

**MINUTES\***  
**Council on Postsecondary Education**  
**May 18, 1998**

The Council on Postsecondary Education convened at 8:00 a.m. (ET) on May 18, 1998, in the conference room of the CPE offices in Frankfort. Chair Hardin presided over the meeting.

*CPE PRESIDENT*

Mr. Hardin introduced the new CPE President, Gordon Davies. Mr. Davies will officially begin his duties on June 16 but he has already been involved in many CPE activities.

*OATH OF OFFICE*

Franklin County Circuit Judge, Roger Crittenden, administered the oath of office to Michelle Francis. Ms. Francis is the student member of the CPE and attends Morehead State University.

The meeting recessed to committee meetings and reconvened at approximately 10:00 a.m.

*ROLL CALL*

The following members were present: Ms. Adams, Mr. Baker, Mr. Barger, Ms. Bertelsman, Ms. Francis, Mr. Greenberg, Mr. Hackbart, Mr. Hardin, Ms. Helm, Mr. Huddleston, Ms. Menendez, Ms. Ridings, Ms. Weinberg, and Mr. Whitehead. Mr. Todd and Mr. Cody did not attend.

*APPROVAL OF  
MINUTES*

There being no corrections, the minutes of the previous meeting were approved as distributed.

*STRATEGIC  
AGENDA*

Mr. Hardin gave an update on the development of the strategic agenda. The CPE Work Group developed a draft document, *2020 Vision: An Agenda for Kentucky Postsecondary Education*, which has been widely circulated for review since the March 1998 CPE meeting. CPE members, SCOPE members, institution presidents and board chairs, faculty and student leaders, P-12 leadership, presidents of independent institutions, business/industry/labor leaders, and a number of other constituent groups were asked to comment on the draft. About two dozen written responses have been received.

Three major policy issues emerged from the review of the draft: 1) use of the word "system" referring to Kentucky's postsecondary education providers, the role of the independent institutions, and the

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\* All attachments are kept with the original minutes in CPE offices. A verbatim transcript of the meeting is also available.

distinction between statewide governance and statewide coordination; 2) role of the regional universities in delivering remedial and lower division courses and in articulating with the University of Kentucky and the University of Louisville in providing doctoral programs; and 3) focus on those served by the system rather than on the providers within the system.

The draft document will be discussed with the SCOPE members at their meeting later in the day to seek additional comments. Mr. Hardin asked that suggestions be communicated as soon as possible so that a final draft can be prepared prior to the July CPE meeting.

Mr. Davies said that an objective is to develop a document that all Kentuckians, not just educators, can read and understand. He welcomed the opportunity to participate in the development of the draft document and encouraged additional suggestions.

(Additional background information is attached as Agenda Item D.)

*WORKFORCE  
DEVELOPMENT  
TRUST FUND*

**RECOMMENDATION:** That the CPE approve the Kentucky Community and Technical College System (KCTCS) proposal requesting \$3 million from the 1997-98 Postsecondary Workforce Development Trust Fund.

**MOTION:** Mr. Barger moved that the recommendation be approved. Mr. Greenberg seconded the motion.

The Kentucky Postsecondary Education Improvement Act of 1997 (HB 1) created the Postsecondary Workforce Development Trust Fund to provide financial assistance to further cooperative efforts among the community colleges and postsecondary technical institutions and for the acquisition of equipment and technology necessary to provide quality educational programs. House Bill 4 as enacted during the 1997 Special Session appropriated \$3 million to this trust fund. The CPE established criteria for the appropriation for the KCTCS to request a nonrecurring \$3 million allotment for acquisition of instructional equipment. The KCTCS Board of Regents took action in January of this year to submit a proposal to request funding for the purchase of instructional equipment for the Technical Institutions Branch. The proposal was reviewed by the CPE Work Group which indicated that the proposal meets the criteria and is within the spirit of the intent of HB 4.

**VOTE:** The motion passed.

*RESEARCH  
CHALLENGE TRUST  
FUND*

(Additional background information is attached as Agenda Item E-1.)

