Kentucky residents through distribution or advertising in the state.

18 (9) "Out-of-state college" means a college that is chartered, organized, or has its  
19 principal location outside of Kentucky.

20 (10) "President" means the president of the Council on Postsecondary Education.

21 (11) "Unearned tuition" means the excess of cumulative collections of tuition and oth-  
22 er instructional charges over the cumulative amount of earned tuition and other institu-  
23 tional charges prior to the first date of refund in accordance with the college's refund

1 policy.

2

3 Section 2. General Requirements. (1)(a) Except as provided in paragraph (b) of this  
4 subsection, an in-state or out-of-state college that is operating or soliciting in Kentucky  
5 shall be licensed.

6 (b) If a college is operating or soliciting in Kentucky solely for on-ground instruction at  
7 a location outside of Kentucky in which students leave Kentucky to attend, licensure  
8 shall not be required.

9 (2) An out-of-state college shall be licensed separately for each instructional site in  
10 Kentucky. An out-of-state college that is operating or soliciting using on-line instruction  
11 to Kentucky residents shall be considered to have an online campus which shall be li-  
12 censed separately as an instructional site.

13 (3) A college awarding a certificate, diploma, associate degree, baccalaureate de-  
14 gree, master's degree, doctoral degree, or other degree, whether the degree is earned  
15 or honorary, shall be licensed. If a college's program is also required to be licensed or  
16 approved by another state agency as well as the Council on Postsecondary Education,  
17 the president shall attempt to coordinate the licensing function with that agency.

18 (4) A college shall offer only those programs, courses, and degrees, including honor-  
19 ary degrees, specifically authorized in the license.

20 (5) If a college ceases offering a licensed program, course, or degree, the college  
21 shall notify the president in writing and request that the program, course, or degree be  
22 removed from the college's license.

23 (6) Providing false or misleading information shall be grounds for denial of a license,

1 or suspension or revocation of an existing license.

2 (7) A religious in-state college may operate or solicit in Kentucky if the college annu-  
3 ally submits to the council an Application for Religious In-State College Letter of Exemp-  
4 tion per KRS 164.947(2). As part of the application, the institution shall verify compli-  
5 ance with the requirements established in this subsection.

6 (a) The institution shall be nonprofit, owned, maintained, and controlled by a church  
7 or religious organization which is exempt from property taxation under the laws of Ken-  
8 tucky.

9 (b) The name of the institution shall include a religious modifier or the name of a reli-  
10 gious patriarch, saint, person, or symbol of the church.

11 (c) The institution shall offer only educational programs that prepare students for reli-  
12 gious vocations as ministers or laypersons in the categories of ministry, counseling,  
13 theology, religious education, administration, religious music, religious fine arts, media  
14 communications, or social work.

15 (d) The titles of degrees issued by the institution shall be distinguished from secular  
16 degree titles by including a religious modifier that:

17 1. Immediately precedes, or is included within, any degree title, including an Associ-  
18 ate of Arts, Associate of Science, Bachelor of Arts, Bachelor of Science, Master of Arts,  
19 Master of Science, Advanced Practice Doctor, Doctor of Philosophy, or Doctor of Edu-  
20 cation degree; and

21 2. Is placed on the title line of the degree, on the transcript, and whenever the title of  
22 the degree appears in official school documents or publications.

23 (e) The duration of all degree programs offered by the institution shall be consistent

1 with Section 8(8)(b) of this administrative regulation.

2 (f) The institution shall comply with the truth in advertising requirements established  
3 in Section 8(11)(a) of this administrative regulation.

4 (g)1. The institution shall disclose to each prospective student:

5 a. A statement of the purpose of the institution, its educational programs, and curricu-  
6 la;

7 b. A description of its physical facilities;

8 c. Its status regarding licensure;

9 d. Its fee schedule and policies regarding retaining student fees if a student with-  
10 draws;

11 e. Its refund policy on tuition and other instructional charges; and

12 f. A statement regarding the transferability of credits to and from other institutions.

13 2. The institution shall make the disclosures required by subparagraph 1. of this par-  
14 agraph in writing at least one (1) week prior to enrollment or collection of any tuition  
15 from the prospective student. The required disclosures may be made in the institution's  
16 current catalog.

17 (h) The institution shall not seek to be eligible for state or federal financial aid.

18

19 Section 3. Licensure Application Procedures. (1)~~(a)~~ An application for a license shall  
20 be submitted on the form entitled:

21 (a)~~[4-]~~ Application for Licensure as an In-State, Non-Public Institution to Operate in  
22 the Commonwealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an in-state  
23 college; or

1        (b)[2.] Application for Licensure as an Out-of-State Institution to Operate in the Com-  
2 monwealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an out-of-state col-  
3 lege.

4        ~~[(b) A college that is not licensed as of the effective date of this administrative regula-~~  
5 ~~tion shall submit an application for a license within sixty (60) working days of the effec-~~  
6 ~~tive date of this administrative regulation.]~~

7        (2) An application shall be accompanied by copies of the following:

8        (a) College charter;

9        (b) College catalog;

10       (c) College constitution and bylaws;

11       (d) Student enrollment application;

12       (e) Student contract or agreement;

13       (f)1. Documentation of accreditation, licensure, or approval by appropriate agencies;

14       and

15       2. Disclosure of any prior loss or denial of:

16       a. Accreditation with the dates and reason for the~~and~~ loss or denial; or

17       b. Licensure or approval by an agency in this state or another state with the dates

18       and reason for the loss or~~of~~ denial; and

19       (g) Disclosure of any former names of the college with the dates each former name  
20       was used.

21

22       Section 4. Site Visits. (1) Within ninety (90) working days of the receipt of a full and  
23       complete application for a license, a supplementary application, or Application for An-

1 nual Maintenance of License or for Renewal of License Pursuant to 13 KAR 1:020, the  
2 president may conduct, or may have conducted, a site visit. Personnel conducting the  
3 site visit shall possess the expertise appropriate to the type of college to be visited. The  
4 purpose of a site visit shall be to make an assessment of a college using the standards  
5 for licensure as set forth in Section 8 of this administrative regulation.

6 (2) The president may conduct, or may have conducted, an announced or unan-  
7 nounced site visit of a licensed college during reasonable business hours to inspect the  
8 files, facilities, and equipment as well as conduct interviews to determine the college's  
9 compliance with this administrative regulation and KRS 164.945, 164.946, and 164.947.

10 (3) Failure to provide full access to the college's files, facilities, and equipment or  
11 prevention of interviews shall be grounds for denial of a license, or suspension or revo-  
12 cation of an existing license.

13 (4) Cost of site visits.

14 (a) Costs connected with a site visit and subsequent visits as may be necessary,  
15 such as travel, meals, lodging, and consultant honoraria, shall be paid by the college.

16 (b) The estimated cost of the site visit shall be paid by the college prior to the site vis-  
17 it.

18 (c) The final settlement regarding actual expenses incurred shall be paid by the col-  
19 lege no later than thirty (30) days following the site visit.

20 (d) Failure to pay these costs shall be grounds for denial of a license, or suspension  
21 or revocation of an existing license.

22

23 Section 5. Action on Licensure Application. (1) Within ninety (90) working days of the

1 completion of the site visit, or within sixty (60) working days of the submission of a com-  
2 plete licensure application if a site visit is not conducted, the president shall do one (1)  
3 of the following:

4 (a) Issue a license for a period of no less than one (1) year, nor more than two (2)  
5 years;

6 (b) Deny the application for a license;

7 (c) Notify the applicant college of deficiencies which shall be corrected before a li-  
8 cense is issued; or

9 (d) Issue a conditional license in accordance with subsection (2) of this section if the  
10 college has:

11 1. Not met all of the standards for licensure at the time the application is filed; and

12 2. Provided a written business plan to the president demonstrating it will meet the  
13 standards for licensure within a period not to exceed two (2) years.

14 (2) A conditional license shall not exceed a period of two (2) years and shall include  
15 the conditions the[a] college shall meet in order for the[a] college to progress toward  
16 and eventually meet the standards for licensure, including when the college shall report  
17 progress to the president and when the college shall be required to have satisfied all the  
18 conditions.

19 (a) The[A] college's failure to satisfy the conditions within the specified timeframe  
20 shall:

21 1. Result in automatic revocation of the conditional license; or

22 2. Result in an extension of the conditional license based on a determination by the  
23 president that the college is making progress in satisfying the conditions in response to



1 the[a] college's written request for an extension with supporting justification.

2 (b) If the[a] college satisfies all the conditions with the timeframe specified, the presi-  
3 dent shall issue a license in accordance with subsection (1)(a) of this section.

4

5 Section 6. Supplementary Application Procedures. (1)(a) A ["]Supplementary Applica-  
6 tion for Change of Name of Institution Pursuant to 13 KAR 1:020["] shall be required at  
7 least ninety (90) days prior to the effective date of a change in the name of a college.

8 (b) A Supplementary Application for Change of Location of Principal Location of a  
9 College or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR  
10 1:020["] shall be required at least (90) days prior to the effective date of a change in the  
11 principal location of a college or the location of a licensed instructional site in Kentucky.

12 (c) A ["]Supplementary Application for Change of Ownership or Governance Pursuant  
13 to 13 KAR 1:020["] shall be required at least (90) days prior to the effective date of a  
14 change in ownership or governance of a college.

15 (d) An out-of-state college shall submit a ["]Supplementary Application to Operate as  
16 an Out-of-State Institution in the Commonwealth of Kentucky Pursuant to 13 KAR  
17 1:020["] at least ninety (90) days prior to implementation of a change to offer an addi-  
18 tional certificate, diploma, or degree program, major, or other concentration or specialty  
19 at the main campus.

20 (e) A ["]Supplementary Application to Operate as an In-State Nonpublic Institution in  
21 the Commonwealth of Kentucky Pursuant to 13 KAR 1:020["] shall be submitted by an  
22 in-state college at least ninety (90) days prior to the effective date of:

23 1. A change to offer an additional certificate, diploma, or degree program, major, or

1 other concentration or specialty at the main campus; or

2 2. The establishment of an instructional site away from the main campus of an in-  
3 state college for the purpose of offering courses for college credit which comprise at  
4 least twenty-five (25) percent of the course requirements for a degree program.

5 (f) A college shall submit a ["]Supplementary Application for Administrative Site, Re-  
6 cruitment Office, or Advising Center Pursuant to 13 KAR 1:020["] at least ninety (90)  
7 days prior to the establishment of an administrative site, recruitment office, or advising  
8 center in Kentucky, or the change of location of a licensed administrative site, recruit-  
9 ment office, or advising center in Kentucky, if the site, office, or center is not part of a  
10 licensed instructional site or proposed instructional site for which the college is seeking  
11 licensure.

12 (g) A college shall submit a ["]Supplementary Application for Notification of Change in  
13 Accreditation or Licensure Status Pursuant to 13 KAR 1:020["] within thirty (30) days fol-  
14 lowing action by an accrediting agency or another state licensing agency which results  
15 in:

- 16 1. A college being placed in a probationary status;
- 17 2. A college losing accreditation or licensure; or
- 18 3. A college being denied accreditation or licensure.

19 (2) A site visit may be conducted as part of the supplementary application process in  
20 accordance with Section 4 of this administrative regulation.

21 (3) Failure to submit a complete and accurate supplementary application, if required,  
22 shall be sufficient cause for denial of a license, or suspension or revocation of an exist-  
23 ing license. The president shall notify the college by registered mail, return receipt, of

1 the denial, suspension, or revocation of the college's license.

2

3 Section 7. Action on Supplementary Applications. Within thirty (30) working days of  
4 the submission of a complete supplementary application if a site visit is not conducted,  
5 or within ninety (90) working days of the completion of a site visit, the president shall do  
6 one (1) of the following:

7 (1) Approve the supplementary application and amend the current license without  
8 changing the renewal date;

9 (2) Deny the supplementary application without amendment to the college's license;

10 (3) Suspend or revoke the[a] college's license; or

11 (4) Notify the applicant college of deficiencies which shall be corrected before the  
12 supplementary application is approved and the license is amended.

13

14 Section 8. Standards for Licensure. A college shall meet the requirements and  
15 standards established in this subsection in order to be licensed.

16 (1) Financial requirements. The college shall adhere to generally accepted account-  
17 ing practices and present evidence of financial stability, including the following:

18 (a) Financial statements including:

19 1. A statement of financial position of unrestricted net assets and liabilities, including  
20 foundation and trust agreements;

21 2. An audit report prepared by an independent certified public accountant for each  
22 corporation of the college; and

23 3. If available, audit reports for the past three (3) years;

1 (b) The name of a bank or other financial institution used by the college as a refer-  
2 ence;

3 (c) A statement from the Kentucky Higher Education Assistance Authority related to  
4 programs administered by that agency and from the U.S. Department of Education re-  
5 lated to programs administered by that department that the college is in good standing;  
6 and

7 (d) An annual operating budget for the college.

8 (2) Agents. A college shall be responsible for the actions of its agents when acting on  
9 behalf of the college.

10 (3) Guarantee of refund of unearned tuition. A college shall guarantee the refund of  
11 any unearned tuition held by the college as established in this subsection.

12 (a) An in-state college shall:

13 1. Secure and maintain a surety bond equal to or in excess of the largest amount of  
14 unearned tuition held by the college at any time during the most recently completed fis-  
15 cal year, executed by a surety company qualified and authorized to do business in Ken-  
16 tucky, and made payable to the Council on Postsecondary Education;

17 2. Maintain an unrestricted endowment equal to or in excess of the largest amount of  
18 unearned tuition held by the college at any time during the most recently completed fis-  
19 cal year; or

20 3. Provide a letter of credit equal to or in excess of the largest amount of unearned  
21 tuition held by the college at any time during the most recently completed fiscal year.

22 (b) An out-of-state college shall secure and maintain a surety bond equal to or in ex-  
23 cess of the largest amount of unearned tuition held by the college at any time during the

1 most recently completed fiscal year, executed by a surety company qualified and au-  
2 thorized to do business in Kentucky, and made payable to the Council on Postsecond-  
3 ary Education.

4 (c) A college applying for a license for the first time shall estimate the amount of un-  
5 earned tuition based on projected enrollment and tuition and other instructional charges.

6 (d) A college shall provide a statement from an independent certified public account-  
7 ant confirming that the college is in compliance with this subsection.

8 (4) Notice required.

9 (a) If a surety bond is terminated, a college shall notify the president and the license  
10 shall automatically expire with the bond unless a replacement bond is provided without  
11 a lapse in bonding.

12 (b) An in-state college using an unrestricted endowment or letter of credit to satisfy  
13 the provisions of subsection (3) of this section shall notify the president if the unrestrict-  
14 ed endowment or letter of credit falls below the required amount, and the college shall  
15 obtain a surety bond for the required amount.

16 (5) Personnel requirements.

17 (a) The college shall furnish information regarding the administrative officers, the di-  
18 rectors, the owners, and the faculty, as required by the appropriate application form.

19 (b) The chief administrator shall hold at least an earned baccalaureate degree from  
20 an accredited or licensed college and shall have sufficient experience to qualify for the  
21 position.

22 (c) Faculty members shall possess academic, scholarly, and teaching qualifications  
23 similar to those required for faculty in accredited colleges that offer degrees at compa-

1 rable levels.

2 (d) There shall be a sufficient number of full-time faculty to ensure continuity and sta-  
3 bility of the educational program.

4 (e) Teaching loads of faculty members shall be consistent with recognized educa-  
5 tional practices, and shall be appropriate to the field, the variety of courses assigned,  
6 class size, and other related factors.

7 (6) Facilities and equipment.

8 (a) An instructional program shall be conducted in a facility in accordance with the  
9 requirements specified on the appropriate application form.

10 (b) Enrollment shall not exceed the design characteristics of the facilities.

11 (c) A college shall have facilities and equipment that are:

12 1. Maintained and operated in compliance with the safety and health requirements  
13 set forth in local, city, and county ordinances, and federal and state law; and

14 2. Adequate and appropriate for instruction in classrooms and laboratories.

15 (7) Library resources. The library shall be appropriate to support the programs of-  
16 fered by the college in accordance with this subsection.

17 (a) A college, through ownership or formal agreements, shall provide and support  
18 student and faculty access to adequate library collections, and to other learning and in-  
19 formation resources where courses and programs are offered. Library resources shall  
20 be appropriate to the degree level offered by the college, and shall be sufficient to sup-  
21 port all educational, research, and public service programs.

22 (b) A college that does not provide its own library facilities, but instead relies on an-  
23 other institution, shall demonstrate that it has permission to utilize the resources of the

1 other institution, by providing a copy of the written agreement to the president at the  
2 time of license application, and prior to the offering of any courses.

3 (c) A college that is dependent on another college or library for library resources shall  
4 make the extent of the dependence and the details of the agreements clear both to the  
5 president and to students and faculty.

6 (d) Library expenditures, expressed as a percentage of the total educational and  
7 general budget, shall be consistent with the percentage of library expenditures com-  
8 monly observed in accredited colleges of similar types.

9 (e) Library staff shall be qualified as required for accredited colleges of similar types.

10 (f) Sufficient seating and work space for a reasonable proportion of the faculty and  
11 students to be accommodated at one (1) time shall be provided as observed in accred-  
12 ited colleges of similar types.

13 (g) The physical environment of the library shall be conducive to reflective intellectual  
14 pursuits common to institutions of higher learning.

15 (8) Curriculum. Earned degrees awarded by a college shall be bona fide academic  
16 degrees and the courses offered in degree programs shall be of collegiate quality as de-  
17 termined by the president using the criteria established in this section.

18 (a)1. Except as provided in subparagraph 2. of this paragraph, a course offered in a  
19 degree program shall be consistent with a course that is generally transferable for credit  
20 among accredited colleges where the program is at a corresponding degree level, or for  
21 credit toward the baccalaureate degree if a program is at the associate degree level.

22 2. A course may be offered that is not transferable based on the uniqueness of a  
23 program.



- 1 (b) A college shall require a minimum of:
- 2 1. Sixty (60) student credit hours for an associate degree;
  - 3 2. 120 student credit hours for a baccalaureate degree; or
  - 4 3. Thirty (30) student credit hours for a post-baccalaureate, graduate, or first profes-
  - 5 sional degree.

6 (c) A minimum of twenty-five (25) percent of the student credit hours required for a  
7 degree shall be earned through instruction offered by:

- 8 1. The college awarding the degree; or
- 9 2. A college that is:
  - 10 a. A party to a joint, cooperative, or consortia agreement; and
  - 11 b. Either:
    - 12 (i)[~~-~~] Licensed by the Council on Postsecondary Education; or
    - 13 (ii)[~~-~~] A Kentucky state-supported postsecondary education institution [~~created by~~  
14 ~~KRS 164.001~~].

15 (d) A majority of the student credit hours required for a graduate degree may be met  
16 through a joint, cooperative, or consortia agreement in which the instruction is offered  
17 by a college that is:

- 18 1. A party to the agreement; and
- 19 2. Either:
  - 20 a. Licensed by the Council on Postsecondary Education; or
  - 21 b. A Kentucky state-supported postsecondary education institution [~~created by KRS~~  
22 ~~164.001~~].

23 (e) A college shall have a systematic program of curriculum revision in order to main-

1     tain the general standards of accredited colleges with similar programs.

2         (f) A college shall have a program of evaluation that includes a periodic assessment  
3     of the changes in student achievement.

4         (9) General education.

5         (a) A minimum of fifteen (15) student credit hours for associate degree programs, and  
6     thirty (30) student credit hours for baccalaureate degree programs shall be earned in  
7     general education, including science, mathematics, social and behavioral sciences, and  
8     humanities. A college which offers an interdisciplinary general education program, a  
9     block-type program, or other unique general education program shall be considered to  
10    be in compliance with the general education requirement if the president determines  
11    that the program content and distribution are appropriately related to the degree and in-  
12    stitutional purposes.

13         (b) A new college, or any existing college which initiates a new associate degree or  
14     baccalaureate degree program or major, or other concentration or specialty, after March  
15     5, 2010~~[the effective date of this administrative regulation]~~, shall comply fully from the  
16     outset with the general education requirements.

17         (10) Program supervision and instructional support. Regardless of location, type of  
18     program, method of instruction, or other characteristics, an instructional program for  
19     which degree credit is awarded shall include the following:

20             (a) Adequate supervision by the college; and

21             (b) Other instructional support necessary to maintain the program.

22         (11) Truth in advertising. A college shall meet the requirements established in this  
23     subsection regarding advertising.

1 (a) Advertisements, announcements, or promotional material of any kind which are  
2 distributed in Kentucky shall not contain any statements that are untrue, deceptive, or  
3 misleading with respect to the college, its personnel, its services, or the content, accred-  
4 itation status, or transferability of its courses or degree programs.

5 (b) Advertisements, announcements, or other materials produced by or on behalf of  
6 the college shall not indicate that the college is "supervised", "recommended", "en-  
7 dorsed", or "accredited" by the Commonwealth of Kentucky, by the Council on Postsec-  
8 ondary Education, or by any other state agency. A statement using the name of the  
9 Council on Postsecondary Education, if any, shall be in exactly the following form:  
10 "(Name of College) is licensed by the Kentucky Council on Postsecondary Education."