RECOMMENDATIONS:

- That the report submitted by Dr. Joab Thomas, the CPE consultant on the 1997-98 Research Challenge Trust Fund proposals, be accepted.
- That funding for 1997-98, 1998-99, and 1999-2000 for the University of Kentucky proposal (\$3,982,500 in 1997-98 and \$3,817,000 in each year of the 1998-2000 biennium) and the University of Louisville proposal (\$2,000,000 each fiscal year) be awarded based on the recommendations outlined in Dr. Thomas' report.
- That UK and UofL respond by June 15, 1998, to the contingencies included in Dr. Thomas' report.
- That the CPE review the funded programs in summer 1999 as the basis for a decision on continuation funding beyond 1999-2000.

MOTION: Ms. Bertelsman moved that the recommendations be approved. Mr. Barger seconded the motion.

HB 1 created the Research Challenge Trust Fund to implement the goals of 1) a major comprehensive research institution ranked nationally in the top 20 public universities at the University of Kentucky and 2) a premiere, nationally-recognized metropolitan research university at the University of Louisville. The proposals submitted by the two institutions will allow them to move toward these goals. HB 4 appropriated \$6 million to the Research Challenge Trust Fund for 1997-98.

Dr. Joab Thomas was selected to be the consultant to the CPE for this trust fund. He visited both UK and UofL for discussions regarding their proposals. He found the overall quality of the draft proposals to be very high and made suggestions for improvement. Following his visit, the two institutions formally submitted their proposals to the CPE. Dr. Thomas met with the CPE Work Group to review the proposals on April 17.

UK proposes initiatives in the following areas:

- Multidisciplinary Excellence in Gerontology and Aging
- Advanced Medical Research

- Clinical Pharmaceutical Sciences Research and Graduate Training
- Molecular Mechanisms of Toxicity
- Excellence in Computer Science and Electrical Engineering
- Materials Synthesis
- Plant Sciences: Research, Graduate Education, and Transferring Technology for Kentucky's Future
- Interdisciplinary Focus in Biological Chemistry
- Management and Economics
- Psychology of Substance Abuse and Prevention
- Expanding the Frontiers of Geography

UK also proposes an initiative in Graduate Student Support as well as one-time support for building the research infrastructure and investing in faculty development and human resources.

UofL proposes initiatives in the following areas:

- Early Childhood Issues and Initiatives
- Entrepreneurship
- Logistics and Distribution
- Molecular Medicine and Biotechnology

UofL also proposes one-time support for Communications Infrastructure and a new Client-Server Library System.

Dr. Thomas said that he raised issues about two of the projects. One issue dealt with the need to obtain strong leadership for the Early Childhood Issues and Initiatives proposal from UofL. Since the completion of his report he has learned that UofL is aggressively moving forward to find that leadership, and he is confident that this program will be a successful and greatly needed program nationwide.

The second program about which he raised questions was the Management and Economics program at UK, specifically the overlap with UofL in the area of entrepreneurship. This has been reconsidered by UK, and a revised proposal was put forth eliminating the initiative on entrepreneurship.

Concerning the large number of programs at UK, Dr. Thomas said that for a comprehensive research university, one that includes both the land-grant medical school and the full range of comprehensive programs, to achieve the top 20 status it is imperative to develop strength in a broad spectrum and a broad array of programs. He also pointed out that there is remarkable collaboration between several of the UK programs.

Dr. Thomas said that he enthusiastically endorses the programs from each of the research institutions.

Mr. Walker pointed out that the recommendation indicates that the report by Dr. Thomas includes a number of contingencies that need to be addressed by the two institutions by June 15. Two of these contingencies are that each institution identify benchmark programs for each of these research initiatives and that each institution clarify the specific source of funds so that the CPE staff and the staffs of UK and UofL can develop a common financial reporting format to assure that those funds are there now and will be there in the future. Another recommendation from Dr. Thomas' report is that the CPE defer action on the UK Management and Economics proposal. Dr. Thomas' remarks at this meeting indicate that he now supports the revised proposal; therefore, the motion should be amended since the UK funding amount will be \$4 million in each year rather than the dollar amounts as reported in the existing recommendation.

AMENDMENT TO MOTION: Ms. Adams moved that the proposal be amended to include the UK Management and Economics program and that the dollar amounts be amended accordingly. The motion was seconded by Mr. Barger.

VOTE ON AMENDMENT: The amendment passed.

VOTE ON ORIGINAL MOTION AS AMENDED: The amended motion passed.

(Additional background information, the consultant's report, and proposals from UK and UofL are attached as Agenda Item E-2.)

*REGIONAL  
UNIVERSITY  
EXCELLENCE  
TRUST FUND*

Mr. Hardin said that Dr. Robert Shirley was selected as consultant to the CPE for the Regional University Excellence Trust Fund. Dr. Shirley met with the regional university presidents to discuss the elements of the incentive trust fund criteria and application guidelines as approved at the November 1997 CPE meeting. The consultant then visited Eastern Kentucky University, Western Kentucky University, and Murray State University to discuss the draft institutional proposals. Following his visits to the institutions, ECU and MuSU formally submitted their proposals to the CPE.

Dr. Shirley participated in a meeting via teleconference with the CPE Work Group on April 17 to review the institutional proposals. On the day of the Work Group meeting, WKU delivered its proposals to the

CPE offices. The WKU proposals have been distributed to Work Group members and Dr. Shirley, but, due to scheduling problems, the WKU proposals have not been reviewed by the Work Group prior to the May CPE meeting.

Work Group review and discussion of the ECU and MuSU proposals raised many questions about what is a true program of distinction. The result of the discussions among the CPE members, CPE staff, and Dr. Shirley was that the CPE Work Group was not prepared to recommend approval of any of the proposals received. Specific concerns and questions have been outlined in correspondence to the presidents of ECU and MuSU. These questions were raised to encourage a dialogue between the institutions and the CPE about programs of distinction. The Work Group feels it is important to incorporate Gordon Davies into the process prior to any action being taken on any program of distinction proposal.

(Additional background information is attached as Agenda Item E-3.)

*PROGRAM WAIVER*

RECOMMENDATION: That the CPE grant a one-year qualitative waiver of the standards of *The 1997-2002 Kentucky Plan for Equal Opportunities in Higher Education (The Kentucky Plan)* to Prestonsburg Community College as provided for by KRS 164.020(8) and as implemented by Kentucky Administrative Regulation 13 KAR 2:060.

MOTION: Mr. Whitehead moved that the recommendation be approved. Ms. Weinberg seconded the motion.

VOTE: The motion passed.

*CEO CAMPUS VISITS*

Mr. Whitehead announced that the Committee on Equal Opportunities will be conducting three campus visits in June – MuSU on June 8, WKU on June 9, and MoSU on June 16. He encouraged the CPE members to participate in these visits.

(Additional background information is attached as Agenda Item F.)

*1998 GENERAL ASSEMBLY*

An information item was presented on the actions of the 1998 General Assembly and a summary of the legislative changes that affect the work of the CPE.

(Additional background information is attached as Agenda Item G.)

*TRANSITION  
AGENDA*

Updates have been provided at each CPE meeting since January on the transition agenda items identified by the CPE at its October 1997 meeting as immediate, short-term, and on-going priorities. With the completion of the legislative session and the hiring of the new CPE President, it is anticipated that this will be the last transition agenda update. Mr. Hardin said that all of the on-going priorities are included in the strategic agenda.

Mr. Hardin complimented the CPE members and staff for the leadership and work provided during this transition period. He also thanked the institutional presidents and their staffs for their understanding and support during this time.

Mr. Hackbart thanked Mr. Hardin for his leadership during this time of transition and for his calming influence on everyone.

(Additional background information is attached as Agenda Item H.)

*METROPOLITAN  
COLLEGE*

The Metropolitan College initiative (formally known as the United Parcel Service initiative) is an innovative program designed in a collaborative effort by the University of Louisville, Jefferson Community College, and Kentucky Tech Jefferson Campus to develop a high-quality workforce inventory in Kentucky and to ensure that UPS has the workers it needs to make Hub 2000 a success.