11 (12) Recruitment and enrollment procedures. A college shall furnish the following to  
12 each student prior to enrollment:

13 (a) The college's policies on grades, attendance, and conduct;

14 (b) A description of the instructional program;

15 (c) A detailed schedule of all charges, rentals, and deposits;

16 (d) The schedule of refunds of all charges, rentals, and deposits; and

17 (e) The student enrollment application, contract, or agreement.

18 (13) Student affairs.

19 (a) Students admitted to the college shall have completed a state-approved second-  
20 ary school program or its equivalent.

21 (b) The college shall provide academic counseling by faculty or staff to each student  
22 at the time of admission and throughout the program.

23 (c) The college shall make assistance and counseling available to each student who

1 completes a technical or vocational program for the purpose of assisting the student  
2 with an appropriate job placement or with transfer.

3 (d) The college shall maintain sufficient records for each student to provide an under-  
4 standing of his background, to record his progress through the instructional program,  
5 and for reference purposes.

6 (e) Administrative officers of the college shall be knowledgeable of the federal and  
7 state laws and administrative regulations concerning the disclosure of student infor-  
8 mation and shall comply with those laws and administrative regulations.

9 (f) A college shall make provision for the maintenance of student records ~~if~~<sup>in the</sup>  
10 ~~event~~] the college ceases operations in accordance with KRS 164.020(23). The location  
11 of student records shall be approved in advance by the president.

12 (14) College policies.

13 (a) The college shall maintain records in an orderly manner and make them available  
14 for inspection by the president or his designated representative.

15 (b) A catalog shall be published and distributed at least every two (2) years and shall  
16 include general information, administrative policies, and academic policies of the college  
17 as indicated below:

18 1. General information:

19 a. Official name and address of the college, name of the chief administrative officers,  
20 members of the governing body, and names of principal owners;

21 b. The college's calendar for the period covered by the catalog including beginning  
22 and ending dates of each term or semester, registration and examination dates, legal  
23 holidays, and other important dates;

- 1 c. Names of faculty, including relevant education and experience; and
- 2 d. Full disclosure of the philosophy and purpose of the college;
- 3 2. Administrative policies:
  - 4 a. Admissions policies and procedures, applicable to the various programs, including
  - 5 policies regarding granting of credit for previous education;
  - 6 b. Policies and procedures regarding student conduct and behavior and the process
  - 7 for dealing with cases which culminate in probation or dismissal;
  - 8 c. Schedules for all tuition and instructional charges, and refund schedules for the tui-
  - 9 tion and instructional charges;
  - 10 d. Statement of financial aid available to students; and
  - 11 e. Procedures for obtaining transcripts in a timely fashion and at reasonable cost; and
- 12 3. Academic policies:
  - 13 a. Policy on class attendance;
  - 14 b. Description of grading system;
  - 15 c. Description of the degree, diploma, certificate, or other programs, including the
  - 16 course requirements and the time normally required to complete each degree, diploma,
  - 17 certificate, or other program; and
  - 18 d. Full description of the nature and objectives of all degrees offered.
- 19 (c) Refund policy on tuition and other instructional charges. The refund policy shall
- 20 meet the minimum requirements established in this paragraph.
- 21 1. If tuition and other instructional charges are collected in advance of enrollment and
- 22 the student fails to enroll, the college shall retain not more than \$100, or not more than
- 23 ten (10) percent of the tuition and other instructional charges for a term or semester,

1 whichever is less.

2 2. a. Except as provided in clause b<sub>2</sub> of this subparagraph, tuition and other instruc-  
3 tional charges shall be charged by the enrollment period, and the student shall not be  
4 obligated for tuition or other instructional charges relating to an enrollment period that  
5 had not begun when the student withdrew.

6 b. The president may approve program tuition for a specific program at a college if a  
7 student may only enroll at the beginning of the program sequence and shall remain in  
8 phase. If program tuition is approved, the college shall refund tuition and other instruc-  
9 tional charges in accordance with its published refund policy that considers both the  
10 coursework completed prior to withdrawal and the coursework that remains.

11 3. If a student withdraws from the college, or if a student fails to attend classes for a  
12 period of thirty (30) days during which classes are in session, the college shall officially  
13 withdraw the student from the college and shall refund an amount reasonably related to  
14 the period for which the student is not enrolled and shall refund 100 percent of all other  
15 tuition and other fees collected by the college for subsequent enrollment or registration  
16 periods unless the student is enrolled in a program for which program tuition is charged  
17 as specified in subparagraph 2<sub>2</sub> of this paragraph.

18 a. After completion of fifty (50) percent of the enrollment period, the college shall not  
19 be required to make refunds of tuition or other fees for that period.

20 b. In all other cases, including illness or accident, the college shall make a refund set-  
21 tlement.

22 c. Refunds shall be made within thirty (30) days after notification of withdrawal has  
23 been received by the college.

1 4. If a college is accredited by an accrediting agency which has a specific refund poli-  
2 cy which is more favorable to the student, that policy shall be followed.

3 5. An out-of-state college shall refund in accordance with this section unless its policy  
4 is more favorable to the student, in which case the latter shall be followed.

5  
6 Section 9. Failure to Apply for a License. (1) If a college which is subject to this ad-  
7 ministrative regulation fails to apply for a license, the president shall notify the college  
8 by registered mail of the requirement to obtain a license.

9 (2) If a license application is not then received within sixty (60) days of notification by  
10 the president, the president shall require the chief administrative officer to appear for a  
11 hearing as provided in Section 14 of this administrative regulation.

12 (3) If the chief administrative officer does not appear for the hearing, the president  
13 shall refer the case to the appropriate county attorney for enforcement.

14  
15 Section 10. Annual Maintenance of a College's License and Renewal of a College's  
16 License. (1) A college shall submit an ["]Application for Annual Maintenance of License  
17 or for Renewal of License Pursuant to 13 KAR 1:020["] to the president in accordance  
18 with subsection (2) of this section. The application shall contain the following infor-  
19 mation:

20 (a) Financial Information.

21 1. A statement from the Kentucky Higher Education Assistance Authority related to  
22 programs administered by that agency and from the United States Department of Edu-  
23 cation related to programs administered by that department that the college is in good



1 standing;

2 2. A statement prepared by an independent certified public accountant confirming  
3 that the college is in compliance with Section 8(3) of this administrative regulation; and

4 3. Financial statement including assets and liabilities and an audit report prepared by  
5 an independent certified public accountant within the last year;

6 (b) Institutional information.

7 1. Name and address of college;

8 2. Chief executive officer's name, title, address, phone number, fax number, and  
9 email address;

10 3. Institutional liaison's name, title, address, phone number, fax number, and email  
11 address;

12 4. A current list of the college's agents;

13 5. Copies of articles of incorporation, charter, constitution, and by-laws if there have  
14 been any changes to the documents within the last year; and

15 6. Copy of any articulation agreement the college has with a Kentucky licensed col-  
16 lege or state-supported institution entered into or changed within the last year;

17 (c) Accreditation status.

18 1. If the college is accredited by an accrediting agency, verification of the college's  
19 accreditation status; or

20 2. If the college is not accredited by an accrediting agency, a statement indicating if,  
21 when, and from whom the college will seek accreditation;

22 (d) Tuition for current enrollment period per credit hour, specifying semester hour,  
23 quarter hour, or other basis, and per full-time student;

1 (e) A copy of the college's current catalog;

2 (f) For an in-state college, a list of all licensed instructional sites away from the main  
3 campus of ~~the~~ in-state college for the purpose of offering courses for college credit  
4 which comprise at least twenty-five (25) percent of the course requirements for a degree  
5 program, including the name and title of the primary contact of the off-campus site, ad-  
6 dress, phone number, and program or programs by CIP code offered at the site, or  
7 course or courses if not offering an entire degree program at the site;

8 (g) Program information.

9 1. Changes, if any, in program requirements for each program within the last year in-  
10 cluding admission requirements, courses required, and the number of credit hours re-  
11 quired for the program or major;

12 2. Results of the most recent program evaluation;

13 3. Methods used to assess student achievement;

14 4. Results of the most recent assessment of student achievement; and

15 5. A list of programs withdrawn within the last year in which there are no longer stu-  
16 dents enrolled including program title, degree level, CIP code, and address where the  
17 program is no longer being offered;

18 (h) Faculty information. Vitae for each program faculty member employed within the  
19 last year;

20 (i) Facilities information. Verification of compliance with all applicable local, state, and  
21 federal safety and fire codes; and

22 (j) Library information regarding the library collection and budget, and lease, contract,  
23 or letter of agreement authorizing use of another library collection, if any.

1       ~~(2)(a) A college whose license expires by July 1, 2010 shall complete and submit the~~  
2       ~~"Application for Annual Maintenance of License or for Renewal of License Pursuant to~~  
3       ~~13 KAR 1:020" for maintenance of its license by May 1, 2010. If that college's license is~~  
4       ~~subsequently renewed, the college shall complete the "Application for Annual Mainte-~~  
5       ~~nance of License or for Renewal of License Pursuant to 13 KAR 1:020" in accordance~~  
6       ~~with paragraph (b) of this subsection for future renewals.~~

7       (b) A college ~~[whose license expires after July 1, 2010]~~ shall complete the ~~["]Applica-~~  
8       ~~tion for Annual Maintenance of License or for Renewal of License Pursuant to 13 KAR~~  
9       ~~1:020["] by April 1 of each~~every~~ year ~~[beginning April 1, 2011].~~~~

10       (3) The president may conduct, or may have conducted, a site visit as part of the an-  
11       ~~nual maintenance of a license or renewal of a license process in accordance with Sec-~~  
12       ~~tion 4 of this administrative regulation.~~

13       (4) Within ninety (90) working days of the submission of a complete and accurate  
14       ~~["]Application for Annual Maintenance of License or for Renewal of License Pursuant to~~  
15       ~~13 KAR 1:020["] if a site visit is not conducted, or within ninety (90) working days of the~~  
16       ~~completion of a site visit, the president shall:~~

17       (a) Notify the college of any deficiencies which shall be corrected before the college's  
18       ~~license is maintained or renewed;~~

19       (b) Deny maintenance or renewal of the college's license;

20       (c) Maintain the college's license without changing the college's license renewal date;

21       or

22       (d) Renew the college's license to June 30 of the next year.

23       (5) A college's failure to submit a complete and accurate ~~["]Application for Annual~~

1 Maintenance of License or for Renewal of License Pursuant to 13 KAR 1:020[~~"]~~] shall be  
2 grounds for denial of a license, or suspension or revocation of an existing license, and  
3 the president shall notify the college by registered mail, return receipt, of the denial,  
4 suspension, or revocation of the college's license.

5  
6 Section 11. Required Data Submission. (1) A licensed college shall submit student  
7 attendance and performance data in an electronic format. The required data fields, the  
8 format and method of submission, and the dates for submission shall be in accordance  
9 with the [~~"]~~Licensure Compliance Reporting Manual[~~"]~~].

10 (2) The president may conduct, or may have conducted, a site visit as part of the data  
11 submission process in accordance with Section 4 of this administrative regulation.

12 (3) A college's failure to submit complete, timely, and accurate data shall be sufficient  
13 grounds for denial of a license, or suspension or revocation of an existing license, and  
14 the president shall notify the college by registered mail, return receipt, of the denial,  
15 suspension, or revocation of the college's license.

16  
17 Section 12. License Expiration. (1) A license shall automatically expire if the college  
18 ceases operating or soliciting.

19 (2) A college that ceases operating or[~~or~~] soliciting shall comply with Section  
20 8(13)(f)[~~8(13)(g)~~] of this administrative regulation and KRS 164.020(23).[~~;~~]

21  
22 Section 13. Consumer Complaint Procedure. A person with a complaint or grievance  
23 involving misrepresentation against a college licensed under this administrative regula-

1 tion shall make a reasonable effort to resolve the complaint or grievance directly with  
2 the college. If a mutually satisfactory solution cannot be reached, the procedures estab-  
3 lished in this section shall be followed.

4 (1) A person shall submit a written complaint to the president which contains evi-  
5 dence relevant to the complaint and documentation that a reasonable effort was made  
6 to resolve the complaint directly with the college.

7 (2) The president shall require an institution to file a written response setting forth the  
8 relevant facts concerning the consumer complaint, including a statement on the current  
9 status of the complaint, and any resolution of the complaint.

10 (3) The president shall review the facts as presented and may intervene to bring the  
11 matter to a satisfactory conclusion through facilitation, but the facilitation shall not in-  
12 clude legal action on behalf of any party.

13

14 Section 14. Hearings and Appeals. (1) The president shall, for cause, require the  
15 chief administrative officer, or other officers, of a college to appear for a hearing con-  
16 sistent with the provisions of KRS 13B.005-13B.170, in order to determine the facts if  
17 the president has determined that there is sufficient cause for a suspension or revoca-  
18 tion of a license or placement of a college's license in a probationary status.

19 (2) The officer, or other officers, of the college may be accompanied at the hearing by  
20 counsel of their own choosing and at their expense.

21 (3) Within thirty (30) working days after a hearing is held, the president shall reach a  
22 determination and shall issue findings, in writing, to the council and to the chief execu-  
23 tive officer of the college.

1 (4) If the findings warrant, the president shall impose the sanctions authorized in this  
2 section.

3 (5) If it is determined that the public interest requires that sanctions be imposed, the  
4 president shall take one (1) or more of the following steps:

5 (a) Impose one (1) of the following sanctions:

6 1. Place the college's license in a probationary status for a designated period not to  
7 exceed one (1) year while deficiencies are being corrected;

8 2. Suspend the college's license for a period not to exceed one (1) year; or

9 3. Revoke the college's license; or

10 (b) Refer the case to other officials for appropriate legal action.

11 (6) A college which is sanctioned, whether the sanction is probation, suspension of  
12 license, or revocation of license, shall comply with the terms of the sanction.

13 (7) A college may appeal the actions of the president regarding the denial of issu-  
14 ance of a license or license renewal or the imposition of sanctions according to the pro-  
15 cedures established in this subsection.

16 (a) A college shall notify the president of the intent to appeal an action within fourteen  
17 (14) days of the receipt of the letter notifying the college of the action taken.

18 (b) The president shall request that the Office of Administrative Hearings appoint a  
19 hearing officer who shall conduct an administrative hearing consistent with the provi-  
20 sions of KRS 13B.005-13B.170.

21 (c) The appeal shall be presented in writing no later than sixty (60) days following the  
22 receipt of notification of intent to appeal. The appeal shall be considered on the written  
23 record alone.

1 (d) The appeals officer shall review findings of fact, consider testimony, draw conclu-  
2 sions, and formulate a recommendation consistent with the facts and this administrative  
3 regulation.

4 (e) Within fourteen (14) days, the report of the appeals officer shall be forwarded to  
5 the college and to the president of the Council on Postsecondary Education.

6 (f) Within thirty (30) working days of receiving the report of the appeals officer, the  
7 president shall take one (1) of the following actions:

- 8 1. Issue a license;
- 9 2. Renew the license;
- 10 3. Impose one (1) of the sanctions authorized in this section;
- 11 4. Refer the case to other officials for appropriate action.

12

13 Section 15. License Fees. (1) The president shall assess a fee in accordance with  
14 the ~~["Kentucky Licensure Fee Schedule" that is incorporated by reference in Section~~  
15 ~~16 of this administrative regulation]~~.

16 (2) Failure to pay a fee shall be sufficient grounds for denial of a license, or suspen-  
17 sion or revocation of an existing license.

18

19 Section 16. Incorporation by Reference. (1) The following material is incorporated by  
20 reference:

21 (a) "Application for Licensure as an In-State, Non-Public Institution to Operate in the  
22 Commonwealth of Kentucky Pursuant to 13 KAR 1:020", November 2009;

23 (b) "Application for Licensure as an Out-of-State Institution to Operate in the Com-



1 monwealth of Kentucky Pursuant to 13 KAR 1:020", November 2009;

2 (c) "Supplementary Application for Change of Name of Institution Pursuant to 13 KAR  
3 1:020", November 2009;

4 (d) "Supplementary Application for Change of Location of Principal Location of a Col-  
5 lege or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR 1:020",  
6 November 2009;

7 (e) "Supplementary Application for Change of Ownership or Governance Pursuant to  
8 13 KAR 1:020", November 2009;

9 (f) "Supplementary Application to Operate as an Out-of-State Institution in the Com-  
10 monwealth of Kentucky Pursuant to 13 KAR 1:020", November 2009;

11 (g) "Supplementary Application to Operate as an In-State Institution in the Common-  
12 wealth of Kentucky Pursuant to 13 KAR 1:020", November 2009;

13 (h) "Supplementary Application for Administrative Site, Recruitment Office, or Advis-  
14 ing Center Pursuant to 13 KAR 1:020", November 2009;

15 (i) "Supplementary Application for Notification of Change in Accreditation or Licen-  
16 sure Status Pursuant to 13 KAR 1:020", November 2009;

17 (j) "Application for Annual Maintenance of License or for Renewal of License Pursu-  
18 ant to 13 KAR 1:020", November 2009;

19 (k) "Licensure Compliance Reporting Manual", September 8, 2009; ~~and~~

20 (l) "Kentucky Licensure Fee Schedule", January 2010; and

21 (m) "Application for Religious In-State College Letter of Exemption per KRS  
22 164.947(2)"  
23 September 2012.

1       (2) This material may be inspected, copied, or obtained, subject to applicable copy-  
2 right law, at the Kentucky Council on Postsecondary Education, 1024 Capital Center  
3 Drive, Suite 320, Frankfort, Kentucky 40601, Monday through Friday, 8 a.m. to 4:30  
4 p.m.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Pam Miller, Chair  
Council on Postsecondary Education

APPROVED AS TO FORM:

\_\_\_\_\_  
Date

\_\_\_\_\_  
Travis Powell, General Counsel  
Council on Postsecondary Education

**PUBLIC HEARING AND PUBLIC COMMENT PERIOD:** A public hearing on this administrative regulation shall be held on November 28, 2012, at 10:00 a.m. at the Council on Postsecondary Education, 1024 Capital Center Drive, Suite 320, Frankfort, Kentucky, 40601, in Conference Room A. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing of their intent to attend. If no notification to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until November 30, 2012.

Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

**CONTACT PERSON:**

Sarah Levy  
Director of Postsecondary Licensing  
Council on Postsecondary Education  
1024 Capital Center Dr.  
Suite 320  
Frankfort, Kentucky 40601  
Phone: 502.573.1555 ext. 350  
Fax: 502.573.1535  
Email: sarah.levy@ky.gov

REGULATORY IMPACT ANALYSIS  
AND TIERING STATEMENT

Administrative Regulation 13 KAR 1:020. *Private College Licensing*

Contact person: Sarah Levy  
Director of Postsecondary Licensing  
Council on Postsecondary Education  
1024 Capital Center Drive, Suite 320  
Frankfort, KY 40601  
502.573.1555 ext. 350  
sarah.levy@ky.gov  
FAX: 502.573.1535

- (1) Provide a brief summary of:
  - (a) What this administrative regulation does: This administrative regulation sets the standards and rules related to the licensing of private postsecondary education institutions and proprietary postsecondary education institutions that are not licensed by the Commission on Proprietary Education.
  - (b) The necessity of this administrative regulation: KRS 164.945 through 164.947 requires the Council on Postsecondary Education to license these institutions as a protection for Kentucky citizens and to protect bona fide institutions from those who engage in fraudulent practices, unfair competition or substandard educational programs.
  - (c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 164.947 requires that the Council on Postsecondary Education, by regulation, shall adopt standards and procedures for the licensing of colleges.
  - (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: The administrative regulation sets out the standards institutions must meet in order to be licensed to operate in Kentucky. It also defines the process for new license applications, for amendments to licenses, and for license renewals.
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:
  - (a) How the amendment will change this existing administrative regulation: The

process for a religious in-state college to operate or solicit in Kentucky outside the standard licensure process is outlined.

- (b) The necessity of the amendment to this administrative regulation: In keeping with KRS 164.947(2), the amendment allows religious in-state colleges to provide, without restriction, religious instruction or training solely for the purpose of preparing students for occupations in the ministry while still meeting the Council's standards for consumer protection.
  - (c) How the amendment conforms to the content of the authorizing statutes: The amendment conforms with the requirements of KRS 164.947(2) by ensuring that the Council does not restrict religious instruction or training through its licensure process.
  - (d) How the amendment will assist in the effective administration of the statutes: The amendment provides a process by which a religious in-state college can request an exemption from standard licensure so that solely religious instruction or training shall not be restricted.
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: Religious in-state colleges will be impacted as they were previously required to complete an application for licensure with the Council and, under the amendment, will now complete an application for exemption.
- (4) Provide an assessment of how the above group or groups will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
- (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: A religious in-state college will complete the "Application for Religious In-State College Letter of Exemption per KRS 164.947(2)" and submit to the Council for the institution to receive the religious exemption set forth in Section 2(7) of the administrative regulation.
  - (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There will be no fee for this.

Institutions will just have the cost of staff to complete the application form each year.

- (c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The benefit to the institutions will be a simple process to obtain authority to operate or solicit in Kentucky in keeping with the requirements of KRS 164.947(2) that solely religious instruction or training not be restricted.
- (5) Provide an estimate of how much it will cost to implement this administrative regulation:
  - (a) Initially: We estimate that there will be no additional cost in implementing the proposed changes to the regulation.
  - (b) On a continuing basis: We estimate that there will be no additional costs on a continuing basis to implement the proposed changes to the regulation. However, fewer licensing fee revenues may be collected if currently licensed institutions meet the requirements to be considered a religious in-state college.
- (6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: License fees.
- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or due to the change, if it is an amendment: No. Implementation of this amendment does not require an increase in fees or funding.
- (8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: No. The proposed amendment to the regulation does not establish any fees or directly or indirectly increase fees.
- (9) TIERING: Is tiering applied? Tiering is applied. If able to verify the requirements set forth in Section (2)7, religious in-state colleges shall be exempt from the standard college licensing requirements of this regulation.

## FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation No. 13 KAR 10:020. Private College Licensing.

Contact Person: Sarah Levy

1. What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? CPE is responsible for implementation, but this regulation only applies to private colleges and universities.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 164.947 and 164.020(37)

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. This change will have minimal impact.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? We estimate revenue generation of \$272,400 per year for CPE.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? See 3.

(c) How much will it cost to administer this program for the first year? Approximately \$274,000.

(d) How much will it cost to administer this program for subsequent years? Approximately \$274,000.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: N/A



### **Summary of Material Incorporated by Reference**

The “Application for Religious In-State College Letter of Exemption per KRS 164.947(2)” is a three page form and sworn affidavit required to be submitted by an institution for it to receive the religious exemption set forth in Section 2(7) of this administrative regulation.



**APPLICATION FOR RELIGIOUS IN-STATE COLLEGE  
 LETTER OF EXEMPTION per KRS 164.947(2)  
 Edition September 2012**

Please type or print.

<b>Name of Religious Institution</b>				
<b>Physical Address of Religious Institution</b>				
Address 1				
Address 2				
City		State		Zip
Web Site				
<b>Mailing Address of Institution or Representative (If different from address listed above)</b>				
Address 1				
Address 2				
City		State		Zip
<b>Name, Title, and Contact Information of Person Executing Sworn Affidavit (Affiant must be an Officer, Director, or person holding similar office with the religious institution)</b>				
Name				
Title				
Phone				
Fax				
Email				

# SWORN AFFIDAVIT

A religious in-state college may operate or solicit in Kentucky if the college annually submits to the council an Application for Religious In-State College Letter of Exemption per KRS 164.947(2) that verifies the statements below. By signing below, the undersigned swears and affirms that the statements found in subparagraphs (a) through (h) are true and accurate:

(a) The institution is a nonprofit, owned, maintained, and controlled by a church or religious organization which is exempt from property taxation under the laws of Kentucky.

(b) The name of the institution includes a religious modifier or the name of a religious patriarch, saint, person, or symbol of the church.

(c) The institution offers only educational programs that prepare students for religious vocations as ministers or laypersons in the categories of ministry, counseling, theology, religious education, administration, religious music, religious fine arts, media communications, or social work.

(d) The titles of degrees issued by the institution are distinguished from secular degree titles by including a religious modifier that:

1. Immediately precedes, or is included within, any degree title, including an Associate of Arts, Associate of Science, Bachelor of Arts, Bachelor of Science, Master of Arts, Master of Science, Advanced Practice Doctor, Doctor of Philosophy, or Doctor of Education degree; and

2. Is placed on the title line of the degree, on the transcript, and whenever the title of the degree appears in official school documents or publications.

(e) The duration of all degree programs offered by the institution are consistent with 13 KAR 1:020 Section 8(8)(b).

(f) The institution will comply with the truth in advertising requirements established in Section 8(11)(a) of this administrative regulation.

(g)1. The institution will disclose to each prospective student:

- a. A statement of the purpose of the institution, its educational programs, and curricula;

- b. A description of its physical facilities;

- c. Its status regarding licensure;

- d. Its fee schedule and policies regarding retaining student fees if a student withdraws;

- e. Its refund policy on tuition and other instructional charges; and

- f. A statement regarding the transferability of credits to and from other institutions.

2. The institution will make the disclosures required by subparagraph 1. of this paragraph in writing at least one (1) week prior to enrollment or collection of any tuition from the prospective student. The required disclosures may be made in the institution's current catalog.

(h) The institution will not seek to be eligible for state or federal financial aid.

**SWORN AFFIDAVIT**

**Signed:**

**Notarization:**

COMMONWEALTH OF KENTUCKY County of: \_\_\_\_\_

SWORN TO OR AFFIRMED before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Personally known \_\_\_\_\_ OR ~~~ Produced Identification \_\_\_\_\_

List type of identification produced:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Signature of Notary:**

**Printed Name of Notary:**

**Notary Seal**

**Council on Postsecondary Education**  
**September 14, 2012**

**New Academic Programs**

**ACTION:** The staff recommends that the Council approve the following academic programs: M.A., Gerontology - Kentucky State University; M.A., Linguistic Theory and Typology - University of Kentucky; Post-Baccalaureate Certificate, Autism and Applied Behavior Analysis - University of Louisville; Post-Master's Certificate, Critical Care Nursing - University of Louisville; and B.A., Latin American and Latino Studies - University of Louisville.

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions. Council staff has reviewed and recommends for approval the following programs from Kentucky State University, the University of Kentucky, and the University of Louisville.

**Kentucky State University**

M.A. in Gerontology (CIP Code: 45.9999). Gerontology is one of the fastest growing disciplines within the field of Health and Human Services. According to the U.S. Census, the population of those 60 and older grew at a faster rate, and 20 percent of that group consisted of minorities. Employment opportunities exist in assisted living facilities, geriatric care centers, home health care agencies, hospice facilities, hospital systems, rehabilitation facilities, residential care facilities for the elderly, retirement communities, skilled nursing facilities, and special programs (AIDS and mental health).

**University of Kentucky**

M.A. in Linguistic Theory and Typology (CIP Code: 16.0102). Linguistic Theory and Typology will prepare students for careers in the high-tech industry; text-based consultancies in law and medicine; cryptology jobs in government intelligence services, interpretation, and translation; and language education. Demand for trained language experts and linguists is projected to grow 14-19 percent from 2010 to 2020. In the southeastern United States, there are only nine M.A. programs in Linguistic Theory and Typology. As the world becomes more connected through technology and expanded communications and more students desire to stay in this region, UK is well positioned geographically to take advantage of this growing demand.

## University of Louisville

Post-Baccalaureate Certificate in Autism and Applied Behavior Analysis (CIP Code: 13.1013). UofL has a collaborative program with the College of Education, the Medical School, and several agencies in the metro area to provide autism screening, interventions, and parent and provider education. This certificate program will help teachers understand autism and how to teach children with autism. With the growing number of children being diagnosed with Autism Spectrum Disorders, it is a specialty in high demand.

Post-Master's Certificate in Critical Care Nursing (CIP Code: 51.3814). Advanced practice nurses play a critical role in the initiatives outlined by the Patient Protection and Affordable Care Act (Public Law 111–148) enacted in 2010. Providing specialty training in a post-master's certificate format is an expeditious way to train nurses who already have a master of science in nursing degree but wish to specialize further. The certificate program would meet Kentucky regulatory guidelines that dictate that post-master's students obtaining further training for clinical certification in a new specialty area must obtain a program certificate recognized by the educational institution. In addition, certifying bodies require that students must obtain a certificate from a recognized certificate program to be eligible for the adult acute care nurse practitioner certification exam.

B.A. in Latin American and Latino Studies (CIP Code: 05.0107). With the ever-increasing importance of the Latino presence in the United States, there is a growing interest in Latin American history, politics, and culture and in issues concerning immigration, equality, social justice, multiculturalism, and identity. Given the interdisciplinary nature of the curriculum, this degree will prepare students for a career in a number of diverse fields: healthcare, education, social work, foreign services, government agencies, international business or regional business with international interests, law enforcement, legal agencies and court interpretation, and not-for-profit organizations, as well as post-graduate degree programs.

**Council on Postsecondary Education**  
**September 14, 2012**

## **Statewide General Education Transfer Appeals Process**

<p><b>ACTION:</b> The staff recommends that the Council approve the Statewide General Education Transfer appeals process as required by KRS 164.2951.</p>
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KRS 164.2951 (2 (m)) authorizes the Council on Postsecondary Education, in collaboration with the public universities and community and technical colleges, to establish an appeals process to resolve disagreements between transferring students and receiving educational institutions regarding the transfer and acceptance of general education credits earned at another institution. Council staff worked with the Statewide Transfer Committee and the Council of Chief Academic Officers to develop this process (see attached).

This process allows either a sending institution or a student to initiate the statewide appeals process if credit is denied following the receiving institution's internal appeals process. An ad hoc committee of the Statewide Transfer Committee will be convened by the Council's senior vice president for academic affairs. This subcommittee will make a recommendation to the Statewide Transfer Committee to either uphold the receiving institution's decision to deny the transfer credit in question or require the acceptance of the transfer credit in question by the receiving institution.

If the receiving institution disagrees with the decision of the Statewide Transfer Committee, the receiving institution may appeal that decision to the Council's senior vice president for academic affairs. The senior vice president can either uphold the decision of the Statewide Transfer Committee and require the receiving institution to abide by that decision or overturn the decision, in which case the receiving institution is not required to implement the committee's decision.

# Statewide General Education Transfer Appeals Process

## I. Introduction

This document describes procedures, created by the Council on Postsecondary Education (the Council), in collaboration with the public universities and the Kentucky Community and Technical College System, which deal with disagreements related to the transfer of general education credits as detailed in the [General Education Transfer Policy and Implementation Guidelines](#).

## II. Definitions

A **transfer student** is a student who transfers credit from one Kentucky public higher education institution (sending institution) to another Kentucky public higher education institution (receiving institution) with the intention of completing their educational program at the receiving institution.

A **sending institution** refers to the Kentucky public institution of higher education of most recent previous enrollment by a transfer student at which transferable academic credit was earned.

A **receiving institution** refers to the Kentucky public institution of higher education at which a transfer student currently desires to enroll or is enrolled.

A **Transfer Policy Liaison** refers to the person at each Kentucky public institution of higher education who serves as a central point of contact for students wishing to appeal the transfer of general education credit(s). This person must be well versed in details of the [General Education Transfer Policy and Implementation Guidelines](#) and is responsible for corresponding with students regarding institutional and statewide transfer appeals processes.

## III. Statutory Authority

[KRS 164.2951 \(2 \(m\)\)](#) authorizes the Council on Postsecondary Education, in collaboration with the public universities and community and technical colleges, to establish an appeals process to resolve disagreements between transferring students and receiving educational institutions regarding the transfer and acceptance of general education credits earned at another institution.

## IV. General Education Statewide Transfer Appeals Process

Student transfer is a critical part of Kentucky's efforts to build a seamless postsecondary system and increase the number of Kentuckians holding a baccalaureate degree. A statewide transfer appeals process provides students a clear path for petitioning disputes regarding general education transfer decisions.



## A. Background

The first statewide [General Education Transfer Policy](#) was created by the Kentucky Council on Higher Education, in collaboration with public universities and the University of Kentucky Community College System, and implemented in the spring 1996 semester. The policy focused on the similarities in outcomes of general education programs across institutions and facilitated block transfer of credit by establishing a 33-hour general education transfer component consisting of five categories of instruction. According to the policy, “A student appeals process will be developed as part of the implementation process. At a minimum, it will provide for institutional review of transfer decisions governed by institutional policies and the statewide General Education Transfer Policy. A second state-level review will be available for transfer decisions governed by the statewide General Education Transfer Policy.”

The Council on Postsecondary Education, in collaboration with the public universities and the Kentucky Community and Technical College System, revised the [General Education Transfer Policy](#) for the spring 2005 semester. Revisions to the policy included changes to the levels of certification and the implementation of automatic transfer certification for all students requesting transcripts to be sent to any Kentucky public postsecondary institution. The guidelines for student appeal of transfer decisions remained largely unchanged: “A student appeals process will be in place on each institution’s campus. It will provide for institutional review of transfer decisions governed by institutional policies and the Statewide Transfer Committee. A second state-level review will be available for transfer decisions governed by this committee.”

The next revision of the [General Education Transfer Policy and Implementation Guidelines](#) occurred following the passage of KRS 164.2591, with an effective date of fall 2012. Major revisions involved the identification of the competencies and student learning outcomes of five categories of courses and the requirement that courses be accepted for transfer and degree credit, whether earned as individual courses or within block programs. The policy stipulates that an appeals process be in place on each institution’s campus and a second state-level review, governed by the Statewide Transfer Committee, be made available. This statewide general education transfer appeals process is described below.

## B. Principles

- 1. Each institution must establish a transfer decision appeals procedure.** Each public institution must have an established process for students to appeal decisions regarding transfer of general education credits. This process shall be well publicized and address the communication of the decision and timelines for the process.
- 2. Each institution must designate an entity with final authority for general education transfer decisions.** Each public institution will designate a person or committee with final institutional authority on the transferability of general education courses at the institutional level.
- 3. Each institution must designate a Transfer Policy Liaison.** Each public institution shall designate a Transfer Policy Liaison for the purposes of this statewide appeals process. The liaison should be knowledgeable of the General Education Transfer Policy and Implementation Guidelines and will guide and assist students through the statewide appeals process. The liaison need not have dispositive authority with regard to the transferability of general education courses at the institution nor must his or her official title be “Transfer Policy Liaison.” Each institution will publicize the contact information for the liaison on its website, in its catalog, and in transfer-related publications.

## C. Procedures

1. **Receiving Institution Denies Credit.** If a receiving institution makes a decision to deny the transfer of any general education credit, the Transfer Policy Liaison of the receiving institution will promptly inform the student of this decision in writing and will also apprise the student of the receiving institution's appeals process.
  - a. The student may accept the decision, and the transfer credit in question is not granted by the receiving institution; or
  - b. The student may disagree with the decision and initiate the institutional appeals process at the receiving institution.
2. **Institutional Appeals Process at Receiving Institution.** A student who wishes to appeal a decision regarding the transfer of general education credit must file an appeal with the Transfer Policy Liaison of the receiving institution, according to the appeals procedure established at the receiving institution.
3. **Receiving Institution Follows Institutional Appeals Process and Sends Notification of Decision.** After following its institutional appeals process, the receiving institution will notify, in writing, the student, the Transfer Policy Liaison at the sending institution, and the Transfer Coordinator at the Council on Postsecondary Education of the receiving institution's appeal decision.
  - a. The student may accept the receiving institution's appeals decision, at which point the process ends; or
  - b. The student may disagree with the receiving institution's appeals decision and petition the sending institution to consult with the receiving institution on the student's behalf. Such a petition must be lodged within ten (10) days of receiving the result of the institutional appeals decision. The sending institution may choose to consult with the receiving institution on behalf of the student (Step 4), or may decline to do so. In the latter case, the student may then choose to lodge a statewide appeal (Step 6). In either case, the Transfer Policy Liaison at the sending institution will communicate its intentions (i.e., whether or not it chooses to consult with the receiving institution) to the student and the receiving institution within ten (10) days of receiving such a petition from the student.
4. **Sending and Receiving Institutions Consult.** Should the sending institution believe (whether or not a formal request from the student has been lodged under Step 3b) that a violation of the General Education Transfer Policy and Implementation Guidelines has occurred, the sending institution's Transfer Policy Liaison may consult with the receiving institution's Transfer Policy Liaison on behalf of the student, in an attempt to resolve the disagreement. The sending institution must initiate such a consultation within ten (10) days of the receipt of the receiving institution's appeal decision, or a petition from the student under Step 3b, as appropriate. The receiving institution will inform the student and the Transfer Coordinator at the Council on Postsecondary Education of the results of this consultation within ten (10) working days of its initiation. If, after consultation,
  - a. The sending institution and the receiving institution agree that the general education transfer credit in question should be accepted, the student and the Transfer Coordinator at the Council on Postsecondary Education will be notified in writing and the process ends; or

- b. The sending institution and the receiving institution do not come to an agreement about the transfer of the general education credit in question, the sending institution will initiate the statewide appeals process on behalf of the student (see Step 5); or
    - c. The sending institution and the receiving institution agree that the credit in question should not transfer, the student and the Transfer Coordinator at the Council on Postsecondary Education will be notified in writing. The receiving institution will then provide the student information regarding the statewide appeals process, which the student may then choose to initiate (see Step 6).
5. **Sending Institution Requests Statewide Appeal.** If, following Step 4b, the Transfer Policy Liaison at the sending institution continues to believe that a violation of the General Education Transfer Policy and Implementation Guidelines has occurred, the Transfer Policy Liaison at the sending institution may initiate the statewide appeals process (Step 7). The written request must be submitted to the Council's Transfer Coordinator within ten (10) working days of the failure to reach agreement through consultation. The appeal must include:
  - A detailed history of the dispute; and
  - Supporting documents, including course(s) description or syllabus, and student learning outcomes of the course(s) in question.
6. **Student Requests Statewide Appeal.** If, following Step 4c, the student continues to believe that a violation of the General Education Transfer Policy and Implementation Guidelines has occurred, the student may initiate the statewide appeals process (Step 7). A written request must be submitted to the Council's Transfer Coordinator within ten (10) working days of the notification of the agreement of the sending and receiving institutions not to allow the transfer. The appeal must include:
  - A detailed history of the dispute; and
  - Supporting documents, including course(s) description or syllabus, and student learning outcomes of the course(s) in question.
7. **Statewide Appeal Process Begins.** Upon receipt of an appeal from either the sending institution (Step 5) or the student (Step 6), the Senior Vice President of Academic Affairs will notify all other parties of the appeal. The receiving and sending institutions may then offer further supporting evidence and arguments within ten (10) days of being notified; these will be provided to the *ad hoc* subcommittee.
8. **Ad Hoc Subcommittee Is Created and Convened.** The Senior Vice President for Academic Affairs at the Council will convene an *ad hoc* appeals subcommittee of the Statewide Transfer Committee. The subcommittee will be composed of three members of the Statewide Transfer Committee and will be appointed by the Senior Vice President of Academic Affairs. No member of the subcommittee may be from an institution involved in the appeal. The appeals subcommittee shall evaluate the rationale for the appeal and any supporting materials provided by the appellant, the receiving institution, and/or the sending institution. Faculty with expertise relevant to the course(s) contested will be consulted. The subcommittee will make a recommendation to the Statewide Transfer Committee either to:

- a. Uphold the receiving institution's decision to deny the transfer credit in question; or
  - b. Require the acceptance of the transfer credit in question by the receiving institution.
9. **Statewide Transfer Committee Makes Decision and Informs All Parties.** After considering the recommendation of the *ad hoc* appeals subcommittee, the Statewide Transfer Committee shall make a determination regarding the disposition of the appeal. The Council's Transfer Coordinator will inform both institutions and the student of the Statewide Transfer Committee's determination, in writing, within thirty (30) days of the initiation of the statewide appeals process.
  - a. If the receiving institution accepts the decision of the Statewide Transfer Committee, the process ends; or
  - b. If the receiving institution disagrees with the decision of the Statewide Transfer Committee, its Transfer Policy Liaison can appeal to the Senior Vice President for Academic Affairs at the Council.
10. **Receiving Institution Appeals Statewide Transfer Committee Decision.** If the receiving institution disagrees with the decision of the Statewide Transfer Committee, the receiving institution may appeal that decision to the Senior Vice President of Academic Affairs of the Council on Postsecondary Education, in writing, within ten (10) days of receiving the decision of the Statewide Transfer Committee. The Senior Vice President of Academic Affairs, consulting all documentation provided through the statewide appeals process, will make a final determination, to either:
  - a. Uphold the decision of the Statewide Transfer Committee and require the receiving institution to abide by that decision; or
  - b. Overturn the decision of the Statewide Transfer Committee, in which case the receiving institution is not required to implement the committee's decision.
11. **Record of Decisions Is Made Available upon Request.** A record of general education transfer appeals decisions will be maintained by the Council. This record, with student identifiers removed, will be made available to Kentucky public postsecondary institutions upon request, and pertinent records may be disseminated as appropriate.
12. **Precedent Can Be Invoked.** In the event that the circumstances of a given appeal appear identical to those in a previous appeal (as evidenced by the records maintained according to Step 11), any party (student, receiving institution, or sending institution) may, at any step in the process, petition the Statewide Transfer Committee to inform all parties accordingly. Thereafter,
  - a. All parties will agree that the case will be resolved according to precedent; or
  - b. Any party that wishes to challenge this precedent may appeal to the Senior Vice President for Academic Affairs at the Council, whose decision, after consultation with appropriate parties, shall be final.
  - c. In the event that a ruling under 12 negates a previous precedent, such a ruling also nullifies the precedent for the case in question.