Crit Luallen, Secretary of the Governor's Cabinet, discussed how this initiative came into being. She said this is the most significant economic development project for the state since Toyota and has long-reaching implications in terms of this project's ability to diversify and enhance the Louisville region's economy as well as the entire state economy. In December UPS officials informed Governor Patton that they were planning an expansion of their air freight hub based in Louisville which could result in 6,000 new jobs. UPS also informed the Governor that if they chose not to locate that expansion in Louisville, UPS would move about 80 percent of its current workforce in Louisville and Jefferson County to a new site. This project quickly became the top priority for Governor Patton. UPS made it clear that workforce issues were the primary concern, so the workforce issue and its relationship to the educational community became the paramount concern. Representatives from the University of Louisville, Jefferson Community College, and Kentucky Tech Jefferson Campus and the business leaders in the Louisville community were asked to respond to this issue. In three weeks the group came back with this progressive and creative proposal. At this point UPS was convinced that the Governor took serious the

workforce concerns, and the administration was able to negotiate with UPS on a variety of issues and assembled an incentive package that resulted in the UPS decision to expand in Louisville.

Secretary Luallen added that the consortium developed among the three institutions is an outstanding example of how the state can take advantage of the changes made by HB 1. This initiative is a seamless, integrated approach to offering a creative solution to a particular community and region's need involving all three institutions. It also has a long-term impact for the Louisville region by helping to develop a stronger skilled population who are available for other businesses and other parts of this economy as it continues to grow.

A presentation was made by Richard Green (President of Jefferson Community College), John Shumaker (President of the University of Louisville), Marvin Copes (Director of Kentucky Tech Jefferson Campus), and Dan Ash (Acting Director of the Metropolitan College). UPS has a need for an additional 2,000 new student workers over the next eight years. The institutions provided information on how to achieve this new workforce while continuing to focus on the needs of the students. Ways to motivate students to participate in the project were identified. This goal needs to be achieved while allowing each institution to provide the services that it does best and to address the educational and workforce needs of the community.

Several sources of funding are provided. Initially there is a \$3 million appropriation from the state, UPS will provide a considerable amount of resources, and the city and county will contribute \$650,000 a year for the coming biennium. Another possibility is assistance from a federal transportation grant that will assist in moving students between the institutions and UPS.

(Additional background information and a copy of the presentation is attached as Agenda Item I.)

Ms. Bertelsman presented the report of the Academic Affairs Committee.

*NEW PROGRAM  
PROPOSALS*

RECOMMENDATIONS:

- That the Master of Arts in Educational Instructional Leadership program proposed by ECU be approved provisionally and registered in the Registration with Review category in CIP 13.0405.

- That the Master of Arts in Education in School Administration program proposed by MuSU be approved provisionally and registered in the Registration with Review category in CIP 13.0405.
- That the Master of Education in School Administration program proposed by UK be approved provisionally and registered in the Registration with Review category in CIP 13.0405.
- That the Master of Education in Education Administration program proposed by UofL be approved provisionally and registered in the Registration with Review category in CIP 13.0405.
- That the CPE develop, by October 1, 1998, a plan for reviewing all Master of Education in Education Administration programs, consistent with HB 1 mandates to maximize cooperation and collaboration among postsecondary education institutions, minimize unnecessary duplication in program offerings, and increase access to quality programs through distance learning strategies.

MOTION: Ms. Bertelsman moved that the program proposals be provisionally approved and registered in the Registration with Review category. The motion was seconded by Ms. Adams.

VOTE: The motion passed.

(Additional background information is attached as Agenda Item J-1-a.)

RECOMMENDATIONS:

- That the Bachelor of Science in Interpreting for Deaf Individuals (Sign Language Interpreter) proposed by Eastern Kentucky University be approved and registered in CIP 51.0205.
- That the Associate in Applied Science in Agriculture Technology program proposed by Hopkinsville Community College be approved and registered in CIP 01.0301 as a new KCTCS degree program to be awarded in the name of UK, contingent upon notification that the KCTCS Board of Regents has taken action to exercise its option for a quantitative waiver on behalf of Hopkinsville Community College for calendar year 1998.