**Council on Postsecondary Education  
September 14, 2012**

**University of Kentucky  
Construct Farmhouse Fraternity House**

**ACTION:** The staff recommends that the Council approve the request of the University of Kentucky to construct the Farmhouse Fraternity House with \$3,600,000 of private funds from the Farmhouse Fraternity and its members. The project scope is \$3,600,000.

The University of Kentucky proposes to construct the Farmhouse Fraternity House using \$3,600,000 of private funds. The project scope is \$3,600,000. The University of Kentucky Board of Trustees approved the project at its meeting September 13, 2012.

The new Farmhouse Fraternity House will be a three story structure of approximately 20,000 square feet. The facility will provide housing for 52 fraternity members. Rooms will be arranged in suite fashion with two two-man rooms sharing a bathroom. Other spaces within the facility will include first floor living and dining facilities, a commercial kitchen, library, and second floor lounge. An efficiency apartment for the house mother will be located on the first floor. The exterior of the building will be comprised of a brick veneer on a wood frame structure. The roof will be asphalt shingles on a wood frame roof truss structure. The building will utilize a geothermal heating and cooling system. The new fraternity house will be constructed on Rose Lane at lots 454 and 456 in Lexington, Kentucky, on land owned by the University of Kentucky. The project is scheduled to be completed December 2013.

The Council has the statutory responsibility to review and approve postsecondary education capital projects costing \$600,000 or more and equipment items costing \$200,000 or more, regardless of fund source, that have been approved by an institution's governing board. Since the estimated cost of this project exceeds the threshold, the Council and the Capital Projects and Bond Oversight Committee must approve the project before it is initiated. During the interim, capital projects are evaluated under KRS 45.760 (5), (7) and KRS 45.763. The project meets the requirement of KRS 45.760 (5), (7) that the source of funds be at least 50 percent federal or private.

The process for a project that exceeds the thresholds:

- The project must be approved by the institution board of trustees or regents.
- The project is submitted to the Council on Postsecondary Education for review and action.

- For EKV, KCTCS, KSU, and MoSU, if action by CPE is affirmative, the project is submitted to the secretary of the Finance and Administration Cabinet for review and action and subsequently submitted by the secretary to the Capital Projects and Bond Oversight Committee for review.
- For MuSU, NKU, UK, UofL, and WKU, if action by CPE is affirmative, the project is submitted by the institution to the Capital Projects and Bond Oversight Committee for review and a copy provided to the Finance and Administration Cabinet as information.
- Following review and action by the appropriate agencies, the project may be initiated by the requesting institution.

The project requires interim authorization because the project and funds have all come forward after the 2012 -14 biennial budget was enacted. Therefore, authorization is needed to allow the university to properly design the project, complete the project bid process, award a contract, and complete the project. The project will be implemented under the prevailing wage law. The project will result in improvements to state-owned property with ownership of the improvements to accrue to the university upon completion.

The University of Kentucky confirms that the private funds come from the Farmhouse Fraternity and its members and will be available prior to initiation of construction. The project will not result in additional requests from the General Fund for maintenance and operating funds.

The project meets the requirement of KRS 45.760(7) that the source of funds be at least 50 percent federal or private. The university will not issue any debt to finance any portion of this project, thus, the provisions of KRS 45.763 do not apply. The University of Kentucky's Capital Project Management Division will implement the project.

Following Council action, staff will forward the Council's recommendation to the president of the University of Kentucky, the secretary of the Finance and Administration Cabinet, and the Capital Projects and Bond Oversight Committee.

**Council on Postsecondary Education  
September 14, 2012**

**University of Louisville  
Health Sciences Center Utilities Hazard Mitigation Project**

**ACTION:** The staff recommends that the Council approve the request of the University of Louisville to implement the Health Sciences Center Utilities Hazard Mitigation Project with \$1,100,000 of federal and institutional funds (\$825,000 from the U.S. Department of Homeland Security Federal Emergency Management Agency and \$275,000 from the University of Louisville Plant Funds). The project total scope is \$1,100,000.

The University of Louisville proposes to implement a capital project to address the Health Sciences Center Utilities using \$1,100,000 of federal and institutional General Funds. The project total scope is \$1,100,000. The university was notified of the approval of the federal grant June 1, 2012. Funds required to implement the project are available. The University of Louisville's Board of Trustees approved the project June 28, 2012.

The project will replace approximately two blocks of high voltage electrical overhead utility feeder lines serving the University of Louisville Health Sciences Campus from the Louisville Gas & Electric substation at Clay/Madison Streets with underground lines. The underground feeders will go from the Center for Translational Research building to the School of Dentistry. Burial of feeder lines eliminates vulnerability of power lines to ice, snow, motor vehicles, wind, and falling trees that result in outages. Experience has shown that underground raceway and manhole flooding typically does not cause electrical service reliability problems. This project will greatly enhance the dependability of the electrical power to the Health Sciences Center.

The Council has the statutory responsibility to review and approve postsecondary education capital projects costing \$600,000 or more and equipment items costing \$200,000 or more, regardless of fund source, that have been approved by an institution's governing board. During the interim, capital projects are evaluated under KRS 45.760 (5), (7) and KRS 45.763. The UofL project meets the requirement of KRS 45.760 (5), (7) that the source of funds be at least 50 percent federal or private.

The process for a project that exceeds the thresholds:

- The project must be approved by the institution board of trustees or regents.
- The project is submitted to the Council on Postsecondary Education for review and action.
- For EKV, KCTCS, KSU, and MoSU, if action by CPE is affirmative, the project is submitted to the secretary of the Finance and Administration Cabinet for review and action and subsequently submitted by the secretary to the Capital Projects and Bond Oversight Committee for review.
- For MuSU, NKU, UK, UofL, and WKU, if action by CPE is affirmative, the project is submitted by the institution to the Capital Projects and Bond Oversight Committee for review and a copy provided to the Finance and Administration Cabinet as information.
- Following review and action by the appropriate agencies, the project may be initiated by the requesting institution.

The project requires interim authorization because the grant funds to support the project have come forward after the 2012-14 biennial budget was enacted. Therefore, authorization is needed to allow the university to properly design the project, complete the project bid process, award a contract, and complete the work by July 15, 2013. The project will be implemented under the prevailing wage law.

The University of Louisville confirms that the federal funds come from a grant from the U.S. Department of Homeland Security Federal Emergency Management Agency, awarded through the Kentucky Division of Emergency Management (KYEM), and the institutional funds are from the university plant fund. All funds that are required to implement the capital project are available. The project will not result in requests from the General Fund for maintenance and operating funds.

The project meets the requirement of KRS 45.760(7) that the source of funds be at least 50 percent federal or private. The university does not anticipate debt financing any portion of this project, thus, the provisions of KRS 45.763 do not apply. The University of Louisville's Office of the Vice President for Business Affairs will implement the project.

Following Council action, staff will forward the Council's recommendation to the president of the University of Louisville, the secretary of the Finance and Administration Cabinet, and the Capital Projects and Bond Oversight Committee.



**Council on Postsecondary Education**  
**September 14, 2012**

## **2012-13 Agency Operating Budget**

The following FY13 agency operating budget provides a summary of revenues and expenditures proposed for the new fiscal year, as well as comparative information from FY12. A more technical and detailed version of the proposed FY13 agency budget is available upon request.

Traditionally, most attention is paid to the Council's recurring General Fund appropriation and the corresponding expenditures. While the public postsecondary institutions received a General Fund reduction of 6.4 percent for FY13, the Council's overall General Fund budget was reduced by the same amount as most state agencies (8.4 percent). Since language in the state budget bill specifies smaller reductions in some areas of the Council's budget, reductions in other areas are slightly higher than 8.4 percent. It is important to note that the Council's total agency budget does include other sources of revenue including tobacco settlement funds and cigarette tax revenue that support cancer research, trust funds that provide incentives for institutions to enhance various strategic initiatives, federal funds that support Kentucky's Adult Education programs, Kentucky GEAR UP, teacher quality grants, and miscellaneous agency receipts. All of these various funds have traditionally been channeled through the Council from an accounting and budgetary perspective.

The proposed FY13 agency operating budget is divided into three main parts:

1. Statewide Coordination
2. Kentucky Adult Education
3. Statewide Educational Programs and Services
  - Student Assistance and Educational Support
  - Technology and Academic Support
  - Research and Economic Development

### **Statewide Coordination**

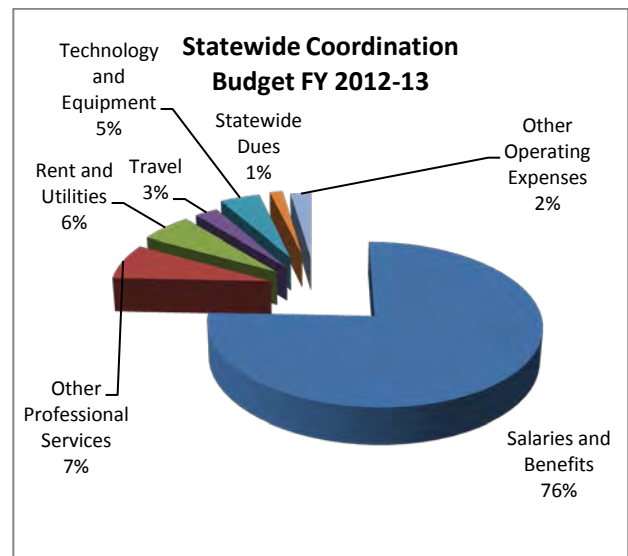
The budget for statewide coordination supports the traditional expenditures associated with a postsecondary education coordinating board, including funds spent for policy leadership, strategic planning, regulation of the state's postsecondary education system, biennial budget preparation and tuition setting, academic affairs and program review, administrative services, communications, economic and STEM initiatives, and information, research, and technology.

#### *FY13 Budget Highlights*

- Only 14 percent of the Council's FY13 General Fund appropriation is allocated to agency operations (statewide coordination, research, data collection, administration, etc.); 86 percent funds statewide educational programs and services.

- An additional budget reduction of \$140,000 is built into this budget to ensure that an additional small budget cut would not keep the agency from meeting all of its obligations.
- As with most service agencies, salaries and benefits account for the largest portion of the budget (76 percent of the 14 percent). The category “other professional services,” which represents 7 percent of the budget, supports personnel on contract with the Council. State level coordination of Kentucky Adult Education (KYAE) staff information is on the following page.
- General Fund currently supports 54 full-time and one part-time Statewide Coordination (non-KYAE) staff members. The General Fund supported an additional 16 people in FY08.
- Travel expenses, which include Council meeting reimbursements, will be reduced slightly in FY13.

<b>Table 1: Statewide Coordination</b>		
	<b>FY12 Actual</b>	<b>FY13 Budgeted</b>
<b>REVENUE</b>		
Carry-forward Funds	\$466,812	\$845,812
General Fund	6,285,684	5,750,900
Restricted Agency Receipts	501,211	440,000
Fund Transfer to the GF	(93,400)	0
<b>Total</b>	<b>7,160,307</b>	<b>7,036,712</b>
<b>EXPENDITURES</b>		
Salaries and Benefits	4,714,589	4,933,700
Other Professional Services	401,621	482,200
Rent and Utilities	392,953	386,900
Travel	175,088	171,900
Technology and Equipment	380,368	296,900
Statewide Dues	99,248	95,000
Other Operating Expenses	149,967	153,900
<b>Total</b>	<b>\$6,313,834</b>	<b>\$6,520,500</b>



## Kentucky Adult Education (Statewide Administration and Instruction)

Kentucky Adult Education was transferred to the Council in 2003 as a way to help strengthen the link between attaining a GED and the growing need to obtain some type of postsecondary education degree or credential to enhance employability and help bring about the other private and public benefits of higher education. Comprehensive adult education programs are offered in every Kentucky county. Services offered include all levels of adult education instruction, English as a second language (ESL), family literacy, corrections education, and workforce education.

In recent years, the Council consolidated various KYAE administrative functions and centralized a number of key support functions with other Council operations. However, for the budget display highlighted below, only estimated salaries and benefits for statewide coordination are included in the expenditure totals.

### *FY13 Budget Highlights*

- General Fund accounts for 64 percent of KYAE’s program budget and federal funds account for about 36 percent.
- Almost 90 percent of KYAE program funds are distributed to the local adult education providers.
- General Fund appropriation supports 19 staff members that work under the Council and provide statewide coordination and leadership for KYAE. Staff has been reduced by eight since FY08.

<b>Table 2: KYAE Statewide Administration and Instruction</b>		
	<b>FY12 Actual</b>	<b>FY13 Budgeted</b>
<b>REVENUE</b>		
General Fund – Regular Appropriation	\$21,206,000	\$19,548,600
General Fund – Continuing Appropriation	3,772,400	2,454,400
General Fund – Statewide Coordination Personnel	898,016	940,000
Federal Funds	10,678,400	12,322,000
Agency Receipts	739,402	240,000
Agency Carry Forward Funds	65,662	9,710
Fund Transfer to the General Fund	(47,200)	0
<b>Total</b>	<b>37,312,680</b>	<b>35,514,710</b>
<b>EXPENDITURES</b>		
Statewide Coordination Salary and Benefits	898,016	940,000
Program Support	30,541,732	31,816,600
System Support	2,154,707	2,148,400
GED Program	748,154	249,710
Administration/Other	360,850	360,000
<b>Total</b>	<b>\$34,703,459</b>	<b>\$35,514,710</b>

## Statewide Educational Programs and Services

### Student Assistance and Educational Support

Kentucky's contract spaces program accounts for approximately 85 percent of the budget for student assistance and educational support. Since the Commonwealth does not have professional schools in veterinary medicine and optometry, the contract spaces program provides Kentucky students with access to training spaces in these two professional programs through contracts with the Southern Regional Education Board (SREB) and Indiana University. This area of the budget also supports a small number of educational and college preparation programs that mostly serve underrepresented and at-risk youth and young adults.

#### *FY13 Budget Highlights*

- Budget language directs the Council to continue to fund 164 veterinary medicine spaces and 44 optometry spaces for Kentucky residents.

<b>Table 3: Student Assistance and Educational Support</b>		
	<b>FY12 Actual</b>	<b>FY13 Budgeted</b>
<b>REVENUE</b>		
General Fund	\$5,337,500	\$5,779,900
<b>EXPENDITURES</b>		
Contract Spaces Program	4,578,602	5,049,600
Professional Education Preparation Program	290,300	265,100
Governor's Minority Student College Preparation Program	202,700	185,200
State Autism Training Center	144,900	132,400
SREB Doctoral Scholars Program	78,300	71,500
Washington Internship Program	82,600	76,100
<b>Total</b>	<b>\$5,377,402</b>	<b>\$5,779,900</b>

### Technology and Academic Support

The FY13 agency operating budget provides for a number of statewide academic support programs and services. Many of these are funded predominantly with the General Fund and include substantial technology investments for postsecondary network connections, software, licenses for the Kentucky Virtual Campus and Virtual Library, statewide faculty development, and college outreach work.

Kentucky also benefits from two federal grant programs shown in the following table. GEAR UP serves at-risk students and influences their educational choices through enhanced guidance and support. The Improving Educator Quality (IEQ) grant focuses on professional development initiatives for K-12 teachers and administrators to benefit students.

#### *FY13 Budget Highlights*

- GEAR UP was awarded a \$26.9 million, six-year federal grant last October. The previous six-year grant was \$18.5 million.

<b>Table 4: Technology and Academic Support</b>		
	<b>FY12 Actual</b>	<b>FY13 Budgeted</b>
<b>REVENUE</b>		
General Fund	\$6,695,500	\$5,833,400
Federal Funds	3,189,038	5,674,700
Restricted Agency Receipts	3,108,500	1,500,000
Pari-mutuel Tax Receipts	397,541	0
Carry Forward Funds	3,346,831	4,625,918
Trust Fund Interest	2,110	3,000
Fund Transfer to the General Fund	(11,000)	0
<b>Total</b>	<b>\$16,728,520</b>	<b>\$17,637,018</b>
<b>EXPENDITURES</b>		
Statewide Technology Services		
Kentucky Postsecondary Education Network	\$2,998,049	\$2,411,501
Kentucky Virtual Campus/Virtual Library	3,499,632	3,453,007
Senate Bill 1 Implementation		
Standards and Assessments	325,892	315,000
Professional Development For Postsecondary Faculty	1,365,304	1,229,600
Faculty Development	0	19,836
Developmental Education Contracts	(2,947)	166,009
Other Technology Trust Fund Initiatives	(13,984)	1,617,045
Other Grant Funded Initiatives	359,304	961,222
KYVC Revolving Loan Fund	0	1,401,477
Equine Program	372,108	360,166
Kentucky GEAR UP Program (Federal Funds)		
Host Institutions and Other Partners	1,003,682	3,000,000
Administrative and Centralized Services	1,221,445	1,000,000
Improving Educator Quality Grant (Federal Funds)		
IEQ Sub-Grants	1,077,949	1,664,700
IEQ Administrative Costs	7,606	10,000
Regional University Excellence Trust Fund	0	13,951
Postsecondary Workforce Development Fund	0	13,505
<b>Total</b>	<b>\$12,214,040</b>	<b>\$17,637,018</b>

### Research and Economic Development

The Council's FY13 agency operating budget includes a number of significant, statewide research and economic development initiatives. These initiatives are funded through various sources, including state General Fund, tobacco settlement funds, state cigarette tax proceeds (one cent per pack), and trust funds. (While lung cancer research and cancer research matching funds are budgeted at the levels specified in the following table, CPE can only distribute funds that are received.)

The majority of the Science and Technology Fund Program is channeled through the Kentucky Science and Technology Corporation (KSTC) to fund a number of new economy initiatives that promote research and economic development in all areas of the Commonwealth. The Endowment Match Program (Bucks for Brains), translational research at UofL, and significant investments in lung cancer and ovarian cancer research also are channeled through the Council's agency budget with various levels of accountability and reporting requirements.

*FY13 Budget Highlights*

- Bond funds to support \$1,500,000 in Bucks for Brains Capital Matching Funds at ECU are budgeted for FY13.
- Research and economic development projects supported by the General Fund in FY13 may be reduced should there be further budget reductions in FY13.

<b>Table 5: Research and Economic Development</b>		
	<b>FY12 Actual</b>	<b>FY13 Budgeted</b>
<b>REVENUE</b>		
General Fund	\$6,261,000	\$5,717,900
Bond Funds	5,300	1,500,000
Interest Earnings	16,437	0
Carry Forward Funds	1,793,375	2,757,424
Tobacco Settlement Funds	4,694,852	4,247,800
Cigarette Tax (1 cent per pack)	4,338,900	4,210,000
Fund Transfer to the General Fund	(87,200)	0
<b>Total</b>	<b>\$17,022,664</b>	<b>\$18,433,124</b>
<b>EXPENDITURES</b>		
Science and Technology Funding Program (KSTC)	\$5,919,853	\$5,392,600
P-16 Engineering Pipeline	267,000	244,600
Program Administration	74,068	80,700
Endowment Match Program		
UK	5,300	0
EQU (Capital Matching Funds)	0	1,500,000
Other Programs (funded with interest income)	0	90,120
Cancer Research Institutions Matching Fund	4,463,210	4,210,026
Lung Cancer Research (Tobacco Settlement Funds)	2,767,724	6,140,078
Ovarian Cancer Screening Program (Tobacco Settlement Funds)	768,006	775,000
<b>Total</b>	<b>\$14,265,161</b>	<b>\$18,433,124</b>

**Council on Postsecondary Education  
September 14, 2012**

**2012-13 CPE Work Plan**

**ACTION: The staff recommends that the Council approve the Plan of Work, July 2012-July 2013.**

The attached CPE President's Plan of Work provides a clear set of policy priorities and tasks that will guide the work of the Council president and staff over the coming year. It was provided for initial review by the CPE members at the June meeting.

Implementation will be monitored carefully by the Council president and chair, and periodic reports will be made to the full Council throughout the year to ensure adequate progress is being made in each of the areas of focus. The Executive Committee will evaluate implementation of the plan as part of the president's annual performance review in June 2013.

## CPE President's Plan of Work Priority Activities – July 2012 through July 2013

1. Continue to enhance the Council's role as a respected and credible consensus builder, educational advisor, and policy resource for members of the General Assembly, Governor's office, and other state leaders.
2. Coordinate implementation of the 2011-15 Strategic Agenda for Postsecondary and Adult Education in partnership with campuses and adult education providers. Continue work with the national Education Delivery Institute to facilitate progress on the agenda both at the Council and campus levels, building on areas of strength and focusing necessary resources and attention on areas needing improvement.
3. Begin discussions with campus leaders about a unified funding request and distribution process to be presented to the legislature in 2014 that supports the broad goals of HB1 and the Strategic Agenda, with particular attention to improving college readiness and increasing student success in postsecondary education.
4. With campus and state leaders, set tuition parameters for the 2013-14 academic year that balance the needs of campuses with the interests of students and policymakers.
5. Manage continued General Fund budget cuts to the operations of the Council through reallocation of resources as necessary, continued development of external funding (federal and foundation grants and awards), and a focused prioritization of agency responsibilities.