- That the Associate in Applied Science in Nursing program proposed by Owensboro Community College be approved and registered in CIP 51.1601 as a new KCTCS degree program to be awarded in the name of UK, contingent upon notification that the KCTCS Board of Regents has taken action to exercise its option for a quantitative waiver on behalf of Owensboro Community College for calendar year 1998.
- That the Associate in Applied Science in Early Childhood Education program proposed by Owensboro Community College be approved and registered in CIP 20.0202 as a new KCTCS degree program to be awarded in the name of UK, contingent upon notification that the KCTCS Board of Regents has taken action to exercise its option for a quantitative waiver on behalf of Owensboro Community College for calendar year 1998.
- That the Associate in Applied Science in Law Enforcement Technology program proposed by Prestonsburg Community College be approved and registered in CIP 43.0107 as a new KCTCS degree program to be awarded in the name of UK.

MOTION: Ms. Bertelsman moved that the programs be approved. Ms. Weinberg seconded the motion.

VOTE: The motion passed.

(Additional background information is attached as Agenda Items J-1-b through J-1-f.)

*EXTENDED-  
CAMPUS  
OFFERINGS*

RECOMMENDATION:

That the following requests to offer courses in new locations (counties) in Fall 1998 be approved:

- UK requests permission to offer graduate courses in Family Studies via distance learning technologies in Letcher County at the Southeast Community College extended-campus site in Whitesburg.
- MoSU requests permission to offer two lower-division, general education courses via distance learning technologies to high school students at Bracken County High School.

Given the likelihood that there will be major changes in the CPE extended-campus policies as a result of HB 1, the biennial extended-

campus plans for all institutions have been extended through 1998-99 with provision for limited expansion under certain conditions approved at the March 9 CPE meeting. Both of the proposed expansions meet those conditions. Letcher County is in the service area of MoSU and Bracken County is in the service area of NKU. Both institutions have no objection to these courses being offered in their service areas.

MOTION: Ms. Bertelsman moved that the new sites be approved. Ms. Menendez seconded the motion.

VOTE: The motion passed.

(Additional background information is attached as Agenda Item J-3.)

*BACCALAUREATE  
PROGRAM  
TRANSFER  
FRAMEWORKS*

RECOMMENDATIONS:

- That the Baccalaureate Program Transfer Frameworks for the 185 different baccalaureate degree programs offered by Kentucky public universities be approved.
- That the *Standards for the Development of a Transfer Framework and Principles for Transfer Frameworks* used to design and develop baccalaureate transfer frameworks be reaffirmed.
- That the CPE staff be authorized to maintain a Baccalaureate Program Transfer Framework for each active baccalaureate degree program listed on the CPE Registry of Degree Programs.
- That universities and community colleges be directed to continue implementation of the provisions of the CPE's General Education Transfer Policy and Baccalaureate Program Transfer Frameworks.

The transfer frameworks facilitate the transfer of credits from one public institution to another institution. It is an agreement that a university will accept a block of courses from a sending university whether or not they are the same courses that the university offers.

MOTION: Ms. Bertelsman moved that the recommendations be approved. Ms. Weinberg seconded the motion.

VOTE: The motion passed.

(Additional background information is attached as Agenda Item J-4.)

*PROGRAM  
ADVISORY  
STATEMENTS*

Information was provided on the program advisory statements submitted by the institutions by the February 1, 1998, deadline. This information provides staff and Council members with an overview of programs at varying stages of development at Kentucky's postsecondary education institutions.

(Additional background information is attached as Agenda Item J-2.)

Mr. Greenberg presented the report of the Finance Committee.

*TUITION  
RECIPROCITY  
AGREEMENTS*

RECOMMENDATIONS:

- That the CPE approve the extension of the Kentucky/Illinois tuition reciprocity agreement for July 1, 1995 – June 30, 1998 for Paducah Community College and Shawnee State Community College to June 30, 1999.
- That the CPE approve the extension of the Kentucky/Tennessee tuition reciprocity agreement for July 1, 1997 – June 30, 1998 for various institutions to June 30, 1999.
- That the CPE approve the addition of Kentucky Tech Jefferson Campus to the Kentucky/Indiana tuition reciprocity agreement for July 1, 1997 – June 30, 2005.

Mr. Greenberg said that the Finance Committee recommends extending the agreements for an interim period so that the entire issue of tuition reciprocity can be examined by the Tuition Policy Work Group. President Alexander has provided the Work Group with suggested changes in the tuition policy, and the Work Group will have further discussion on his suggestions.

MOTION: Mr. Greenberg moved that the recommendations be approved. Mr. Whitehead seconded the motion.

VOTE: The motion passed.

(Additional background information is attached as Agenda Item K-1.)

*1998-2000  
APPROPRIATIONS  
BILL*

A detailed summary of the 1998-2000 Appropriations Bill (HB 321) as enacted by the 1998 General Assembly was presented for information. Mr. Greenberg said that after much discussion during the Finance Committee meeting, Mr. Hackbart pointed out that there was lack of clarity in an item on local financing of bond construction for KCTCS.

MOTION: Mr. Greenberg moved that the staff seek clarification from the Secretary of the Finance and Administration Cabinet regarding the KCTCS local funding issue. Mr. Barger seconded the motion.

VOTE: The motion passed.

Mr. Greenberg said that after discussion regarding the “bucks for brains” project the Finance Committee decided to change the name of the project. Mr. Greenberg appointed a subcommittee of Mr. Hackbart, Ms. Ridings, President Wethington, and President Shumaker dealing with the research endowment fund. A subcommittee of Mr. Huddleston, Ms. Menendez, Ms. Francis, and a university president was appointed to work on the facilities maintenance standard and facilities maintenance plan.

(Additional background information is attached as Agenda Item K-2.)

*NOMINATING  
COMMITTEE*

Mr. Baker presented the report of the Nominating Committee and presented the following nominations:

- That Leonard Hardin serve as the CPE Chair for the coming year.
- That Charles Whitehead serve as the CPE Vice Chair for the coming year.

MOTION: There being no other nominations from the floor, Ms. Weinberg moved that Mr. Hardin be elected as Chair by acclamation.

MOTION: There being no other nominations from the floor, Mr. Greenberg moved that Mr. Whitehead be elected as Vice Chair by acclamation.

(Additional background information is attached as Agenda Item L.)

*RECOGNITION  
OF SERVICE*

Mr. Hardin recognized retiring Presidents Hanly Funderburk from EKU and Mary Smith from KSU. He wished them well in their plans for the future. On July 1, Robert Kustra will become the President of EKU and George Reid will be the new President of KSU.

Mr. Hardin read a resolution honoring and commending Jim Ramsey for his service to the CPE. Mr. Hardin especially thanked Dr. Ramsey for his outstanding leadership and service during this transition period and for his help through the most successful legislative session for postsecondary education in many years. On July 1, Dr. Ramsey will

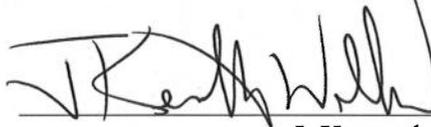
leave the state to become the Vice Chancellor for Administration at the University of North Carolina at Chapel Hill.

(A copy of the resolution is attached as Agenda Item M.)

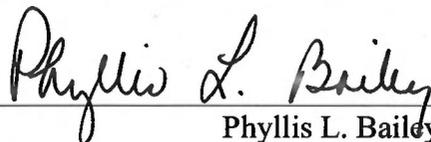
Mr. Hardin thanked Ken Walker for accepting the additional responsibilities of serving as Acting Chief Operating Officer during the transition period.

*ADJOURNMENT*

There being no further business, the meeting adjourned at 11:45 a.m.



J. Kenneth Walker  
Acting Chief Operating Officer



Phyllis L. Bailey  
Secretary