### Specific Strategic Agenda Priorities:

#### College Readiness:

- a. Continue work with KDE and EPSB to coordinate SB1 (2009) implementation, including execution of remediation reduction plan, adoption of common core standards, and improvements in teacher preparation and professional development.
- b. Advocate for continued state support for SB1 implementation during the 2013 legislative session.
- c. Produce more KYAE students who are college/career ready through initiatives such as career pathway programs and KYAE Common Core Standards, increasing the effectiveness of adult educators and transitioning more KYAE students to postsecondary education.
- d. Continue implementation of the new federal Gear Up grant and work with campuses and other state partners to enhance programs designed to improve college readiness and ease transitions from high school to college.

#### Student Success:

- a. Provide a series of targeted workshops and an annual conference for faculty, staff, and administrators to close achievement gaps and increase student persistence and graduation.
- b. Develop degree pathways for various majors and make continuous improvements to the KnowHow2Transfer.org website.
- c. Implement the revised General Education Transfer Policy and continue to implement initiatives to increase transfer.



- d. Highlight leading indicators of student persistence and graduation and focus efforts on strategies that affect these leading indicators.
- e. Work with campuses, KHEAA, state policymakers, and others to reduce financial barriers to college through increased funding, improved policy alignment, better communication on the net cost of college, increased FAFSA completion, and maximizing the use of institutional aid.
- f. Increase the use of data and information to improve student success through expanded analysis of key policy issues.
- g. Implement the newly adopted Diversity Policy, establish a collaborative relationship between the CEO and the campuses, and support campus efforts to improve diversity consistent with campus diversity plans.

#### Research, Economic, and Community Development:

- a. Advance the Council's College2Career initiative, which is designed to build stronger partnerships and common agendas between the higher education, workforce, and economic development communities. Complete workforce supply-demand analysis and use findings to inform program and policy development.
- b. Engage the business community in supporting postsecondary education. Meet with local chambers, business leaders, foundations, labor groups, and others to promote the goals of the Strategic Agenda to build partnerships supporting mutual interests.
- c. Work with the presidents, the business community, and other leaders to develop strategies to increase degrees in STEM related fields.
- d. Work with the campus, community leaders, and other stakeholders to develop specific strategies to improve postsecondary attainment aligned with workforce needs in rural, underserved areas of the state.

#### Efficiency and Innovation:

- a. Initiate the implementation of the Commonwealth College for Working Adults.
- b. Explore options to allow universities greater flexibility in issuing debt for revenue generating capital projects.
- c. Facilitate inter-institutional administrative and academic collaborations that result in greater efficiencies, improved learning outcomes, and lowered costs.
- d. Help insure institutions have adequate broadband capacity for online services for students and faculty, research projects, resource sharing, and cloud applications.
- e. Promote textbook replacement strategies to reduce costs to students.
- f. Encourage continued growth in online and other alternate methods of program delivery, including the expanded use of modular delivery models like KCTCS Learn-On-Demand.
- g. Leverage the resources of the KYVC and the KYVL to help share assets across institutions.



**Council on Postsecondary Education  
September 14, 2012**

**Kentucky Adult Education  
GED® New Horizons**

The GED® test (an eight-hour paper-based test) has remained essentially unchanged since its inception in 1942. The use of technology has increased exponentially since that time and family wage jobs increasingly require at least some postsecondary education and 21<sup>st</sup> century skills. Consequently, GED Testing Service (GEDTS™), a public-private venture between the American Council on Education (ACE) and Pearson VUE, is instituting changes to the current 2002 series test administration (2012-13) and introducing a new edition of the GED® test in January 2014 to be solely delivered via Computer-Based-Testing (CBT).

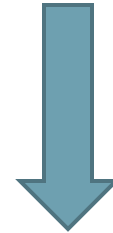
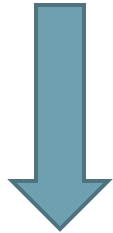
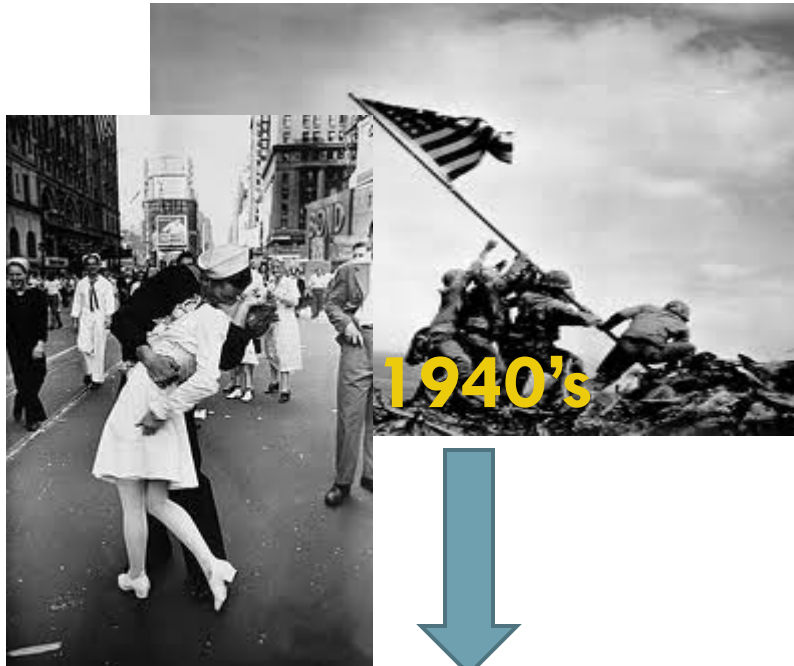
In consultation with Kentucky Adult Education, GEDTS™ is launching CBT subsequent to the end of the 2002 GED® series in anticipation of introducing to educators and students the technological skills that will be necessary to take the new assessment in 2014. Additionally, the introduction of CBT will assist in preparing for testing demands and potential capacity issues as a consequent of the sunset of the 2002 GED® series.

Staff from Kentucky Adult Education will provide Council members with additional information about the changes to the 2002 series test and the plans for the roll out of the 2014 GED in January of 2014.



# THE GED<sup>®</sup> TEST

# Then and Now...



# GED® Testing Service (GEDTS™)

Public- private  
joint venture  
between  
American  
Council on  
Education  
(ACE) and  
Pearson VUE.



# Changes Coming from GEDTS™

- ✓ Roll out of Computer-Based-Testing
- ✓ Conclusion of 2002 GED® test series
- ✓ 2014 GED® test series

# Computer-Based-Testing

In its broadest sense, computer-based-testing

or

e-assessment is the use of information technology for any assessment-related activity.

Is NOT online testing...





# Computer-Based-Testing (CBT)



**LET'S TALK**

<http://www.youtube.com/watch?v=TTDbnu3Xfgs&feature=plcp>



## College- and Career-Readiness

Students need 21<sup>st</sup> Century Skills, including information, media, and technology skills

# Facts

## Computer-Based-Testing



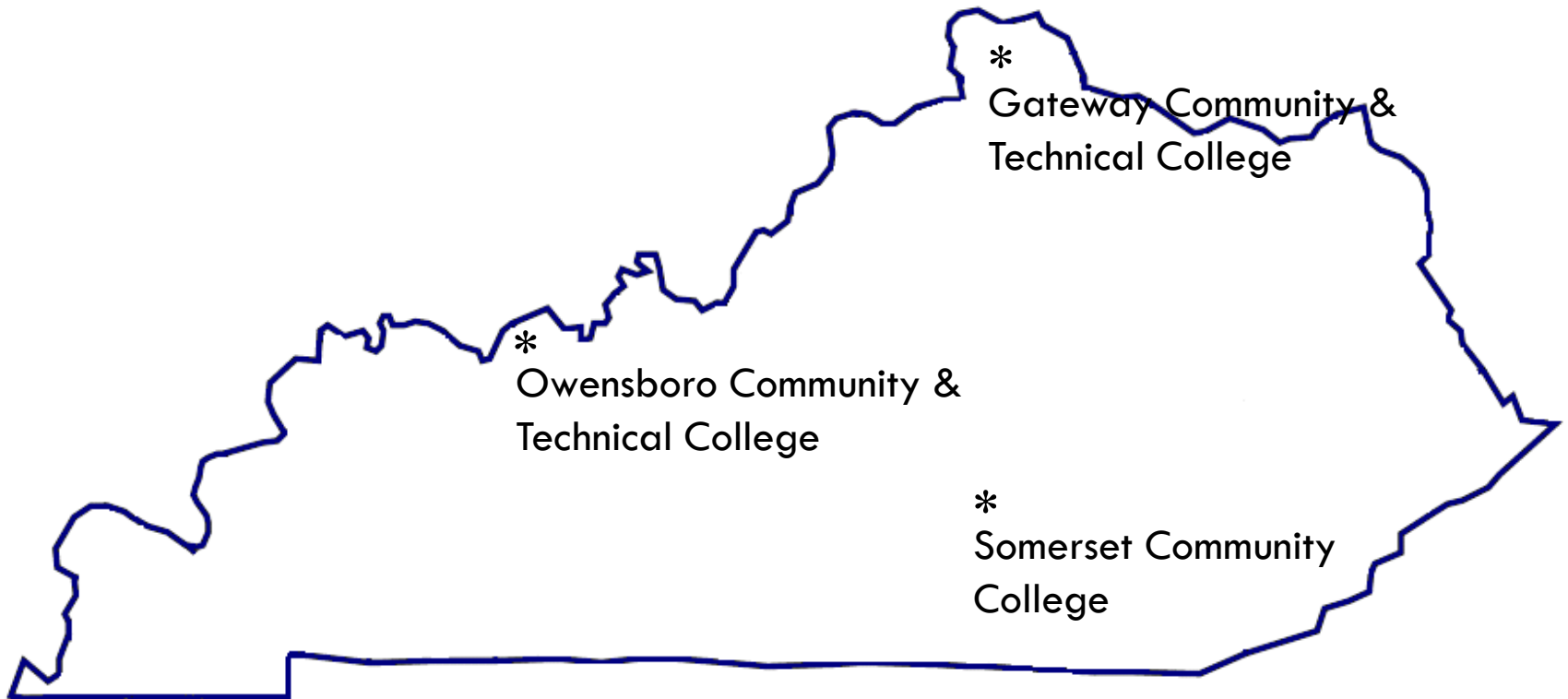
- Current (2002) GED® Test
- Official GED®/ Pearson VUE Testing Center

## Paper-Based-Testing



- Current (2002) GED® Test
- Official GED® Testing Center

# Adding Computer-Based-Testing



# Paper Versus CBT



# Sunset of 2002 GED® Assessment in December 2013





**Your future is calling.**

**By completing the GED® test, you can answer the call.**

Complete the GED® test by the end of 2013, and then go on to college, a better job, the respect you deserve, and the accomplishment of earning your high school diploma.

# 2014 GED® Test

## GED® 2014

- Delivered ONLY as a computer-based test at Pearson VUE Testing Centers.
- Provides measure of high school equivalency.
- Aligns to the common core standards.
- \$120 for the entire battery.

## New GED® 2014 Dawns





# Facts

## Computer-Based-Testing



- \$120
  - ▣ \$24/content areaVoucher system for community-based resources

## Paper-Based-Testing



- \$60
  - ▣ \$30/sub-sessionCommunity-based resources



**Council on Postsecondary Education  
September 14, 2012**

## **Committee on Equal Opportunities Report**

The Committee on Equal Opportunities met Monday, June 18, 2012, during a retreat at the Kentucky State University Research Farm in Frankfort, Kentucky. Following is the status of initiatives, recurring activities, and discussions related to the CEO.

### **Assessment of Institutional Diversity Plans**

Institutions will conduct their first self-assessment of the institutional diversity plans, developed in response to the Statewide Diversity Policy. The reports are expected to be complete in November 2012. Council staff developed an assessment checklist that will be used by the institutions to ensure that consistency and transparency is achieved by all. The institutional assessment will include a discussion of progress made towards achieving the goals and objectives in their plans, promoting a diverse student body and workforce, and closing achievement gaps, particularly for lower income, underprepared, and underrepresented minority students. A summary of the evaluations will be provided to the Council in early spring.

### **Governor's Minority Student College Preparation Program**

Planning for the 13<sup>TH</sup> Annual GMSCPP Statewide Conference is underway.

Several institutions indicated an interest in hosting the 26<sup>th</sup> Annual Academically Proficient High School Junior and Senior Diversity Conference. The conference rotates to a different institution each year. The conference is sponsored by the Council and the Kentucky Department of Education and is held on the campus of a public or private college or university. Additionally, the conference provides information regarding scholarships, grants, internships, and financial aid programs available through the KHEAA, as well as institutions across the Commonwealth.

Western Kentucky University hosted the Annual Academically Proficient High School Junior and Senior Diversity Conference in June 2012. Dr. Erica Sutton, Division of General Surgery, Department of Surgery at the University of Louisville School of Medicine, served as the keynote speaker. Michael Coleman, Minority Recruiter for the Warren County Schools Human Resource Department, spoke to the parent group. Approximately 150 students and parents participated in the event.

## **SREB Doctoral Scholars Program**

The 2012 SREB Doctoral Scholars Program Institute on Teaching and Mentoring will take place October 25-28, 2012, in Tampa, Florida. All scholars are expected to participate.

Kentucky's public postsecondary institutions also have been invited to participate in the recruitment fair to assist them in increasing the number of minority faculty at their respective institutions.

## **Recognitions**

CEO chair, Joe Weis, and student member, Kirby O'Donoghue, were recognized for their service to the CEO at the June and September Council meetings, respectively.

## **CEO Meeting Dates**

The final CEO meeting for 2012 will be held Thursday, November 1, 2012, at the Council office.

**Report for the Council on Postsecondary Education**  
**by**  
**Commissioner Terry Holliday**  
**September 13-14, 2012**

**ACT Data Release** - On Wednesday, August 22, the Kentucky Department of Education (KDE) released data related to the performance of public school juniors and seniors on the ACT exam. Overall results from the 2012 administration of the ACT to Kentucky's public school juniors and public school graduates show improvements in all subject areas. The overall composite scores for both juniors and graduating seniors increased slightly from 2011 to 2012.

While this release provides good news, we still have lots of work to do.

The press release and links to the data can be found at:

<http://www.education.ky.gov/KDE/HomePageRepository/News+Room/Current+Press+Releases+and+Advisories/12-056.htm>.

**Gains Registered in Student Performance** - According to a [report](#) from Harvard University released this summer, Kentucky is tied for fifth place nationwide in the improvement of its students' performance in assessments of reading, mathematics and science since 1992. Based on results from [NAEP](#) in 4th- and 8th-grade reading, mathematics and science, Kentucky was noted as having a 2.7 percent gain (as an average of the standard deviation) from 1992 to 2011. Kentucky also fared well in other measures outlined in the report.

- The state tied for **third place** in the greatest percentage of reduction of students scoring Below Basic on NAEP at the 4th-grade level. (69 percent)
- Kentucky tied for **ninth place** in the reduction of students scoring Below Basic on NAEP at the 8th-grade level. (42 percent)
- The state tied for **eighth place** in the reduction of the percentage of 4th-grade students scoring below Proficiency on NAEP. (30 percent)
- Kentucky tied for **tenth place** in the reduction of the percentage of 8th-grade students scoring below Proficiency on NAEP. (20 percent)

**Update on status of 703 KAR 5:225, School and District Accountability, Recognition, Support and Consequences** - 703 KAR 5:225, School and District Accountability, Recognition, Support and Consequences, went before the Education Assessment and Accountability Subcommittee (EAARS) in August and was approved by that subcommittee. It will now go to the Interim Joint Committee on Education and we are hopeful it will move on through the system to become effective. This is the last piece of the new assessment and accountability system and aligns the system with the ESEA waiver.

**New Approach by KDE to Online Learning** - The Kentucky Department of Education (KDE) is moving from serving as a provider of online courses offered through the Kentucky Virtual High School (KVHS) to a partner in assisting schools in learning about full- and part-time online learning options available through multiple statewide providers.

An initiative of KDE and the Council on Postsecondary Education (CPE) called the Kentucky Virtual Campus for K-12 (KYVC4K12) provides easy access to the state providers and their courses. KYVC4K12's website -- <http://www.kyvc4k12.org> -- will provide expanded e-learning opportunities for Kentucky students.

Beginning this school year, KDE also will provide lists of digital learning resources that schools, classrooms and districts may use to offer multiple e-learning options to students through blended learning. Support and guidance also will be provided to help schools and districts make the best choices regarding the use of digital content and online courses.

KDE has identified three online course providers accessible through <http://www.kyvc4k12.org> or directly through these links:

- [Barren Academy of Virtual and Expanded Learning \(BAVEL\)](#)
- [Jefferson County eSchool](#)
- [Kentucky Educational Television \(KET\)](#)

***Kentucky Board of Education's Retreat Session Focuses on Strategic Plan Update and Professional Growth and Effectiveness System*** - The first day of the Kentucky Board of Education's August meeting, August 8, was devoted to conducting the board's annual retreat. The first major topic dealt with an update on the progress of the board's and department's strategic plan. The department is using the tools of project management, the strategic plan and delivery planning to track progress on its work. Kathy Cox, CEO of the U.S. Education Delivery Unit, explained delivery planning as a method to know what we are trying to do, how we are trying to do it, how we know if we are on track and what we are going to do if not on track.

The majority of the results against which to measure performance on the identified goals will be presented in October, due to the implementation of the new testing system. These same results are part of my annual evaluation as commissioner.

The other major focus of the board's retreat session was the new Professional Growth and Effectiveness System. The ultimate goal of the system is: "Every student is taught by an effective teacher and every school is led by an effective leader." Three phases exist with Phase 1 (2011-12) being the field test, Phase 2 (2012-13) being the extended field test and Phase 3 (2013 and beyond) being the statewide pilot and implementation. Full inclusion in accountability would occur in spring 2015.

The recommendations that have come forward so far from the statewide Teachers' Steering Committee are:

- The Danielson Framework should be implemented.
- Multiple measures should be used with peer observation used formatively.
- A teacher's rating shall not be determined by one measure alone.
- An annual summative evaluation for all educators is not possible.
- Results of evaluation should not be publically reported by individual teacher.

Similarly, the recommendations that have come forward so far from the statewide Principals' Steering Committee are:

- Multiple measures should be used with removal of peer evaluation.
- A principal's rating shall not be determined by one measure alone.
- An annual summative evaluation for all educators is not possible.
- Results of evaluation should not be publically reported by individual principal.

The Kentucky Board of Education will be asked to make decisions relative to recommendations from the steering committees on several policy issues in the near future such as:

- What is the role of the Kentucky Department of Education (KDE) in implementing a statewide system?
- What is the role of the local district in implementing a statewide system?
- What further definition is needed around the multiple measures?
- How will the multiple measures be weighted?
- What will be the frequency and duration of multiple measures (e.g., student surveys, observations, self-reflection)?
- What will be the cycle of formative and summative evaluations?
- How will the system support personnel decisions?
- What will the process be for assigning performance ratings?
- What will the corrective action process include?

The board will participate in another study session on this topic on October 8 in Frankfort.





**Council on Postsecondary Education  
September 14, 2012**

## 2013 CPE Meeting Calendar

**ACTION: The staff recommends that the Council approve the 2013 CPE meeting calendar.**

The proposed meeting dates for 2013 are listed below. Staff will work with the campuses to hold some of the meetings on public and independent college campuses. All dates are Thursday/Friday except the April and June meetings, which are scheduled on Wednesday/Thursday.

Details will be provided prior to each meeting and will be posted on the Council's website at <http://cpe.ky.gov/about/cpe/meetings/>.

The proposed 2013 dates are:

- February 7 and 8
- April 17 and 18
- June 19 and 20
- September 26 and 27
- November 14 and 15





## President Announces July 2013 Retirement

Dr. Doug Whitlock announced on Aug. 15 that he will step down as EKU president, effective July 31, 2013.

Whitlock announced his retirement at the university's annual Fall Convocation.

"At my first one of these in August 2007, I professed my love for this remarkable place," he told the university's faculty and staff. "Today, in my last, I am here to tell you I still love Eastern Kentucky University, and as I said in 2007, if I have helped more of you love her, too, I will be happy."

"This gives the Board essentially a year to conduct a search to identify my successor," Whitlock said.

Gary Abney, chair of the EKU Board of Regents, said in a statement: "I invite the entire Eastern community in addition to our other constituents to join me and the entire EKU Board of Regents in thanking President Whitlock for his leadership and accomplishments as Eastern's president, as well as for his considerable service to the university over the years. We all extend our deepest gratitude to Doug and (wife) Joanne and wish them the best in the coming years."

The Board, Abney added, will send out a request for proposals to retain a search firm "to assist us as we move through the search and selection process."

In his convocation remarks, Whitlock cited five reasons for his decision: age (he turns 70 next year), the desire to spend more time with family, a pending capital campaign, the start of the next biennial budget session in 2014, and a "realization that neither I, nor anyone else, is irreplaceable."

## New Minor Addresses Need for Critical Thinkers

A 2010 survey by IBM of more than 3,000 CEOs revealed that the top skill sought in college graduates is creative thinking.

A new initiative at EKU should make recruiters happy.

With its new minor in Applied Creative Thinking, Eastern is at the leading edge nationally to prepare graduates to participate in the Creative Campus Initiative that, according to The Chronicle of Higher Education, "threads through not only art and design, but also engineering, medicine and the arts and sciences." The Chronicle article (Oct. 10, 2010) suggests that "creativity is not simply a product of personality or individual psychology, but is rooted in a set of teachable competencies."

The Noel Studio for Academic Creativity and the Teaching & Learning Center (TLC) have partnered at EKU to present what TLC Co-Director Dr. Charlie Sweet termed "a unified voice.

"Creativity is seen as the number one skill businesses want in college graduates," Sweet said, "yet the supply of colleges offering such a minor is small, which should put EKU graduates with this minor in great demand."

The Noel Studio offers two three-hour courses for the minor: Introduction to Creative Thinking and The Creativity Capstone. For the remaining 12 hours for the minor, students can choose from among courses in a variety of disciplines to ensure a depth and breadth of learning and acquire specific skills that are introduced and reinforced throughout the multiple disciplines.

"What makes our minor unique is that it is both domain-general (the first and last course) and domain-specific (the four middle courses)," Sweet noted.

Already, 19 EKU students with a variety of academic majors are pursuing the minor in Applied Creative Thinking, according to Dr. Rusty Carpenter, director of the Noel Studio.

"We are excited about the opportunities that these efforts will provide EKU students, faculty and staff," Carpenter said. "We see the potential for EKU to recruit students who want to live and learn on a creative campus. Even in the introductory course, students will engage with the campus community and region as they embark on their mission to find creative solutions to real-world problems."

The applied creative thinking minor springs from EKU's Quality Enhancement Plan, which calls upon the university to graduate informed, critical and creative thinkers who communicate effectively.

## Forbes: EKU among 'Best Colleges'

EKU ranks among "America's Best Colleges" for the fifth consecutive year, according to Forbes, the popular business magazine.

Because Forbes recognizes 650 undergraduate institutions among approximately 6,600 accredited postsecondary institutions nationwide, the ranking essentially places Eastern among the top 10 percent of colleges and universities.

The fifth annual report, compiled by Forbes and the Center for College Affordability and Productivity (CCAP) from a variety of sources, ranks undergraduate institutions based on students' satisfaction with their college experience, incurred debt, retention and graduation rates, and the success and salaries of graduates.

In its report, Forbes said its rankings focus on "the things that matter the most to students: quality of teaching, great career prospects, graduation rates and low levels of debt. They do not attempt to assess a school's reputation, nor are they a measure of academic selectivity. And we pointedly ignore any metrics that would encourage schools to engage in wasteful spending."

## NSF Project Allows Honors Program Students, Middle School Students to “SEE” Science Together

Students from EKU’s Honors Program and Madison Middle School in Richmond will be “SEEing Science” together, thanks to a National Science Foundation-funded project.

EKU recently secured an approximately \$200,000 grant from the NSF to establish “SEEing Science in Appalachia: Serving, Exploring and Engaging in an Honors Interdisciplinary Science Course at Eastern Kentucky University.”

The benefits of the University’s first interdisciplinary general education science course, to be team-taught by faculty from the physical and biological sciences, will extend into the local community when EKU Honors students partner with Madison Middle students during the course’s field, laboratory and service-learning activities. Together, the students will monitor project sites and collect, record, analyze and publicize data via course-developed web sites.

The collaborative research will contribute to new and ongoing environmental projects related to water quality, watershed management, bee and pollinator health, and sustainability.

Dr. Linda Frost, director of EKU’s Honors Program and project director for the NSF grant, said “SEEing Science in Appalachia” will:

- bring relevant and innovative STEM instruction to the EKU Honors Program and address three central standards in the Kentucky Core Content for middle school instruction: “The Earth and the Universe,” “Biological Change,” and “Energy and Energy Transformations.”
- engage high-achieving undergraduates not majoring in STEM areas in relevant, real-world, regional scientific research.
- provide an avenue for outstanding and highly-motivated college students to mentor middle school students and “illustrate what is possible for them as they prepare to move through their secondary education.”
- collect, record, analyze and publicize scientific data about projects focused on EKU’s service region. Each “SEEing Science” course will conclude with a mini-conference in which the Madison Middle students and EKU Honors students co-present their research findings to a team of responders who are experts in their fields.
- Create, pilot and disseminate a model for relevant, place-based general education STEM instruction for the campus overall and for honors education specifically, in Kentucky, the region and nation.

## Accelerated Dual Degree Program Helps Chemistry, Forensic Science Majors

EKU students pursuing a bachelor’s degree in chemistry or forensic science can now also complete a master’s degree in chemistry in one additional year as part of an accelerated dual degree program.

With the program, students earn a bachelor’s degree and a master’s degree with five years of full-time study – less time than earning each degree separately would take.

“Graduates with a B.S. forensic science degree from EKU complete all the coursework required for an entry level position in a crime lab,” explained Dr. Lori Wilson, chair of EKU’s Department of Chemistry. “However, there is stiff competition for crime lab jobs and our graduates were competing with applicants who have a M.S. forensic or chemistry degree. We created the dual B.S. Forensic/M.S. Chemistry degree so that our graduates would not only be more competitive for entry level positions but also qualified for eventual promotions or supervisory positions.

“This is a good option for students who are not ready to commit to a Ph.D., but want the advantage a graduate degree can bring,” she added.

## Arts Center’s Season Features Yo-Yo Ma, Tony Bennett, Blue Man Group

Some of the world’s leading entertainers will grace the EKU Center for the Arts stage during the 2012-13 season.

The schedule for the Center’s second season includes performances by acclaimed cellist Yo-Yo Ma and the Silk Road Ensemble, legendary vocalist Tony Bennett, the all-female vocal sensation Celtic Woman, country star Vince Gill, soul and gospel stalwart Al Green, the Grammy Award-winning Michael Bolton, jazz icon Branford Marsalis, as well as a celebrate-America concert by Cincinnati Pops and a Christmas-themed concert by Kenny Rogers. Also coming to the EKU stage are productions of the Blue Man Group, “STOMP,” “A Chorus Line” and “Of Mice and Men,” as well as ballet performances of “The Nutcracker” and “Cinderella.”

Rounding out the 2012-2013 season are the Royal Drummers and Dancers of Burundi, Parsons Dance Company, The Black Watch and Band of the Scots Guards, Leahy, the Golden Dragon Acrobats in a production of Cirque Ziva, and Elvis Lives, a multi-media journey that chronicles the late entertainer’s life.

A variety of ticket packages are available, as well as individual event tickets.

In July, Jill Price was announced as interim director of the Center. Price, director of conferencing and events at EKU, is a University representative on the Center Board and has been actively involved with the Center since its opening. A national search is underway to name a new director of the Center.

For more information about 2012-2013 ticket packages and to order tickets, visit [ekucenter.com](http://ekucenter.com).





# KCTCS GOOD NEWS REPORT

HIGHER EDUCATION BEGINS HERE

SEPTEMBER 2012



West Kentucky Community and Technical College has been named a finalist for the Aspen Prize for Community College Excellence for the second year in a row.

## Two KCTCS Colleges Named Among Ten National Finalists For 2013 Aspen Prize For Community College Excellence

**KENTUCKY IS ONE OF ONLY TWO STATES WITH MULTIPLE FINALISTS**

Southeast Kentucky Community and Technical College (SKCTC) and West Kentucky Community and Technical College (WKCTC) have both been named as finalists for the Aspen Prize for Community College Excellence, the nation's signature recognition of high achievement and performance in America's community colleges. Only 10 finalists are chosen nationwide, and Kentucky is one of only two states to have more than one college recognized as an Aspen Top Ten Finalist.

"This is an incredible honor to have two of our colleges chosen as finalists for the Aspen Prize," said KCTCS President Michael B. McCall. "I congratulate SKCTC and WKCTC Presidents Dr. Bruce Ayers and Dr. Barbara Veazey on this outstanding recognition. We know that KCTCS is providing excellent educational opportunities for students across the Commonwealth and this designation from the Aspen Institute reaffirms both the fine work of our faculty and staff and our students' achievements."



KENTUCKY COMMUNITY & TECHNICAL COLLEGE SYSTEM



**Southeast Kentucky Community and Technical College has been named a Top 10 Finalist for the Aspen Prize for Community College Excellence.**

SKCTC and WKCTC will now continue into the last stage of the competition for a \$1 million prize fund that will be awarded to the winner and up to four finalists. Last year, WKCTC was chosen as one of the top five finalists and was awarded \$100,000 from the Aspen Prize fund.

Following the announcement, Josh Wyner, Executive Director of the Aspen Institute's College Excellence Program, highlighted the achievements of the Kentucky finalists saying, "Southeast Kentucky Community and Technical College demonstrates that even community colleges facing tremendous barriers to student success can overcome them and excel. The long-standing economic and social challenges of Appalachia are well-known. Through well-constructed support services and strong developmental education programs, SKCTC is helping students earn degrees and certificates that lead to living wage jobs."

Wyner also recognized WKCTC as a repeat finalist and added, "WKCTC has demonstrated that it is a top community college for a second consecutive year. Its leadership is driven to assess both community and student needs. By identifying barriers to student success and removing them, WKCTC is able to deliver custom-trained graduates who fit the bill for available jobs."

Colleges named as finalists are selected from an original pool of more than 1,000 community colleges. In April five KCTCS colleges were named among the nation's 120 best community colleges and recognized as the top 10 percent in the country. The colleges include: Hazard Community and Technical College, Madisonville Community College, Somerset Community College, Southeast Kentucky Community and Technical College, and West Kentucky Community and Technical College.

"To have a total of five of our colleges recognized in this process is a true indication KCTCS is on the right path to become the nation's premier community and technical college system," said President McCall.

The Aspen Prize recognizes the most outstanding institutions for achievements in four areas: student learning outcomes, degree and college completion, labor market success in students securing jobs after college, and minority and low-income student success.

### THE 2013 ASPEN TOP TEN FINALISTS

**Brazosport College**, Lake Jackson, TX  
**Broward College**, Fort Lauderdale, FL  
**College of the Ouachitas**, Malvern, AR  
**Kingsborough Community College - CUNY**, Brooklyn, NY  
**Lake Area Technical Institute**, Watertown, SD\*  
**Santa Barbara City College**, Santa Barbara, CA\*  
**Santa Fe College**, Gainesville, FL  
**Southeast Kentucky Community and Technical College**  
**Walla Walla Community College**, Walla Walla, WA\*  
**West Kentucky Community and Technical College**\*

*\* Four of the colleges on the list earned this distinction for a second straight year (indicated by an asterisk)*

The Aspen Institute will conduct site visits to each of the 10 finalist institutions this fall and will select a grand prize winner and up to four finalists-with-distinction to be announced in March 2013.

To learn more about the Aspen Prize, visit [aspeninstitute.org/policy-work/aspens-prize](http://aspeninstitute.org/policy-work/aspens-prize)







Kentucky State University

www.kysu.edu

September 2012

## Promising Youth Center For Excellence Turns Three

Faith Montgomery says she enrolled her son Jalin Montgomery-Jones into Kentucky State University's Promising Youth Center for Excellence two years ago because he was struggling in school, grieving the loss of his grandfather, who was one of few male role models in his life, and having trouble adapting to the family's move from Louisville to Frankfort.

"I can't put into words how much this program has meant to me and my son," Montgomery says. "His behavior in school has improved. His grades went from unsatisfactory to excellent."

The youth center started in September 2009 with a \$900,000, three-year grant for youth healthy lifestyle research from the U.S. Department of Health and Human Services Office of Minority Health. More than 135 at-risk African-American and Hispanic youths have participated in the program since its inception, Program Principal Investigator Herman E. Walston says.

The youth center accepts children from Franklin County in grades three through 11. Walston has reapplied for the grant, and the youth center has also received a \$250,000, two-year grant from the U.S. Department of Agriculture, which sustains the program by allowing it to serve 100 new children of all races.

Walston says the program has been a huge success. The participants and their parents complete surveys each year to assess changes in areas including academic enrichment, personal development, career development, cultural enrichment and life skills.

A cohort analysis prepared by Ronald Peters of the University of Texas at Houston found self-esteem had improved among



*Mentor Nikeya Robb reads to program participant Kyler May.*

the participants. There were also significant differences in their responses when asked questions regarding awareness and tolerance of cultural diversity and their knowledge about how to establish a good career.

"We have seen a significant change in their growth and development overall," Walston says.

The studies show participating youths are more likely to have fewer accidental injuries, reduced substance use and better understanding about culture and diversity.

"The part of the program that helped Jalin the most was seeing African-American males doing something positive and making an impact on other children's lives," Montgomery says.

Walston says the youth center works in collaboration with parents and as an extension of the schools. Frankfort schools transport students to the center, which is located in KSU's Bell Gym. The students do homework or read, then talk with mentors, participate in games and fun learning activities and attend educational and cultural events.

The mentors are KSU students from various degree programs, including students who receive credit in their child development courses.

Shiobban Davis recalls her sons DaJuan Davis, 11, and TaeSean Davis, 9, asking her to enroll them at the youth center after they saw some other children in the program playing outdoors. Davis says she does not regret listening to her sons because their behavior has improved at home and they have learned valuable life skills.

Davis, who started working at the youth center after her sons enrolled, says the center reinforces the age-old mantra that it takes a village to raise a child.

"A lot of people these days don't believe that, but it's true," she says.

# The Coca-Cola Foundation Presents KSU With Scholarship Grant



Representatives from Coca-Cola Refreshments presented Kentucky State University with a \$50,000 grant on Aug. 15, 2012, to use for scholarships for first-generation college students.

KSU President Mary Evans Sias accepted the check with William Wilson, associate vice president for development and major gifts, and Development Director Chris Cannon. Pictured, from left to right are Cannon, Les Fugate of Fugate Strategic Affairs, Sias, Coca-Cola Distribution Manager Brandy Peoples, Coca-Cola Director of Public Affairs Roy Potts and Wilson.

The \$50,000 is part of \$4.3 million that the Coca-Cola Foundation has awarded to organizations across the United States in First Generation Scholarship grants to benefit nearly 300 students. The grants awarded this quarter will benefit students attending 24 schools, including 11 historically black colleges and universities.

The university will identify three to four of its first-generation students to receive the scholarship awards.

## Kentucky State University Makes Best In Southeast List

Kentucky State University has been named "A Best in the Southeast" college by The Princeton Review on its website feature "2013 Best Colleges: Region By Region," which posted Aug. 20, 2012, on princetonreview.com.

The colleges and universities selected on the site as the best institutions in various regions are chosen mainly because they have excellent academic programs.

According to the site, students surveyed about KSU praised the institution for its small class sizes and variety of courses. The nursing and music programs as well as opportunities to study food and agriculture, in the College of Agriculture, Food Science and Sustainable Systems, were noted by students in the surveys.

## Kentucky State University Band Selected To Perform Halftime Show

The Kentucky State University Mighty Marching Thorobreds have been selected to perform the halftime show for the Indianapolis Colts on Sunday, Sept. 16, 2012.

"This is a great honor for the band, KSU and the Commonwealth of Kentucky," Band Director Kalomo Bailey says. "The KSU Band is excited, and we are looking forward to performing in the dome."

The game will be televised as the Indianapolis Colts take on the Minnesota Vikings.

Bailey notes that the band won the inaugural Circle City Classic Battle of the Bands at the Luca Oil Field Dome in Indianapolis last year against Albany State University, and he is confident that band members will give an excellent performance for the Colts.

## KSU Celebrates Ora-Mae Williams Cheaney

Kentucky State University joined city and state government representatives as well as friends and relatives of Ora-Mae Williams Cheaney for a celebration of her 100th birthday on Aug. 1 at the Morning Pointe senior care residence in Frankfort.

Cheaney graduated from Kentucky State Industrial College for Colored Persons — which is now known as Kentucky State University — in 1935. She taught at the Mayo Underwood School in Frankfort before leaving to teach food and nutrition in the home economics department at the university.

She was married to the late Dr. Henry Ellis Cheaney, a distinguished history professor and renowned author of African-American history. Dr. and Mrs. Cheaney were pillars in the Frankfort community, and Mrs. Cheaney continues to enrich the lives of countless former students and new friends.



*KSU Staff Regent Ronald Banks recognized Ora-Mae Williams Cheaney for her dedication to the university during her 100th birthday celebration on Aug. 1.*





**News for the Council on Postsecondary Education**

**September 2012**



**MSU wins 12<sup>th</sup> OVC Institutional Academic Achievement Award**

MSU Athletics won the Ohio Valley Conference’s Institutional Academic Achievement Award for 2011-12. It marks a league-record 12th time in 26 years that MSU has been awarded the OVC’s highest academic honor.

The Eagles also had three sports (rifle, women’s track and field and volleyball) honored with the Team Academic Achievement Awards, 19 student-athletes presented with the OVC’s Medal of Honor and 104 student-athletes on the Commissioner’s Honor.

**Regents take oath**

MSU officially welcomed three new Board of Regents members – Wayne M. Martin of Winchester, Todd Q. Thacker of Morehead and Margo A. Hunt of Stanville on Aug. 23.

Paul Goodpaster of Morehead, who was reappointed to a six-year term, along with the three new members were administered the “oath of office” by Beth L. Maze of Owingsville, Chief Circuit Judge for the 21st Judicial District, Division 2. Also during the work session, the board re-elected Goodpaster of Morehead as vice chair; Carol Johnson, secretary; and Mike Walters, treasurer.



**MSU celebrates 125<sup>th</sup> year by making history**

The first day of classes for Morehead State University’s largest freshman class in the school’s 125-year history began Monday, Aug. 20.

According to Jeffrey Liles, assistant vice president for enrollment services, the campus and community welcomed a class of more than 1,650 first-time freshman.

“Obviously, this is a very proud moment,” said President Wayne D. Andrews. “We knew the 125th Anniversary would be a special year for us; we just didn’t know how special it would become. The Class of 2016 is now part of MSU history.”

By many measures, 2012 has already been an historic year. Kenneth Faried (Class of 2011) of the Denver Nuggets was named to the NBA All-Rookie Team. Steve Kazee (Class of 2002) won a Tony for his role in the Broadway musical “Once.” MSU Space Science Staff and students delivered a Cosmic X-Ray Background Nanosatellite for launch with NASA.

The record-breaking freshman class is making its own kind of history. In the fall of 2011, the University had a freshman enrollment of 1,372 students compared to 1,180 in 2010.

“There are great things happening at MSU,” said President Andrews. “Watch for much more to come.”



**MSUCorps wins “Make a Difference Day” award**

MSUCorps AmeriCorps program was presented with the “Make A Difference Day” award during the annual Governor’s Awards for Outstanding Volunteer Service in a ceremony at the Governor’s Mansion.

MSUCorps AmeriCorps Program’s 29 members collected more than 20 tons of nonperishable food items. This equates to 23,080 ounces of food per member. Their efforts allowed area food banks to feed 83,665 Kentuckians.

The program has been at MSU since 1994 with more than 640 members serving. The mission is to increase the educational success of high risk school-age children and youth by providing math and reading tutoring and coordinated direct services to these students.

First Lady Jane Beshear and Kentucky Cabinet for Health and Family Services (CHFS) Deputy Secretary Eric Friedlander presented the awards.

**MSU receives USDA grant**

USDA Rural Development State Director Tom Fern announced the award of \$92,785 in business grant funds to Morehead State University. The grant will assist MSU in providing technical assistance to businesses within the University’s Small Business Development Center service area.

“During the first few years of operation, many businesses are challenged and can greatly benefit from technical assistance to succeed. I am pleased that we are able to partner with Morehead State University to support our rural businesses,” said Fern.

“The Center provides small and emerging businesses with critical guidance and comprehensive training in business ownership basics such as business planning, record keeping, financial analysis, capital formation and marketing. This proposal will also assist with two special projects - the Regional Entrepreneur Conference and a Women’s Business Symposium.”



**Dr. Phillips named to new post**

Dr. Clarendia M. Phillips, chair of the Department of Sociology, Social Work and Criminology, has been named interim associate vice president for academic affairs. Dr. Phillips earned Ph.D. and M.A. degrees in sociology from the University of Illinois at Urbana-Champaign, and a B.A. degree in sociology from DePauw University. She worked as a senior research associate with Policy Studies Associates Inc. in Washington, D.C., before starting her career at MSU in 2000.

“Dr. Phillips brings to the position a deep commitment to MSU and the respect of her colleagues. She has not only established a strong record of teaching, scholarly productivity and professional service, but she has demonstrated effective leadership skills,” said Dr. Karla Hughes, provost. “She will bring high levels of energy, commitment and vision to this position.”

Dr. Phillips spent the 2010-11 academic year as an American Council on Education (ACE) Fellow at the University of North Carolina at Greensboro.





**MURRAY**  
STATE UNIVERSITY

## Murray State ranked #1 nationally for its financial aid packages

A hallmark of MSU's accessibility to students is its affordability and its robust financial aid/scholarship program. When *U.S. News* released its "Short List" of rankings, Murray State was at the top of the 10 schools where merit aid awards are most common. According *U.S. News*, Murray awarded non-need-based scholarships and grants to nearly 77 percent of its undergraduate students. MSU is far above the national average of 13 percent. In fact, the percentage of students receiving merit awards at Murray State tops that of the #10 ranked school by over 30 percentage points.



## Murray a Forbes "Best Buy College"

MSU was recognized as a *Forbes* "Top 100 Best Buy College" in addition to appearing on the *Forbes* "America's Top Colleges" list, both for 2012. Murray State continues to be the top ranked Kentucky public regional in the annual *Forbes* look at public and private colleges nationally. The rankings consider teaching quality, great career prospects, high graduation rates and low student debt. Murray is the only Kentucky public school included as a "Best Buy College," and has been for the four years *Forbes* has ranked this particular category.

## Pilgrim high-tech invention to aid farmers in herbicide application and effectiveness



Dr. Bob Pilgrim, Murray State associate professor of computer science, has invented an apparatus and a process that will enable farmers to better use herbicides on their crops. He is part of a group that will develop a digital camera system that can be mounted

on farm machinery to map and geo-reference weeds such as black grass that occur in patches in arable crops. Benefits of the system include reducing the cost of weed control to the farmer, cutting herbicide use and the early detection of herbicide resistance.

## Once more, MSU named a "Great College to Work For"

*The Chronicle of Higher Education* selected Murray State University as one of the best universities in the nation for faculty and staff to spend their careers. Murray has been recognized for the third time as one of the *Chronicle's* "Great Colleges to Work For." MSU was recognized for excellence in three categories — Professional/Career Development Programs, Respect and Appreciation, and Teaching Environment. Murray is the only Kentucky public university to be noted on the list. Also on the list from Kentucky are private school Centre College and Somerset Community College.



## MSU celebrates 90 years



It has been 90 years since Rainey T. Wells led the effort to establish a normal school in Murray, and MSU is celebrating with a look back at the university's history. A commemorative event will be held to mark the milestone and a website has been set up for students, alumni, faculty and staff to share their stories and photos.

## MFA program 20th in Poet & Writers magazine rankings



The MSU Low-Residency Master of Fine Arts in Creative Writing program was recently ranked the 20th most popular program in the nation by *Poets & Writers* magazine. The program is designed to accommodate adult students who want to pursue their writing but need the flexibility to work the program into family and job responsibilities. Students are on the MSU campus twice a year in January and July for two weeks of one-on-one instruction with their mentors and to attend daily workshops, lectures, readings and other related activities.

## **Muuka selected to advise Muskie Graduate Fellow**

Dr. Gerry Muuka, associate dean of the MSU Bauernfeind College of Business, was selected as the official adviser for a participant in the Edmund S. Muskie Graduate Fellowship Program. Andriy Zinchuk is the 2012 Business Administration Muskie Fellow and began his M.B.A. at Murray State this semester. The Muskie Graduate Fellowship is one of the programs of the Bureau of Educational and Cultural Affairs in the U.S. Department of State.

## **Business professors selected as journal editors**

Two professors in the Bauernfeind College of Business at MSU were selected for editor positions at academic research journals. Dr. Martin Milkman will become the editor of the *Journal of Economics and Economic Education Research* (JEEER). Dr. Murphy Smith will become the editor of the *Journal of Legal, Ethical and Regulatory Issues* (JLERI). Milkman is a professor of economics in the department of economics and finance. Smith is the David and Ashley Dill Distinguished Professor of Accounting.

## **CoE hosts statewide teacher training**

The Murray State University college of education hosted a statewide training this summer to assist in preparation of new teachers for Kentucky classrooms. The Education Professional Standards Board (EPSB) sponsored the training, with educators from 14 teacher preparation institutions and 10 school districts attending.

## **Edmunds to present at World Stroke Congress in Brazil**

Amy Agyeman Edmunds, Murray M.A. in organizational communication graduate, will present her research on stroke in young adults at the World Stroke Congress in Brazil in October. A lecturer in the department of health sciences at Coastal Carolina University in Conway, S.C., Edmunds is a stroke survivor herself. She established the nonprofit organization, YoungStroke, to address the needs of young adults who experienced their first stroke between the ages of 20-64 and their caregivers.

## **Murray selected to continue with Governor's Scholars Program**

Murray State was selected to continue as a Governor's Scholars Program site for three more years — 2013 through 2015. Murray was chosen through a competitive bid process that is open to all public and private universities in Kentucky.



## **Thinking big and serving clients**

The MSU publications and printing services department was featured in August issue of industry publication *In-plant Graphics* for the success of its large format printing (LFP) operation. The department uses its Canon LFP to produce banners, posters and more, up to 60" wide.

## **SBDC receives full accreditation**

The MSU Small Business Development Center (SBDC) received full accreditation from the Association of Small Business Development Centers following its participation in the statewide accreditation process through its membership in the Kentucky Small Business Development Center network.

## **Roundabout U marks 200th episode**

The 200th episode of MSU's *Roundabout U* looked back at its beginning and previewed its future. *Roundabout U* airs on KET, WSIL and through local cable systems. The weekly video magazine is produced by MSU digital media and earned a Kentucky CASE Grand Champion award. Filmed in high definition, the show highlights events at Murray State and throughout Kentucky and the region.

TAKE *Your*  
Place in the  
Murray State  
Tradition



# GOLD RUSH

SEPT 2012 NORTHERN KENTUCKY UNIVERSITY



## GO FIGURE

57,000

That's how many P-12 students were impacted last year by NKU College of Arts and Sciences camps, in-school presentations and campus visits.

15

Number of consecutive years the NKU chapter of the Phi Alpha Theta History Honor Society has been named the nation's best chapter.

322

NKU is one of only 322 schools nationwide to be named to the Princeton Review's Guide to Green Colleges. U of L and WKU joined Northern on the list.



## HUNTSMAN, RICHARDSON HIGHLIGHT 2012 ALUMNI LECTURE

Dust is flying, insults are being lobbed across the proverbial aisle and the siege of television commercials has begun. Ahh, election season in America. As the nation barrels toward what promises to be one of the most contentious, interesting and entertaining presidential elections in modern history, Northern Kentucky University will welcome two men who have been there and done that.

The NKU Alumni Association and Fidelity Investments Alumni Lecture, titled "Governing in America: Battle for the Presidency," will feature Jon Huntsman and Bill Richardson, both of whom know firsthand what it is like to run for president and what implications the upcoming election will have for America. The event will take place on

Sept. 18 at 6:30 p.m. in the James C. and Rachel M. Votruba Student Union ballroom.

From 2004-09 Huntsman was governor of one of the most conservative states in the country, Utah. He has been to every Republican National Convention since he was a delegate for Ronald Regan in 1984, though he has announced he is skipping this year's event.

Two-term New Mexico Governor Bill Richardson led the energy forum at this month's DNC Convention and is, despite perhaps some residual hard feelings from the Clintons for endorsing President Obama in the Democratic primary in 2008, still a spokesperson for the party in the media and at political functions.

## COLLEGE CORNER

### COLLEGES OF INFORMATICS AND HEALTH PROFESSIONS

NKU is introducing electronic medical record (EMRs) software into its health informatics and nursing curricula. NKU has successfully implemented ClinNext, a fully certified hospital information system and EMR through a joint educational partnership between Grupo Hima San Pablo, Southern Strategic Assets and Resources, ClinNext and NKU.

The NKU colleges of informatics and health professions have developed and are offering hands-on EMR exercises and experience to their students on ClinNext. The goal is to provide students with a basic EMR skill set in the context of their informatics and nursing education that will prepare the student for today's health care careers, on a system that is operating in a fully functioning health care environment.

Students will take on every role inside a health organization and learn hands-on what the EMR software can do. They will logon to the software as physicians, nurses, front desk staff and other medical personnel to understand the responsibilities, challenges and importance of each particular role in a medical office. Further, the students will be able to use the software in the role of administrator to see how to best configure the software for the real world. Finally, students will be able to access practice data and work to develop healthcare analytics such as dashboards and scorecards with the software. Students will learn how to use the software as a tool to improve the management and delivery of health care and will also be instrumental in shaping the strategic plan of the software development into the future.



# UNIVERSITY-COMMUNITY PARTNERSHIP GRANTS

Three grants totaling \$57,500 have been awarded by NKU to faculty members conducting community programming and research. The funding will enable an innovative evaluation of barriers to high school graduation, the expansion of an Ohio River field study program for students in grades 4-12 and a closer look at human trafficking in our region.

The awards represent the 2012 University-Community Partnership Grants, which are offered each year as part of NKU's continuing commitment to public engagement. The grants are awarded to full-time faculty who establish partnerships with local government agencies or nonprofits to address Northern Kentucky's educational, health, social or civic needs.

While the grants are made to faculty members, each project also must include NKU students in the work, often as co-researchers. The idea is to mentor students in research skills while also providing direct community benefits.

Dr. Jan Hillard, who is NKU associate provost for research, graduate studies and regional stewardship, coordinates the program, which since 2002 has directed approximately \$1 million to community research and programming ranging from support for a regional conference for gifted to children to a look at social diversity in our region. "This program illustrates the ideal of being a bridge builder between the university and the community," he said.

Successful applicants had to demonstrate a connection to the goals of Vision 2015, the nonprofit collaborative based in Covington that oversees the implementation of a plan to improve Northern Kentucky's economy, education, health, governance, community stewardship and quality of life.

## THE SCOREBOARD

The NKU women's volleyball team has made the transition to Division I play look easy.



The Norse raced to a 7-0 start, winning tournaments at Western Carolina and Morehead State. At publication time, NKU sits alone atop

the Atlantic Sun Conference as the league's only unbeaten team. The Norse are led by Kelly Morrissey's 78 kills, followed closely by Shelby Buschur's 75 and Haley Lippert's 73. Mel Stewart has added 335 assists and 51 service aces.

## BRIEFS

### EMERITUS PROF EARNS FIRST NKU ACS FELLOWSHIP

Dr. William Oliver, who for 35 years taught chemistry at NKU, has been named to the 2012 class of American Chemical Society Fellows. Dr. Oliver joins 95 other distinguished scientists who have demonstrated outstanding accomplishments in chemistry and made important contributions to ACS. This year's class represents 23 technical divisions and 52 local sections and reflects a wide range of disciplines and locations. Fewer than 600 scientists have earned this honor from a total membership of about 160,000.

MORE: <http://tinyurl.com/NKUoliver>

### GREAT PLATE CHALLENGE

NKU's Great Plate Challenge encourages participants to eat more fruit and vegetables every day. The contest asks participants to track the number of meals/plates they eat each day that consist of at least half fruits and vegetables. Each week, totals for the campus will be tallied and compared against weekly goals established by the NKU Wellness Center. If the collective goal is met, Green Bean Delivery will generously donate up to 1,900 pounds of fresh produce to the Brighton Center Food Pantry in Newport.

MORE: <http://tinyurl.com/NKUgpc>

## FACULTY FOCUS

Gail Mackin of the Department of Mathematics and Statistics has received a \$600,000 NSF Scholarships in Science, Technology, Engineering and Mathematics (STEM) grant to establish a program to recruit, retain and graduate financially needy, academically talented students who will enter the graduate school or the workforce in a STEM discipline. Project SOAR is a collaboration that involves five NKU academic departments – biological sciences, chemistry, physics and geology, mathematics and statistics, and computer science. It will award a four-year scholarship to 17 incoming freshmen in each of four years (68 total full scholarships).

### MPA EARNS REACCREDITATION

The National Association of Schools of Public Affairs and Administration (NASPAA) has reaccredited the NKU Master of Public Administration program for the next seven years. Accreditation indicates that an MPA program meets certain benchmarks in terms of quality and value. It is a voluntary peer review process for programs in the field of public administration, public policy and public affairs. Accredited programs are determined to be in compliance with the standards set forth by the field.

MORE: <http://tinyurl.com/NKUmpa12>

### NKU PARTNERS WITH BOONE COUNTY PARKS

The NKU Center for Environmental Education has partnered with Boone County Parks to develop and present environmental educational programs and increase visitation at the county's parks, particularly those that feature natural areas. As part of the partnership, educational programs at Boone County Parks are free and open to the public of all ages. New programs include hikes with naturalists, pond and creek studies, wildlife, butterflies, trees, birds, nature restoration, weather, littering, nature journaling and more.

MORE: <http://tinyurl.com/NKUcee12>



## ALUMNI NEWS

As Joe Beechem ('81) was sitting in an "Introduction to Biology" class at NKU, he had a revelation. All life on the planet was just a variation in the order of four simple letters – A, T, C and G – inside a single molecule common to all: DNA. People, trees and bugs were all constructed using an identical four-letter code.

That revelation prompted Beechem to switch his major to chemistry and biology and embark on a journey that has taken him around the country – teaching, researching and developing scientific tools and processes.

Today Beechem is senior vice president of research and development for NanoString Technologies in Seattle. He has developed multiple products in the area of reading individual DNA molecules to improve cancer and disease detection.

## “See Promise.” UK Awards Record Number of Singletary Scholarships

This fall, the University of Kentucky welcomed to campus a record class of 51 Singletary Scholars; a 50 percent increase over the previous year’s class of 34. The class includes five National Merit finalists, 15 valedictorians and 26 Governor’s Scholars or Governor’s School for the Arts alumni.

The Otis A. Singletary Scholarship, named for former UK President Otis A. Singletary, has been awarded since 1985 and is the most prestigious academic scholarship awarded by the university. It covers the full cost of tuition, room and board, and a personal stipend for four years of undergraduate study, plus \$2,000 toward a summer study abroad program and an iPad2.

Students who are awarded the Singletary Scholarship are also automatically enrolled in the Honors Program at UK. With the generous funding of this scholarship, students complete Honors courses, including a unique service-learning course and are given dedicated guidance toward opportunities and resources at the university and beyond.



## UK Opens Renewable Energy and Energy Storage Research Building

Energy research became more energy efficient as the University of Kentucky opened its newest energy research building - a living laboratory devoted to renewable energy and energy storage. The \$20.8 million, 43,000-square-foot laboratory will allow UK to expand research devoted to Kentucky’s growing renewable energy industries, including biomass and biofuels, electrochemical power sources, and distributed solar energy technologies.

The building is part of the UK Center for Applied Energy Research. It has a 50 percent smaller energy footprint than similar facilities and is expected to be LEED-Gold certified. The reduced output is accomplished by energy-saving features throughout the building, including an exterior roof with twice the amount of insulation normally used. Among other features are geothermal heating and cooling, occupancy sensors, windows filled with a nanogel material that diffuses sunlight, and a ventilation system that recaptures energy.

*-continued*







## Renewable Energy, continued

The new space includes a dry room designed for battery manufacturing and testing, an open-access biofuels research lab, and state-of-the-art solar research facilities. The second floor is devoted to research performed by Chemistry Professor John Anthony's group, whose work includes organic thin-film transistors, organic solar cells and organic light-emitting diodes. Additionally, the building is home to the Kentucky-Argonne Battery Manufacturing Research & Development Center laboratories.

## First Stages of Resident Hall Project Could Generate Nearly 2,900 Jobs; Millions in Tax Revenue

The University of Kentucky recently announced that construction of several residence halls on campus over the next few years could support nearly 2,900 jobs and generate nearly \$4 million in state and local taxes.

Last April, construction commenced with a \$25.8 million, 601-bed modern residence hall on Haggin Field in the heart of the campus. It is the first building in what could be a five-to-seven year construction project – representing \$500 million in private equity from UK's partner, Memphis-based EdR – to tear down and erect a new residence hall system, creating up to 9,000 beds on UK's campus. The first residence hall represents:

- \$15.7 million in expenditures for construction materials, wages and benefits, among other items;
- 509 direct and indirect jobs; and
- \$760,000 in state and local taxes.

In October, the UK Board of Trustees will consider the first part of the project's next phase - the construction of four additional residence complexes, totaling 2,098 beds. If approved by the board, the Phase II-A project would include \$118 million in expenditures, support more than 2,300 direct and indirect jobs and generate nearly \$1 million in local taxes and nearly \$2.2 million in state taxes.

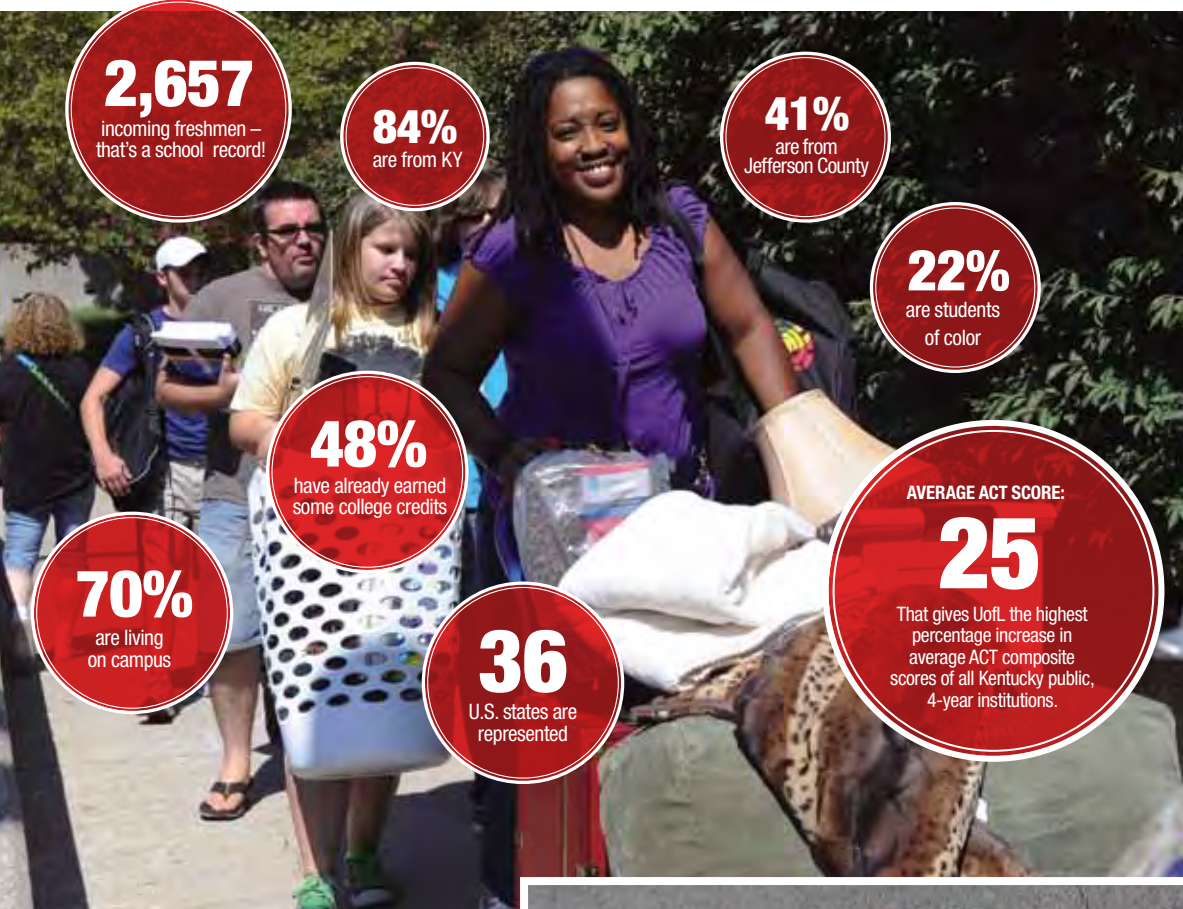
“Because of UK's commitment to our shared Kentucky Promise, the ingenuity behind our unique partnership with EdR, and the legislature's vision in authorizing this approach, we are leveraging our ability to help Kentucky build a vibrant, sustainable knowledge-based economy for the future,” said President Eli Capilouto. “At the same time, it can pave the way to the creation of thousands of jobs and millions of dollars in investment in our community and state.”





## Incoming Freshmen Break Records

They're the top of the class! UofL's incoming freshman class is one of the most academically gifted classes we've ever seen, with the highest ACT scores in UofL's history. Check out some other fun freshman facts:



**2,657**

incoming freshmen – that's a school record!

**84%**

are from KY

**41%**

are from Jefferson County

**22%**

are students of color

**48%**

have already earned some college credits

**70%**

are living on campus

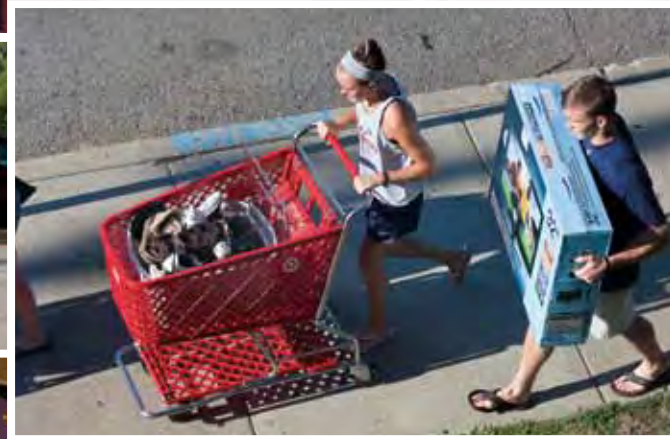
**36**

U.S. states are represented

AVERAGE ACT SCORE:

**25**

That gives UofL the highest percentage increase in average ACT composite scores of all Kentucky public, 4-year institutions.



### Movin' On Up...

Summer's stifling heat took a break long enough for incoming freshmen to move into their dorms on Belknap Campus. Students enjoy 11 residence halls on campus that include amenities such as private rooms, workout rooms, a pool, theater and more than 30 dining options on campus or within the neighborhood.



### A Letter From the President

Dear Friends and Family of UofL,

WOW! What a great start to this school year. Once again, I can say we have the most academically prepared freshman class in UofL history. We're proud of the gains we've made in attracting the best students from across Kentucky and the region.

They are coming to UofL because of the new places to eat, live and play on campus, but, most importantly, they're staying and graduating because of the challenging academic programs and opportunities to do research as undergrads. That's why this time of year – the start of the school year – is so much fun for us on campus. Seeing the new students as well as the return of Card family members reminds all of us why we're here.

And while the students got the school year started off right, there is some sadness in the Cardinal family. Owsley Brown Frazier, whose contributions to the university and community are immeasurable, died at the age of 77. The Frazier name is etched on buildings and programs across our campus and across Louisville. He was my friend. He will be missed.





Left to right: GE Appliances President and CEO Charles (Chip) Blankenship, J.B. Speed School of Engineering Dean Neville Pinto, UofL President James Ramsey and Vice President of Technology for GE Appliances Kevin Nolan attended the Aug. 17 announcement of a GE-UofL partnership to offer onsite graduate-level engineering classes at Appliance Park and to work together on research and development.

## Master Plan: GE and UofL Forge Partnership

UofL's Research Foundation Inc. and GE have forged a master research agreement that will allow UofL engineering and physics faculty and GE engineers to collaborate on research projects. Several projects are in development.

"With this expanded partnership, GE is able to enhance our capability to build great products," said Kevin Nolan, vice president of technology for GE Appliances. "With a focus on continuous improvement through education and research, our engineers are better equipped with the most current training and research available."

GE Appliances engineers also won't need to travel beyond their Louisville workplace to gain master's degrees, thanks to the new educational partnerships announced August 17.

Onsite graduate-level engineering classes will be offered at Louisville's Appliance Park later this year. GE requires engineers in its Edison Educational Development Program to earn their Master of Science degrees in mechanical or electrical engineering.

Engineers in the program will have five engineering job rotations while GE pays for their education. Professors from UofL's J.B. Speed School of Engineering will teach at Appliance Park. The engineers will be encouraged to complete an appliance-related thesis, which will allow them to specialize in their areas of interest.

"These collaborations between UofL and GE will produce a better-educated workforce, innovative technologies and, ultimately, more jobs in the Louisville area," UofL President James Ramsey said.

### UofL CHARTING OUR COURSE The Campaign for Kentucky's Premier Metropolitan Research University

## Signature Style

You are what you write. Or, at least that's what handwriting experts say. A new grant will allow UofL researchers to examine the dips, curves, slants and slashes that make up our unique handwriting. The Council of Graphological Societies (CGS) presented University Libraries Dean Robert Fox with a check for \$120,992 to help researchers better understand the connection between cursive writing and student learning and how a person's handwriting reflects their personality.

The grant will create an endowment to support the existing Thea Stein Lewinson collection and the development of the Klara G. Roman collection. It will also promote research in handwriting analysis. Both Stein Lewinson and Roman contributed to the scientific development of handwriting analysis to where it was widely used as a tool for assessing personality and performance in business, the military and interpersonal relationships.



Ellen Bowers, Council of Graphological Societies' research chair and past president, presented the check and several books to University Libraries.



## Toppin' Off the Green: The Green Roof at Nucleus

A green roof is among the environmentally friendly features of Nucleus Innovation Park-Market Street.

Bernheim Forest nursery specialists are advising building contractors how to design and maintain the roof, which will feature Kentucky native plants. The building will be up for certification through Leadership in Energy and Environmental Design (LEED), a national rating system for green buildings.

The project also is exceeding its hiring goals for minority- and female-owned companies, said Nucleus Chief Executive Officer Vickie Yates Brown. Nucleus is a subsidiary of the University of Louisville Foundation. The eight-story, 200,000-square-foot building is expected to open in May 2013.



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Western Kentucky University news for the Council on Postsecondary Education, September 2012  
For more WKU news, visit [www.wku.edu/news](http://www.wku.edu/news).

### 'Instruments of American Excellence' Exhibit opening Sept. 21 at Kentucky Museum



What do a typewriter, a skateboard, a telescope and a space suit have in common? They are all instruments that helped shape American history and are all featured in the unique exhibit "Instruments of American Excellence" at WKU's Kentucky Museum. Opening Sept. 21, the exhibit features hundreds of



original items that successful Americans used on their path to extraordinary achievements. The exhibit includes a typewriter used by Robert Penn Warren, a skateboard used by Tony Hawk, a telescope used by Neil deGrasse Tyson, a NASA flight suit used by Terry Wilcutt and much more. Details are available at [instrumentsofamericanexcellence.com](http://instrumentsofamericanexcellence.com) or <http://wkunews.wordpress.com/2012/08/30/iae-preview/>.

*Col Terry Wilcutt's NASA flight suit as displayed in the IAE exhibit.*



### Two WKU students earn SMART Scholarships

WKU continues to be a leader in the prestigious [Science, Mathematics and Research for Transformation \(SMART\) Scholarship](#) as Matthew LaHood of Bowling Green, Ky., and Cody Mahan of Seymour, Tenn., are the most recent recipients of the award from the U.S. Department of Defense.

In all, seven students from WKU, including three from the [Gatton Academy of Mathematics and Science in Kentucky](#), have been selected as SMART Scholars in recent years.

The SMART program awards scholars who demonstrate exceptional ability in STEM (science, math, engineering or technology) fields. Mahan and LaHood, both electrical engineering majors in the [Department of Engineering](#) at WKU, will receive funding for their tuition and education-related fees, a cash award of at least \$25,000, professional mentoring, and guaranteed employment after graduation.

LaHood, a U.S. Air Force veteran, will fulfill his service requirement at Warner Robins Air Force Base near Macon, Ga., to provide product development and support for aircraft avionics and air battle management systems. Mahan, a senior, is currently completing an internship at Oak Ridge National Laboratory, funded by the Department of Energy. He will also be employed at Warner Robins Air Force Base where he will be working to implement new technology so that older aircraft maintain their functionality. See <http://wkunews.wordpress.com/2012/08/21/smart-scholars/>.

### Gatton Academy students top state ACT averages for 5th year

Students at the Gatton Academy of Mathematics and Science in Kentucky at WKU outperformed their high school peers for the fifth consecutive year on the American College Test (ACT). Gatton Academy students outpaced their peers, receiving an average composite score of 32 out of 36 possible points on the exam — a score 12.5 points ahead of the statewide average.

As mandated by state law, all Kentucky public school juniors participate in the ACT, which assesses English, reading, mathematics and science and is scored on a scale of one to 36. Many college-bound seniors take the test at least one more time during high school. Academy administrators were pleased with the improvement in scores since the class was admitted as sophomores in spring 2010. The average composite increased by three points. See <http://wkunews.wordpress.com/2012/08/23/gatton-act-2012/>.





## WKU, Somerset Community College sign joint admissions agreement

In July, Somerset Community College and WKU signed a joint admissions agreement that will give students access to a full range of resources at both schools and create a smoother transfer process.

The goals of the partnership include: eliminating barriers for students in attaining their educational goals; improving student success and degree attainment; reducing the time to degree and the cost to students; expanding student options for college services; and planning, developing and aligning instructional programs at the undergraduate level.

“Our students always have been and always will be our first priority,” said SCC President and CEO Dr. Jo Marshall. “This agreement with Western Kentucky University will help our students easily transition to a four-year university to reach their goals and obtain their degrees.”

WKU President Gary Ransdell said the program will provide the best of both institutions to students while allowing them to complete their associate’s degree at SCC and then continue their education at WKU. “SCC students who are jointly admitted will be on track to complete a four-year degree from the first day and can begin to take advantage of student services and activities that are available to all WKU students, including academic advising.”

This is the seventh joint admissions agreement between WKU and a school in the Kentucky Community and Technical College System. WKU also has agreements with Owensboro Community and Technical College, Madisonville Community College, Henderson Community College, Hopkinsville Community College, Bowling Green Technical College and Elizabethtown Community and Technical College. More than 970 students have been admitted into the joint admissions programs since the first ones began in 2009. See <http://wkunews.wordpress.com/2012/07/31/wku-somerset-agreement/>.



*Somerset Community College President Jo Marshall (left) and WKU President Gary Ransdell shake hands after signing a joint admissions agreement.*

## Health Sciences Complex will house nursing, physical therapy programs

Ground had been broken on the Medical Center-WKU Health Sciences Complex, a 73,471-square-foot, three-story facility on the hospital’s campus to house the university’s nursing and physical therapy programs and to provide educational space for the hospital.

The \$18.4 million project will address the national nursing shortage by providing much needed space for WKU to expand its program and double the number of students who can enroll in the university’s nursing program. Eighty percent of the facility will be used by WKU to accommodate assembly, training, classroom and business functions for WKU. The remaining 20 percent will be used by The Medical Center for an auditorium and bed labs for clinical training. See <http://wkunews.wordpress.com/2012/06/13/health-sciences/>.



## Gov. announces expansion, rebranding of program

Kentucky’s entrepreneurial community received a boost with an expanded and rebranded program designed to provide free business services to innovative start-up companies. With a new name, logo, focus and expanded locations, the Kentucky Innovation Network was unveiled by Gov. Steve Beshear at the Bowling Green Innovation and Commercialization Center, located at WKU’s Center for Research and Development. See <http://wkunews.wordpress.com/2012/08/23/ky-innovation-network/>.



## News from the Association of Independent Kentucky Colleges & Universities September 2012

### **Bellarmino partners with Eminence Independent on dual credit program targeting first generation students**

**Bellarmino University** and Eminence High School in Henry County have launched a unique dual credit partnership that has EHS juniors and seniors traveling to Bellarmino's campus two days per week to take college courses on campus and begin to experience college life. More than 90% of Eminence students come from families without a college degree. Eminence students travel to campus on a WiFi-equipped bus with Apple laptops so they can study during their commute. Bellarmino is providing reduced tuition to the EHS students. Students who participate for their full junior and senior years can earn up to 29 hours of college credit through the program. More information:

[http://www.bellarmino.edu/news/more.asp?event\\_id=848](http://www.bellarmino.edu/news/more.asp?event_id=848)

### **Union and Berea presidents begin tenures; UPike announces James Hurley as president-elect**

**Dr. Marcia Hawkins** began her tenure in July as **Union College's** first female president. **Dr. Lyle Roelofs** spent a good portion of the spring and summer getting to know **Berea College's** Appalachian service region. He is now getting to know the campus community and encouraging a culture of wellness through the twice weekly "Run with the Presidential Trio" (<http://www.berea.edu/president/run-walk.asp>). **Governor Paul Patton** announced that he will step down as president of the **University of Pikeville** at the conclusion of his contract in June 2013. **James Hurley**, UPike's vice president for enrollment and retention and special assistant to the president, has been named president-elect by the UPike Board of Trustees.

### **AIKCU at the Olympics**

**Lindsey Wilson College** nursing student and cyclist Danny Caluag represented the Philippines in BMX during the 2012 London Olympic Games. Recent **Thomas More College** graduate Emmy Kaiser ('11) competed for the U.S. in wheelchair tennis and Bellarmino University alumnus Dennis Ogbe ('06, MBA '07) competed in shotput and discus in the Paralympic Games. **Asbury University** sent nearly 70 students to London to help broadcast the Summer Olympics. Under the guidance of Dr. Jim Owens, dean of the School of Communication Arts, Asbury has provided student workers for every Olympics since 1984.

More: <http://www.aikcu.org/2012/07/26/kentucky-private-college-students-at-the-london-summer-olympics/>

For the latest AIKCU news, visit <http://aikcu.org/newsroom>



## News from the Association of Independent Kentucky Colleges & Universities September 2012

### **St. Catharine College launches Berry Farming Program**

The partnership between St. Catharine College and the Berry Center (the family foundation of Wendell Berry) announced last spring has produced the Berry Farming and Ecological Agrarianism Program at SCC, housed in the new Department of Earth Studies. Dr. Leah Bayens is designing the curricula for an interdisciplinary baccalaureate degree and a minor in sustainable agriculture, with a target start date of Fall 2013. More: <http://sckky.edu/news/berryfarmingprogram.php>

### **Transylvania initiates August Term for first-year students**

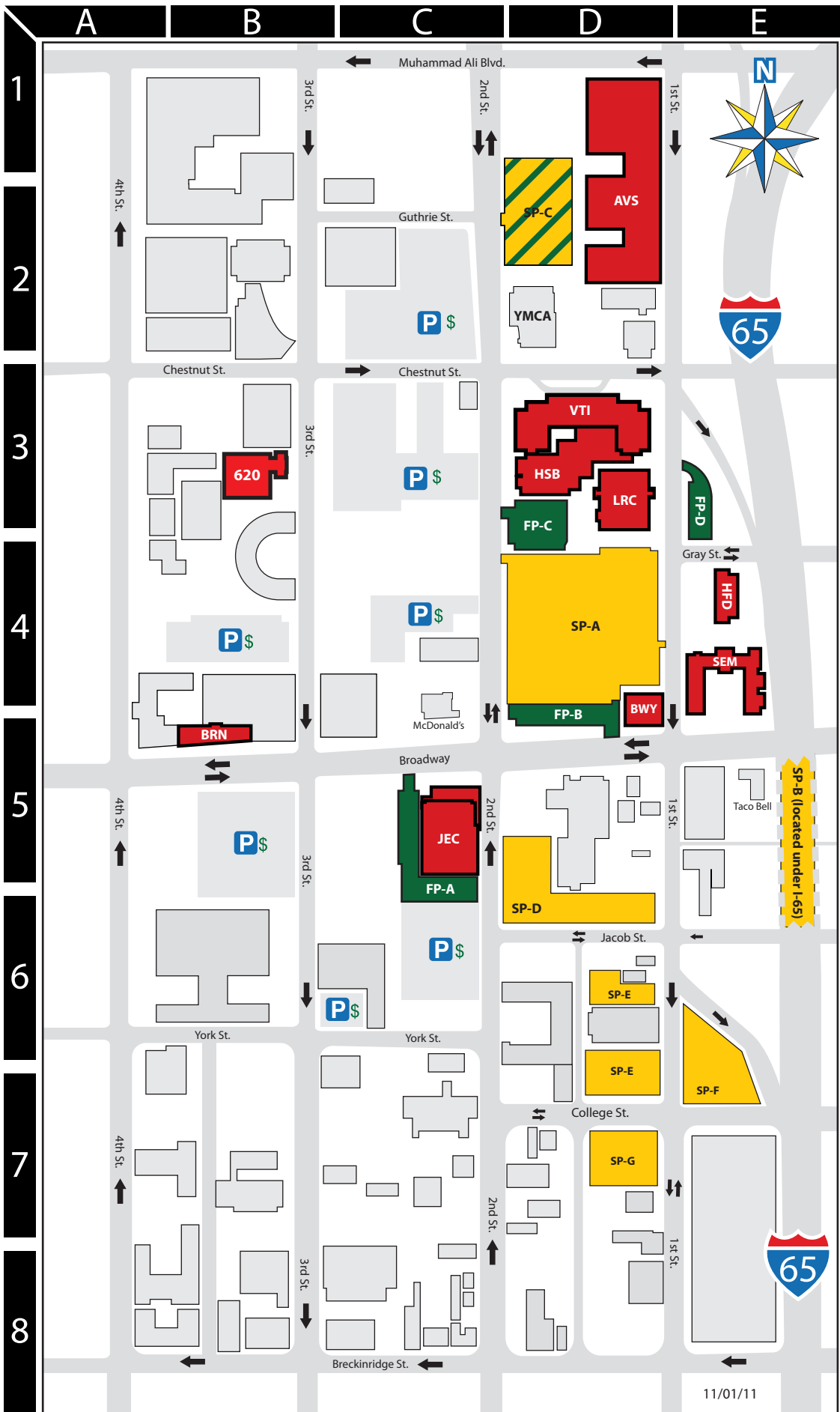
This was the first year for **Transylvania University's** new three-week intensive August term for first-year students. The August term introduced new students to the college experience to ease the transition from high school to college and establish the expectations for college level work before the start of the fall semester. In a departure from traditional freshman orientation programs, students each participated in three-hour daily seminar that revolved around two common books throughout the three weeks. A range of lectures and experiences designed to build community and help students adjust to college life supplemented the seminars. More: [http://transy.edu/news/arch\\_story.htm?id=779](http://transy.edu/news/arch_story.htm?id=779)

### **Centre prepares for Vice Presidential Debate**

**Centre College** is counting down until 10.11.12, when it will host the only Vice Presidential Debate of the 2012 campaign. Centre previously hosted the Vice Presidential Debate between Dick Cheney and Joe Lieberman in 2000. For all the news about the debate (and the countdown clock) visit <http://www.centre.edu/centredebate2012/>.

### **Brescia becomes first fully online accredited social work program**

Brescia University's social work program recently received its reaccreditation from the Council on Social Work Education (CSWE) for the next eight years. In gaining reaccreditation, Brescia now has the only fully online CSWE Accredited BSW Degree Completion program in the nation. Students are not required to be on campus at any time during the process. More: <http://www.brescia.edu/news/5727>



**Jefferson Community & Technical College**  
 109 East Broadway  
 Louisville, KY 40202

**Buildings** ■

Vocational Technical Institute (VTI)	D3
Health Sciences (HSB)	D3
Hartford (HFD)	E4
Seminary (SEM)	E4
Ahrens Educational Resource Center (AVS)	D1
Broadway Building (Bwy)	D5
Jefferson Education Center (JEC)	C5
Brown Theater (BRN) 3rd & 8th floors	B5
Learning Resource Center (LRC)	D3
620 Building	B3

**JCTC Student Parking** ■

JCTC Main Lot (SP-A)	D4
I-65 Lot (SP-B)	E5
YMCA Garage (SP-C)	D2
Hotel Louisville (SP-D)	D6
756 South First St. lot (SP-E)	D6
Unpaved Lot (SP-F)	D7
College St. lot (SP-G)	D7

**JCTC Employee Parking** ■

FP-A JEC Lot and Garage	C6
FP-B Broadway Lot	D5
FP-C HSB Lot	D4
FP-D Gray Sreet Lot	E3
YMCA Garage (SP-C)	D2

**Pay Lots** P \$

**General Information** 213-5333  
**Campus Security** 418-9051  
 Campus Security is located on the first floor of the Hartford Building.  
 JCTC Bookstore is located in the basement of the Hartford Building.



11/01/11





The Council on Postsecondary Education is Kentucky's statewide postsecondary and adult education coordinating agency charged with leading the reform efforts envisioned by state policy leaders in the *Kentucky Postsecondary Education Improvement Act of 1997*. The Council does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services, and provides, upon request, reasonable accommodation, including auxiliary aids and services necessary to afford individuals with disabilities an equal opportunity to participate in all programs and activities.

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Printed with state funds

# FOUR FOCUS AREAS

**1. COLLEGE READINESS**

**2. STUDENT SUCCESS**

**3. RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT**

**4. EFFICIENCY & INNOVATION**

## SIX HB 1 GOALS

- 1. A seamless, integrated system of postsecondary education strategically planned and adequately funded to enhance economic development and quality of life.**
- 2. A major comprehensive research institution ranked nationally in the top 20 public universities at the University of Kentucky.**
- 3. A premier, nationally recognized metropolitan research university at the University of Louisville.**
- 4. Regional universities, with at least one nationally recognized program of distinction or one nationally recognized applied research program, working cooperatively with other postsecondary institutions to assure statewide access to baccalaureate or master's degrees of a quality at or above the national average.**
- 5. A comprehensive community and technical college system with a mission that assures, in conjunction with other postsecondary institutions, access throughout the Commonwealth to a two-year course of general studies designed for transfer to a baccalaureate program, the training necessary to develop a workforce with the skills to meet the needs of new and existing industries, and remedial and continuing education to improve the employability of citizens.**
- 6. An efficient, responsive, and coordinated system of providers that delivers educational services to all adult citizens in quantities and of a quality that is comparable to the national average or above and significantly elevates the level of education of the adults of the Commonwealth.**